

**Implosions as a probe for fluctuations in Bose-Einstein
condensates**

by

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Quantum and thermal effects play important roles in the fluctuations of ultracold Bose gases, yet the fluctuations remain difficult to probe experimentally in 3D bulk systems. We seek to amplify these fluctuations via a quench from positive to negative scattering length. Following such a quench, initial fluctuations in the gas, whether seeded by a lattice potential, thermal fluctuations, or quantum fluctuations, are amplified on a time-scale which is fast compared to the bulk collapse of the cloud. We perform experiments on clouds seeded with an initial, well-understood density perturbation due to an optical lattice and on clouds with no externally imposed fluctuations. We compare our observations to a variety of theoretical models, which predict the static structure factor as a function of time and momentum in the collapsing gas.

Dedication

To my parents.

Acknowledgements

I owe thanks a great number of people in my life for all the help and support without whom I would never have completed this degree. That list is very long, and I cannot fit them all here. My parents always cultivated my sense of curiosity and have supported me financially and emotionally throughout my life. I have many fond memories of attending the public physics lectures at the University of Chicago with my dad, who was himself a physics major in college. That he passed away before he could see his son finally achieve the PhD I sought from the young age of ten or eleven is one of the greatest sadnesses of my life. I miss him very much.

I have lived an exceptionally fortunate life in almost every respect. It was not only that the mentors, teachers, and professors in my life have genuinely cared about my happiness and future success, but also that they were and are extremely competent in their roles. I was taught by good teachers, not simply well meaning ones. I was raised by wise parents, not simply loving ones.

I began working at JILA on July 14, 2014. For my first two years I worked with fellow graduate students Ming-Guang Hu and then Dhruv Kedar and was advised by Debbie Jin and Eric Cornell. Tragically Debbie passed away in 2016 and the world was robbed of a great scientist. I remember the fear and uncertainty I experienced in the aftermath, not knowing what the rest of my graduate career would look like. Fortunately, it went about as well as could be expected and I continued working with Eric and my new co-advisor Jun Ye on new experiments with the potassium-39 machine which had previously been Debbie's Fermi gas lab. From 2016 to early 2020 I had the pleasure of working with a number of talented students, particularly fellow graduate student Roman Chapurin, Xin Xie, and Noah Schlossberger, as well as undergraduates Carlos

Lopez-Abadia, Jared Popowski, and Bjorn Sumner. However, it was not long after the pandemic began in earnest that I found myself the only student in the lab, working on a new topic quite different from the spectroscopy based measurements I was used to.

Although I have been the only experimental graduate student working on the topics of this thesis for the last two years, I have been aided by fellow student Eli Halperin, who has excellent theoretical and numerical work on implosions critical to this thesis. He has rigourously attacked the subject from numerous directions in an effort to understand our observations, and without his work this thesis would be sorely lacking. Eli is also a funny and awesome guy whom I shall miss as I leave JILA. Eli's advisor John Bohn has also been a source of advice for me; his involvement has also given me a sense of connection and continuity with Debbie Jin, my first advisor and John's late wife, who's signature cannot be on this thesis.

Thank you, everyone. I couldn't have done it without you.

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Chapter 1

Introduction

1.1 The world of ultracold interacting Bose gases

The field of ultracold atoms has flourished tremendously in the last several decades, particularly after the development of laser cooling, magnetic trapping, and evaporative cooling. The first observation of Bose-Einstein condensation (BEC) was achieved right here in JILA in 1995 in a gas of ^{87}Rb and was quickly followed by a veritable explosion of work done with BECs which has continued to this day [6, 41]. Among the many things learned during this time was the importance of interatomic interactions. At very low energies, the interactions between particles may be characterized by a parameter called the s-wave scattering length: a_s . I will generally omit the subscript s and use a to denote the s-wave scattering length. To oversimplify, when $a > 0$, the interaction between atoms is repulsive, and when $a < 0$ they are attractive. The magnitude of a determines the interaction strength between particles [98, 101].

The interaction strength of various atomic species varies widely [32]. It is impossible to calculate a from first principles for all but the simplest atoms. For instance, in a single atom of ^{87}Rb there are 37 electrons, 37 protons, and 50 neutrons, and that's only mentioning particles known about in the 1930s. Yet interactions are of extreme importance to the experimentalist hoping to understand and exploit them. Certain species, such as ^{87}Rb , have interactions which are unvarying over a large range of experimental parameters and which are also favorable for the elastic interactions necessary for evaporative cooling, which is frequently required to achieve quantum degeneracy. Other atoms, such as ^{85}Rb , have much stronger interactions which make

them prone to inelastic collisions, an impediment to evaporative cooling[32].

Yet the interactions are what make the few-body and many body physics questions interesting. A truly ideal gas is a pretty boring thing. With ultracold atoms, and with the right choice of atom, we are fortunate enough to possess a tool allowing us to tune the strength $|a|$ and sign of the interactions via a Fano-Feshbach resonance (which I will abbreviate to Feshbach resonance for the sake of brevity)[32]. These Feshbach resonances arise due to the proximity of a bound molecular state in a closed spin channel to the energy of two colliding particles in an open spin channel. [52, 53, 50, 51, 49]

With the ability to tune the scattering length a in an ultracold dilute gas comes the opportunity to explore quantum mechanical effects which can only be accessed at such low temperatures. Previous work in my lab has investigated the Efimov effect, which is a three-body effect resulting in an infinite series of three-body bound states in a system with unitary interactions ($a \rightarrow \pm\infty$)[45]. Observing this effect requires a system of three particles which interact with pairwise divergent interactions in a coherent way. Phenomena like the Efimov effect (and the Feshbach resonance itself) require these very cold, dilute conditions for the subtle quantum-mechanical effects to be observed.

Today's dilute ultracold atomic gasses are certainly not the only systems in which quantum mechanical effects are important. Superconductivity was first observed in 1911 when mercury was sufficiently cooled [93, 43]. Superfluid Helium, despite its sweltering temperature, is even more similar; liquefied ^4He exhibits viscosity-less flow up to a toasty 2.17 K. Clearly, one need not be cold for quantum mechanics to be relevant. If 2.17 Kelvin does not seem hot to you, we can increase the temperature very slightly to 300 K, where everyday metals contain a degenerate Fermi gas of electrons. Increasing our temperature a bit more to 10^6 K and we have the degenerate quantum matter of a neutron star. It may seem unfair to call both superfluid helium at 2 K and neutron stars at 10^6 K hot, but since the condensates in our lab are on the order of 10^{-8} K, I think its fine.

1.2 Timeline of scientific investigation of interacting Bose gases

1.2.1 Before 1995

The prehistory of Bose gas physics began in 1924 when Satyendra Nath Bose derived Planck’s radiation law using only counting statistics and the quantized nature of photons [18]. Prior to Bose’s work, all derivations of Planck’s law required appealing to classical physics; Bose’s derivation was entirely quantum in nature. Bose sent his results to Albert Einstein following difficulty in publishing his findings, and Einstein, recognizing the importance of this new insight, translated Bose’s work into German and had it published on Bose’s behalf [46]. It is worth appreciating the fact that Bose’s derivation fit quite comfortably on a single page, and in notation so similar today’s notation that I found it only slightly difficult to follow the derivation even in German; it should be noted that I do not speak a word of German.

Einstein extended Bose’s argument to an ideal gas of massive particles and clearly elucidated the phenomena of condensation for an ideal gas at low temperatures, emphasizing how this follows from Bose’s method of defining the state of the gas [47]. Einstein summarized Bose’s method “Following Bose: A states of the gas is microscopically defined by specifying how many molecules are sitting in each [unit cell of configuration space]”. In contrast “According to the hypothesis of the statistical independence of the molecules: A state is microscopically defined by specifying for each molecule the [unit cell of configuration space] in which is sits.” This is how Einstein elucidated the difference between gases composed of indistinguishable (following Bose, wherein the molecules are not treated as ‘mutually statistically independent’) versus distinguishable particles (the molecules are treated as ‘mutually statistically independent’). Einstein’s detailed treatment of both approaches in [47] makes clear how the subtle difference in defining the state of a gas has dramatic impact on its properties.

However, while the work of Bose and Einstein feels very familiar to an ultracold atomic physicist in the early 2020s, the phenomena of Bose-Einstein condensation would first be recognized within a system very unlike the dilute gases envisioned by Einstein and generated in today’s

ultracold atom labs: superfluid Helium. Helium had first been liquefied in 1908 [92], but efforts to solidify it indicated that helium remained a liquid under its own pressure all the way down to zero temperature. Since the entropy of a zero temperature system must be zero, this implied that somehow the liquid helium was undergoing an unknown ordering process. In 1937-1938, the phenomenon of superfluidity was experimentally verified by two groups independently [3, 75]. That same year Fritz London and L. Tisza proposed that the explanation for this 'liquid degeneracy' may be closely related to the condensation predicted by Bose and Einstein the previous decade [56, 123].

However, while interest in superfluid Helium and superfluidity in general remained high in the decades that followed, Bose-Einstein condensation was less fashionable. When Landau developed his own two-fluid model in 1941, he did not consider BEC to be a promising explanation of Helium's superfluidity [79]. Indeed the disagreement between Landau and Tisza was rather strong as demonstrated by back-to-back letters to the editor of Physical Review in 1949 [80, 121]. Yet the connection between superfluidity and Bose-Einstein condensation would continue to develop via work of Bogoliobov which was published in 1947 and to this day remains an indispensable framework for understanding elementary excitations of superfluids and Bose-Einstein condensates [17]. Bogoliobov's transformation recasts the real, interacting, particles into a new basis of non-interacting quasiparticles. Diagonalization of the Hamiltonian in the quasiparticle basis provides the dispersion relationship for weakly interacting superfluid systems. Underappreciated at the time was the applicability of Bogoliobov's treatment to Bose-Einstein condensation.

In the 1950's work by Feynmann, Matsubara, Onsager, and Penrose revisited the subject of superfluid helium and contended that London's hypothesis was essentially correct; Bose condensation was fundamental to superfluidity. Onsager and Penrose calculated that even at zero temperature, only 10% of the atoms were in the ground state due to depletion caused by the interatomic interactions [54, 96, 84]. Around this same time work in superconductivity was converging on similar ideas, exemplified in the famous paper on superconductivity by Bardeen, Cooper, and Schrieffer in 1957 [11].

1.2.1.1 Spin-polarized hydrogen

In 1959, Hecht [64] considered systems of spin-polarized bosonic gases of hydrogen. and the prospect of spin-polarized and seemed puzzled that” “...it seems that no one has pointed out the exciting possibility that such atomic gases and liquids would show superfluid properties since H and T atoms are bosons.” It seems that this observation was slightly ahead of its time; similar observations were made by Stwalley and Nosanow in 1976 [115]. Experimental progress towards stabling a sample of spin polarized hydrogen reached an important milestone in 1980 with the heroic experimental efforts of Silvera and Walraven [111] to achieve such a stable sample using a dilution refrigerator coated with a thin film a superfluid ^4He , which was required as otherwise the hydrogen would instantly adsorb to any surface. However, progress towards BEC on that front slowed due to the dueling requirements for high density and low temperatures and the enhanced losses such densities and temperatures caused through recombination processes. Attempts to reach BEC in Hydrogen would continue, motivated in part by the determination that spin-polarized Hydrogen would remain a gas at all temperatures, ensuring that a BEC would be robust against collapse [116]. Despite this fact, Hydrogen would have to wait a few years after other systems to finally be condensed [48, 39]. In the efforts to cool Hydrogen, the technique of evaporative cooling was developed [83]. Evaporative cooling remains the method of choice for cooling bulk atomic gases to ultracold temperatures and is the final stage of cooling used in for the work in this thesis.

1.2.1.2 Alkali atoms

While spin polarized hydrogen was being pursued, Hydrogen’s neighbors below it on the periodic table became increasingly popular subjects of experiments on laser cooling and trapping, despite the fact that the ground state of low temperature alkali systems is a solid. Beginning in the mid 1970s, it was proposed that lasers interacting with atoms could be used to slow and cool atoms [129, 63]. Tremendous progress was made in the next two decades in the experimental development of techniques used to cool atoms such as Doppler and sub-Doppler cooling of neutral

atoms and ions [128, 100, 88, 34, 35, 117, 91, 8]. Ultimately these efforts led to the first observation of Bose Einstein condensation in a dilute atomic gas of ^{87}Rb here at JILA in 1995. This was the first really unambiguous demonstration of Bose condensation to demonstrate the phenomena as Einstein had conceived it: in a gas, albeit non-ideal. These systems are not technically in the absolute ground state, which is indeed a solid, but the extremely low densities slow the rate of recombination processes which nucleate solidification sufficiently to allow for long-lived metastable BEC.

1.2.2 Interactions and early BEC

With the success of condensing ^{87}Rb here at JILA and ^{23}Na at MIT [7, 41], attention turned to understanding these fascinating new systems, and the role of interactions was paramount. The scattering lengths of the alkalis were not as well understood as it is now, although rapid progress in understanding interactions was swiftly made. For ultracold alkali atoms, the interactions can be encapsulated almost entirely by a single parameter, the s-wave scattering length a [32]. It had been predicted earlier that negative scattering lengths precluded stable condensation except in small samples [106]. This was the reason for the difficulty in condensing ^7Li [21, 20, 31, 38]. Negative scattering lengths also pose a problem for efficient evaporation generally. The rate of inelastic three-body collisions is generally higher for negative a than for positive a of equal magnitude [19].

For these reasons positive s-wave scattering lengths are required for production of large BECs by way of evaporative cooling. Early experiments demonstrated in spectacular fashion how a BEC is incapable of persisting when the scattering length is tuned negative earning the name bosenova for the similarity between condensate collapse and core collapse supernovae of very massive stars [105, 44]. These experiments produced a small, persistent remnant since for a sufficiently small atom number the zero point energy stabilizes the sample against collapse.

1.2.2.1 General overview of the role of interactions from 2000 to present

Following the realization of BEC, interest was renewed in understanding these systems, particularly in the context of trapped gases where BEC was accessible. The behavior of BEC at negative scattering lengths was explored in the context of one dimensional samples [97, 24, 23, 25]. These studies found that a 1D condensate remained stable at negative a and formed stable solitons. Early experiments in one dimensional ^7Li samples produced such soliton trains following a quench to attractive interactions [77, 114]. These experiments confirmed the prediction that during the collapse, phase differences between the solitons determine their interactions; neighboring solitons with a phase difference of π between them repel each other and prevent the local density from exceeding the critical density for total collapse. The origin of these phase fluctuations was attributed to a combination of factors. Fluctuations of the initial condensate were proposed to seed these relative phases in [2]. Alternatively, in [22] interference of the condensate order parameter during the first stages of collapse were found to create soliton trains wherein the solitons behaved both repulsively (with π relative phase) and attractively (with no relative phase) over the course of an implosion process with multiple phases.

In three dimensions, such as the ^{85}Rb bosenova experiments [44] the picture was less clear. The observations reported in [44] indicated that long after the quench to negative a , the number of condensed atoms was well above the predicted critical number. This surprising result was explained by the fact that the implosion process was sufficiently violent enough to allow multiple bright solitons to nucleate with relative phases such that the solitons interact repulsively despite the attractive interatomic interactions [37]. This resembles the mechanism discussed above in 1D. Subsequent numerical studies supported this notion [94, 95]. However, later numerical calculations did not find a repulsive phase between the implosion remnants as originally postulated when fluctuations were included [42]. The question of how to interpret the results of experiments like the JILA bosenova [44] has remained salient and continues to be pursued [14, 15, 120].

Solitons have also been generated in two dimensional system. In contrast to 1D and 3D, the

two dimensional solitons were stabilized by rapidly oscillating the scattering length [108, 33, 61, 31]. However, our interest in this thesis is not in the nature of solitons in various dimensions, but in understanding fluctuations in bulk 3D BEC.

1.2.3 Fluctuations

The nature of fluctuations in Bosonic systems of varying dimension is the subject of much of Chapter 4, where we shall derive quantitative results for uniform and harmonically trapped systems for $d = 1, 2, 3$. In lower dimensional systems, the fluctuations are larger, to the point that they preclude the existence of a true, phase-coherent BEC. This fact has been understood for many decades. Mermin and Wagner [86] provided a proof that long-range order is impossible at any finite temperature in a one- or two- dimensional isotropic spin- S Heisenberg model. A consequence of this fact is that Bose-Einstein condensation is impossible in such systems [85, 67]. This follows from the fact that the systems considered by Mermin and Wagner belong to the same universality class as Bose gases [68].

In 3D, the phase fluctuations (in a uniform gas) for large distances are bounded from above by a constant, as was derived for finite temperature by Kane and Kadanoff [74]. The one-body density matrix therefore asymptotically approaches a finite value. In one and two dimensions, the magnitude of the phase fluctuations is unbounded for large distances, and therefore the off-diagonal portion of the one-body density matrix decays to zero. Interestingly, this decay is exponential for one-dimension but algebraic for two-dimensions. This turns out to be related to the Berezinskii-Kosterlitz-Thouless (BKT) transition [78, 13]. We will not be discussing the BKT transition in this thesis.

The fact that the phase fluctuations remain large over large distances in 1D and 2D mathematically follows from the nature of the $\propto p^{-1}$ momentum dependence of the phase operator for the condensate order parameter and the convergence, or lack thereof, of a momentum integral (Eq. 4.130). The analogous calculation for density fluctuations always converges (Eq. 4.135), because the momentum dependence $\propto p$ of the density operator is linear. Hence, the density fluc-

tuation effects will tend to be smaller than those of the phase fluctuations. Fluctuations in lower dimensions are larger than in 3D as a consequence of the volume element of the integral.

1.3 A brief overview of my career

Physics has always been a passion of mine, and ultracold atoms in particular have always been a topic of fascination. I was fortunate enough to work with laser cooling and trapping of atoms as an undergraduate at Lawrence University, and continued in this direction when I arrived at JILA, originally in the bi-group of Debbie Jin and Eric Cornell. My first few years at JILA were spent working with Bose-Fermi mixtures of ^{87}Rb and ^{40}K and studying their interactions, which nature has allowed us to tune simply by changing the magnetic field the atoms experience. We also worked to create very smooth optical potentials amenable to rapid rotation of these ultracold gases. I owe a great deal to my fellow graduate student Ming-Guang Hu, who was an excellent teacher and enjoyable to work with. Ming-Guang and I were joined by Dhruv Kedar in 2015. While the grand vision of the lab in B231 was aimed toward rotating Bose-Fermi mixtures with the ultimate goal of investigating quantum Hall physics in ultracold atoms, we took a detour to perform experiments on Bose polarons in the strongly interacting regime [69]. This work was tragically interrupted by Debbie's illness and death in 2016. The world was robbed of a brilliant, kind scientist, and I was robbed of a wonderful advisor. However, I'm not sure how the aftermath of this tragedy could have gone better for my fellow graduate students and I; our voices were heard, listened, and acted on by professors and advisors who clearly cared deeply about helping Debbie's students succeed. Dhruv joined Jun Ye's experiments on Strontium optical lattice clocks, and I was sad to cease working with him, he was a wonderful labmate.

Ultimately, I found myself switching to slightly different, but very related work in what was previously the Fermi Gas lab in Debbie's group. I learned to operate my second BEC creation machine of graduate school, and with a new species of atom, ^{39}K , which would allow us to investigate and expand the limits of our understanding of few-body physics. I worked with my fellow graduate students Roman Chapurin and Xin Xie, as well as with undergraduates Jerod Popowski, Carlos

Lopez-Abadia, and Bjorn Sumner. Things proceeded well in the new lab and we were able to experimentally and theoretically placed Efimov physics on a more robust universal foundation than had yet been realized. Noah Schlossberger joined our lab, but in what felt like no time at all, he switched to the eEDM Gen-III experiment. From late 2016 to early 2020, the JILA Resonantly Interacting Boson lab (JRIB) focused on few-body physics. We produced detailed measurements of the Feshbach resonance in the $|1, -1\rangle$ state of ^{39}K and its associated Efimov spectrum, providing a through picture of this effect and testing the limits of universality [28, 132].

In late 2019, an collection of chronic medical conditions I have lived with since childhood coalesced into an obstacle I could no longer ignore and I required some time off and a restructuring of my schedule to accommodate my treatment. This was compounded by the global Covid-19 pandemic and the most pathological series of objective lenses that myself and my advisors have ever seen. By the middle of 2020, Xin Xie and Roman had both graduated and I was the sole master of my laboratory, which certainly has its pro and cons. A good deal of time and effort was expended in the analysis and trouble shooting of the high resolution imaging, a problem which was not satisfactorily solved until a replacement lens arrived in fall 2021 which reduced aberrations to a tolerable level. From there, it was a short two months until data collection was completed. The road to results has been long and difficult, and the path taken has diverted somewhat from the original vision for an fluctuation microscope. To understand our successes and struggles in this project, a prologue of sorts for the original scientific vision is useful.

1.4 Vision for a fluctuation microscope

Fluctuations in BEC are important to understanding systems of ultracold bosons, particularly when the interactions between the atoms are strong. Unfortunately, they are also very difficult to observe in 3D. The goal of this work is to overcome the difficulties of accurately characterizing subtle density perturbations that are the signatures of these fluctuations by amplifying those perturbations via a quench to attractive interactions. The general concept is straightforward: an initially stable trapped condensate undergoes a rapid interaction quench to negative a_s which suddenly changes

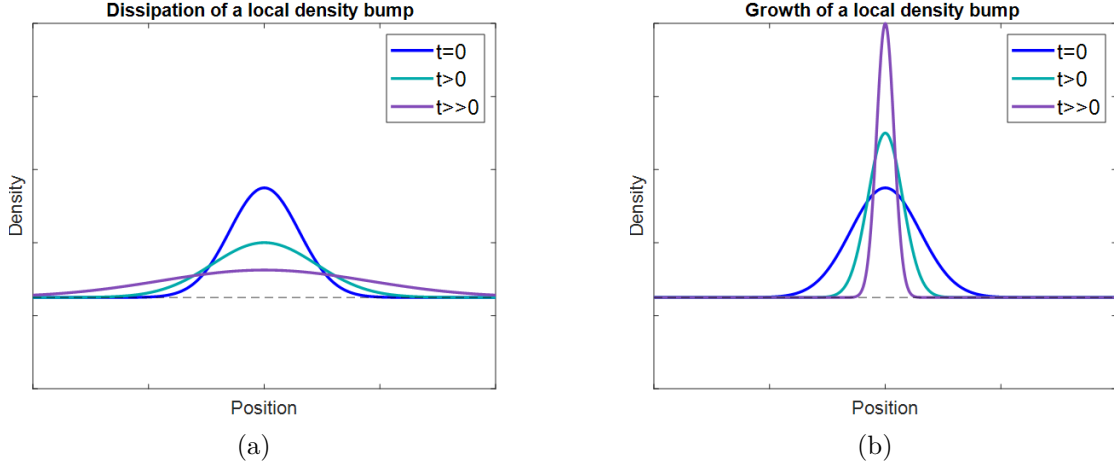


Figure 1.1: Illustration of the evolution of a local density perturbation in a uniform gas. A initial density bump dissipates for repulsive interatomic interactions as shown in (a). A initial density bump grows in magnitude and contracts in size following a quench to strong attractive interactions as in (b). The curves are for qualitative and illustrative purposes only.

the mean field potential experience by the atoms from repulsive to attractive. The inward force on the cloud increases as the cloud contracts, and local density maxima continue to drive this inward movement. The densest regions of the cloud pull harder and harder on all the atoms in the vicinity.

Consider an infinite uniform BEC sitting happily at a stable positive scattering length and suppose there exists a single isolated density bump, perhaps the results of a externally imposed local potential well which is switch off at $t = 0$. The aligned forces of kinetic energy pressure and repulsion due to $a_s > 0$ each push particles away from higher density regions. The density profile will thus tend to dissipate to match the background density as show in Fig. 1.1a. If the interactions between the particles was instead quenched at $t = 0$ to a sufficiently attractive value, the evolution of the density bump takes a very different turn. An atom at the edge of the bump will still be pushed outwards due to the kinetic pressure of the wavefunction, but for a sufficiently strong interatomic attraction, the net force on the particle will be inward and the bump will grow until other processes such as molecular recombination become relevant. The growth of the perturbation following such a quench is show in Fig. 1.1b.

Of course, even if the wavefunction is perfectly uniform at $t = 0$, any measurement of the

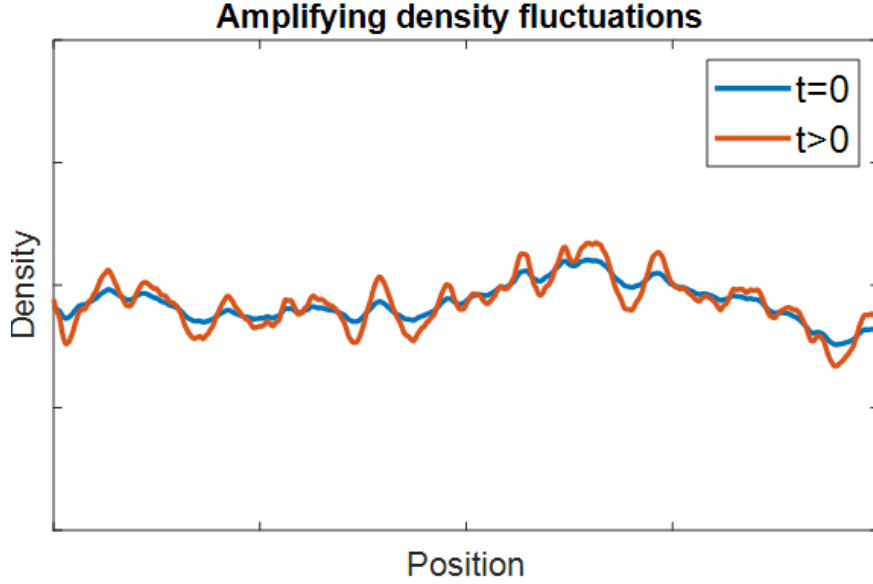


Figure 1.2: Illustration of how density fluctuations are amplified in a uniform gas, the data are randomly generated and for illustrative purposes only. A measurement of the density profile at $t = 0$ (blue line) would yield shot noise on top of the uniform density. If the density profile were measured at a later time (orange line) following a quench to attractive interactions, the density perturbations from shot noise will have concentrated in the dense regions, thereby being amplified compared to their initial amplitude. This is illustrated by the orange line magnifying the preexisting structure indicated by the blue line.

system will inevitably contain shot noise. If the atomic density is measured at $t = 0$, the result would more closely resemble the $t = 0$ curve in Fig. 1.2. However, density shot noise at $t = 0$ may be small and difficult to measure. Consequently, if the condensate is allowed to evolve for some time after the quench, those same fluctuations will be amplified enough to be measurable.

Above $T = 0$ K the cloud will also have fluctuations due to the temperature, and interactions cause additional quantum fluctuations apart from the ubiquitous shot noise. The density perturbations are simply the coordinate space signature of the elementary excitations of the Bose fluid. In momentum space, these excitations are simply plane waves traveling in all different directions. In the case of a trapped BEC, thermal fluctuations, quantum fluctuations, and shot noise are all still present, and a quench to negative scattering length will still serve to amplify local density perturbations if the density is sufficiently high or the scattering length sufficiently large. While in the uniform gas there is no external potential such that the evolution of the wave function is

governed solely by kinetic and interaction dynamics, in a trapped gas there exists an additional inward force. The density also drops to zero at the edge of the cloud, so the force due to the interaction potential is exclusively inward at the clouds edge. In essence, the cloud will implode globally following a quench to negative a in addition to the local dynamics.

1.4.1 Wavefunction implosion

While the logic that a sudden change of interaction from repulsive to attractive would lead to the BEC imploding is intuitively reasonable, we can be more quantitative here in elucidating the physics behind implosions without delving yet as deeply into the theory as we shall in Chapter 4. Yet a few equations are not unwarranted here. The behavior of BECs is commonly considered using the time-dependent Gross-Piteavskii equation (GPE):

$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V_{ext}(\mathbf{r}, t) + g|\Psi(\mathbf{r}, t)|^2 \right) \Psi(\mathbf{r}, t) = i\hbar \frac{\partial}{\partial t} \Psi(\mathbf{r}, t). \quad (1.1)$$

The GPE, is a non-linear Schrodinger equation incorporating interactions between the particles [102]. It provides a good model to expand upon the discussion of 1.4 by analyzing each of its terms. The first term $-\frac{\hbar^2}{2m} \nabla^2 \Psi$ represents what I have been calling the kinetic pressure of the gas. Where the wavefunction has large spatial curvature this term is large, corresponding to a large wave vector k and hence large momentum $\hbar k$. Such a wavefunction will not generally remain localized in the absence of other confining factors, as things with large kinetic energy tend to move. Hence, a very tightly contained Ψ will spread out, as though responding to an internal pressure, and this tendency will be stronger the more tightly contained and thus rapidly varying Ψ is.

The second term on the LHS of Eq. 1.1 is the external potential. In the context of my work trapping atoms, this is most often a trapping potential, provided in the lab by magnetic or optical fields, but is not always. Gravity is a non-trapping potential contributing to V_{ext} (non-trapping in the lab case, certainly trapping on the scale of the planet). In our experiments, V_{ext} is nearly always modeled as a harmonic potential with its minimum defining the origin of our coordinate space. The optical lattice potentials which we impose to seed density perturbations are an exception to this

rule.

The third term is the star of the GPE show; a flamboyant new character to Schrodinger's cast, ready to cause drama and incapable of minding its own business. This is because the third term represents the interactions between atoms. We can rewrite the interaction term as $g|\Psi|^2 \propto an$ where the density is of course $n(\mathbf{r}) = |\Psi|^2$ and the interaction parameter $g \propto a$ is linear in the scattering length. Whether this term aids the kinetic pressure in pushing the atoms apart or the external potential in forcing them together depends on the scattering length a , which is among the most important quantities throughout this work. When a is negative, the interaction term gn produces a confining potential, whereas a positive a provides a repulsive potential. This term is also called the mean field (MF).

With this in mind, we consider the balance of these forces for a trapped Bose cloud, and in particular consider how the kinetic energy, confinement potential, and mean-field interactions will change when the cloud departs from its equilibrium size. Before the quench, if the cloud is too large, the kinetic energy and mean-field repulsion each lessen while the trapping potential becomes steeper; the end result being the cloud contracts. If the cloud is too small, the opposite happens and the cloud will expand back towards equilibrium. This is the balance of forces at work in Fig. 1.3 on the left.

When the scattering length is suddenly quenched to a negative value, the balance of forces may no longer be stable. The wavefunction Ψ is unchanged during the quench if it is fast enough, and the trapping potential remains the same, so they act as they did before the quench. The interaction potential is a very different matter and the cloud will begin to contract as the outward pressure of the mean-field is suddenly replaced with an inward pull. But in contrast to the repulsive case, this produces positive feedback since the mean-field potential scales with the density, and as the cloud implodes it becomes denser and denser. For weak enough interactions, the negative feedback of the increasing influence of the kinetic term can eventually halt this collapse and a smaller equilibrium cloud may become stable, but for dense clouds or strong interactions, the positive feedback of the imploding cloud's mean-field attraction outpaces the negative feedback response of the kinetic and

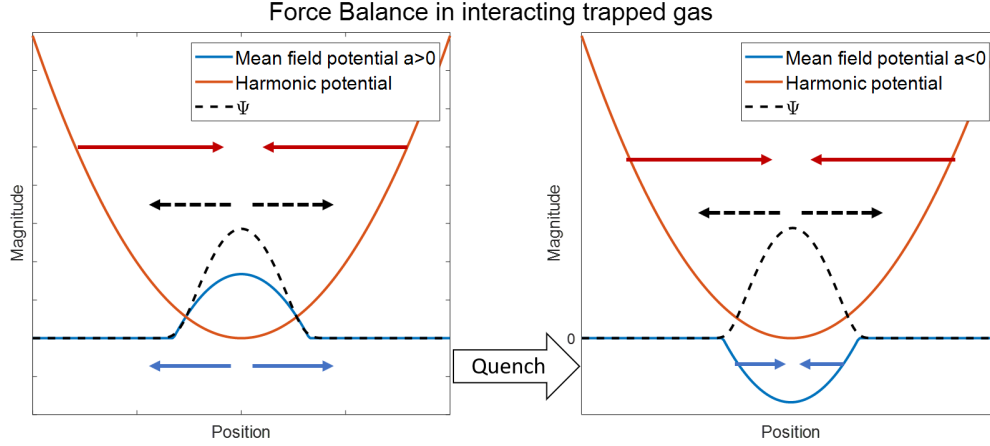


Figure 1.3: The balance of forces changes with a quench to attractive interactions. Before the quench all three components provide negative feedback to perturbations away from equilibrium. After the quench, the mean-field potential instead provides positive feedback

potential terms in the GPE. To be clear the potential V_{ext} is always inwards, but weakens as the cloud shrinks, providing the negative feedback.

1.4.2 Mode stability

While the GPE is certainly a quantum mechanical expression, the argument and physical intuition provided by the previous Section feel quite classical in nature to me personally. A complementary picture for understanding implosions is provided by the theory of elementary excitations, first developed by Bogoliobov [17]. The details of Bogoliobov's theory are explained in more detail in Chapter 4, but the results of important here is the dispersion relation for the excitations upon a Bose-condensed gas:

$$\epsilon(k) = \left[\frac{gn}{m} k^2 + \left(\frac{k^2}{2m} \right)^2 \right]^{1/2} \quad (1.2)$$

This dispersion relation is derived in Chapter 4 starting from assumptions of weak interactions in a uniform condensate at positive scattering length, but remains reasonably accurate even for systems without these attributes [62, 72] For positive a , $\epsilon^2(\mathbf{k}) > 0$ for all densities, and the k -modes may be grouped into two regimes: the high- k particle-like excitations where the kinetic energy $\frac{\hbar^2 k^2}{2m}$ dominates over the interaction energy, and the low- k phonon-like excitations. For $a > 0$ the modes

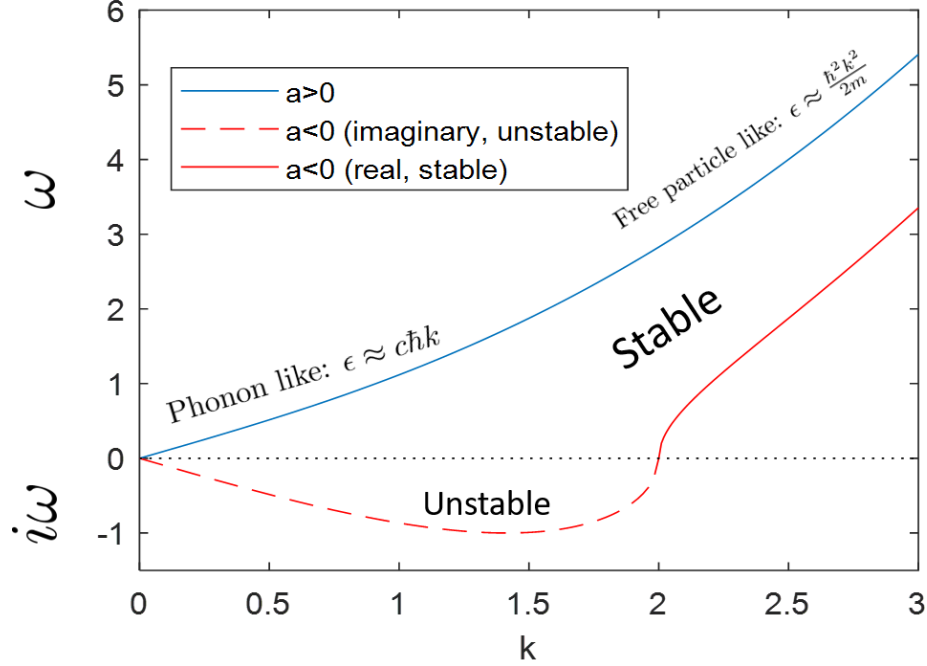


Figure 1.4: Dispersion relation for positive and negative a . On the positive side the low- k modes exhibit linear momentum dependence like those of phonons while at large k the modes exhibit the free-particle-like quadratic behavior.

are all stable. For $a < 0$ the situation is very different. As mentioned, the same dispersion relation applies even for $a < 0$, and this fact has important consequences for how these modes evolve in time. A quantum state ψ will evolve according to

$$\psi(t) = \psi_0 e^{-i\frac{E}{\hbar}t}. \quad (1.3)$$

Expressing the energy in terms of frequency $E = \hbar\omega$ and considering Eq. 1.2 when $|g|n > \frac{\hbar^2 k^2}{2m}$ we see how the frequency associated with $\epsilon(k)$ is purely imaginary for a range of wavenumbers. The effect on the evolution of ψ according to Eq. 1.3 is to induce the exponential growth of these modes. Now the connection to density fluctuations and how implosions should amplify them is becoming apparent. Density fluctuations are equivalently expressible in terms of superpositions of momentum modes, and those modes, or rather a subset of them, will grow following a quench to $a < 0$; and in a way which depends on the post-quench scattering length, the cloud density, and the wavenumber of the mode. The growth of the modes goes hand in hand with the amplification

of the associated density perturbations.

This is the motivation for our use of an interaction quench to amplify the subtle fluctuations of our BEC such that they may be directly observed. The discussion has been necessarily limited and incomplete, and indeed as our experiments were performed and analyzed, our understanding improved, and the simple physical picture presented in these Sections became more complicated.

1.4.3 Calibrating fluctuations measurement

The fluctuations we seek to amplify are detected via *in-situ* absorption images of condensates following a quench and subsequent evolution of the condensate where the fluctuations grow during the implosion process outlined above. The in situ density distribution is then analyzed to compute the structure factor $S(\mathbf{k})$ defined as:

$$S(\mathbf{k}) = \frac{1}{N} \langle |\delta n(\mathbf{k})|^2 \rangle. \quad (1.4)$$

The structure factor is simply the average squared value of the Fourier transformed density for a particular $\mathbf{k}$. Experimentally, this is determined by taking an ensemble of *in-situ* density measurements, subtracting the mean density profile from each individual realization, and computing the FFT of the remainder. It is therefore critical to understand how structure in the clouds density is captured by the imaging system. Previous work in this lab was essentially always concerned with bulk properties of the cloud, and *in-situ* imaging was unnecessary for those projects. In contrast, understanding and calibrating the newly installed *in-situ* imaging is essential to the business of measuring fluctuations. The design and characterization on bench tests was carried out by Xin Xie, and further information may be found in her thesis [131], but Chapter 3 of this work is dedicated to our continuation of her thorough work. Generically, the task of *in-situ* measurement of a high optical depth BEC is a difficult one, and we understood that to have confidence in our results demanded an empirical method of calibrating the transfer function. This is the basis for our lattice calibration.

The calibration lattices are the other important addition to the apparatus in this work (the

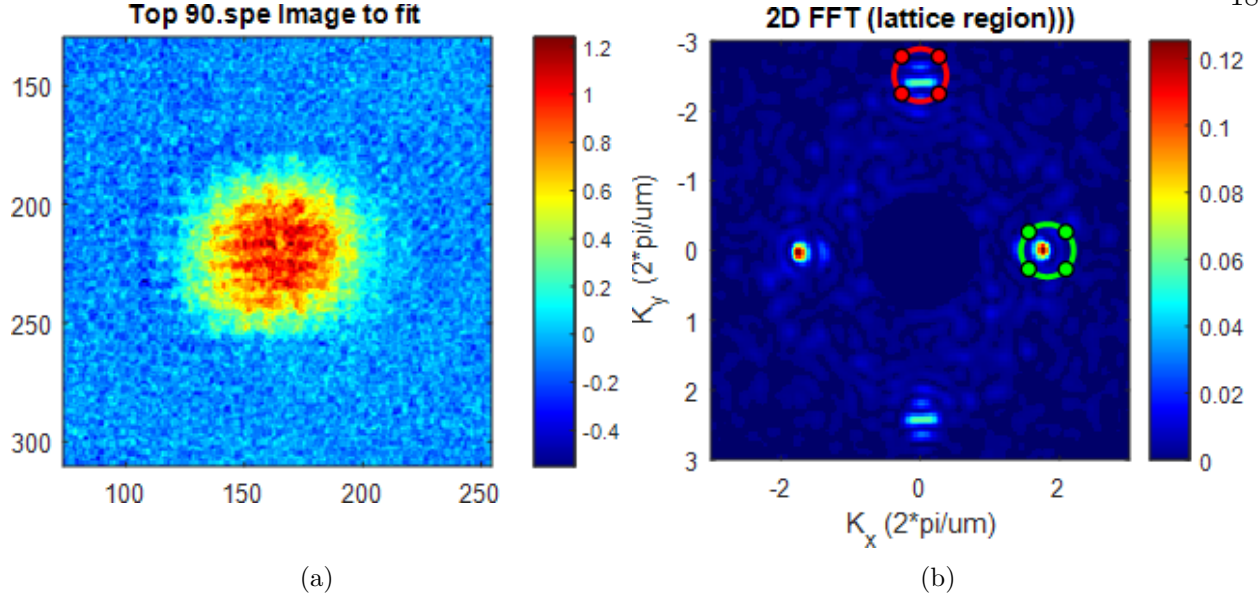


Figure 1.5: Real space and Fourier space density distributions. The red circle in (b) corresponds to the Y-lattice, with a lattice spacing of $2.5 \mu\text{m}$ while the green circle marks the X-lattice peak in Fourier space. In (b) the central peak corresponding to the culk distribution of the cloud is masked.

first being the high-resolution imaging just mentioned). With optical lattices, we have the ability to write density fluctuations directly onto the cloud and know with high confidence precisely how deep or shallow the lattice is. The lattice potential can be characterized via establish methods using short lattice pulse to excited momentum modes in units of $2\hbar k_L$ which are then measured in time-of-flight. The transfer function of the imaging can then be calibrated, and by decreasing the depth of the lattice to a very small fraction of the chemical potential, we may calibrate our sensitivity to structure at the wavelength of the lattice. For this reason two lattices with differing periodicity are used in this work.

This turned out to be very important for a responsible methodology, as the imaging system did not perform as expected for several reasons, some of which are still not fully understood. Hints of this are already present in Figure 1.5, where the signal for the Y-lattice seems to be distorted and very near the edge of the resolved region, despite bench measurements and the design indicating this spatial frequency should be well within our resolution. When the terms X-lattice or Y-lattice are used, they refer to the direction of that lattice's wave vector. The details of the

lattice characterization are presented in Chapter 5

1.5 Contents of this Thesis

The rest of this thesis will build upon the vision present in Section 1.4. In Chapter 2 I review the apparatus, focusing on those components which are relevant to the production of BECs but without going into the level of detail such an apparatus chapter often does because I am the last person to use this apparatus. Those components of the apparatus which are critical for the science of this thesis have been given their own Chapters. These include the optical lattices and the high-resolution imaging system.

Chapter 3 will contain the more detailed description of the imaging systems as it represents a major component of the experiment that is mostly new to this work. The principles of absorption imaging will be discussed in detail. Certain features of data analysis are intimately connected with the imaging procedure and as such will be reviewed in this chapter as well. These include the expected density profiles, principal component analysis, and thermometry. The Fourier space analysis of the imaging will be deferred to later Chapters.

Chapter 4 will review the relevant theory of BEC in general and fluctuations in particular. The ideas present in Section 1.4 will be discussed in greater detail. The structure factor and its time evolution following a quench will be expanded upon and the arguments of Section 1.4 will be both supported and challenged as a result of a more thorough theoretical analysis.

Chapter 5 will discuss the control lattices. The calibration of the lattice will be described in detail and compared with a priori expectations, with tension between expectations and measurements being reviewed. Predictions of the theory given in Chapter 4 will be compared to our controlled implosions with well characterized lattices. The effects of lattice potentials persisting during the implosion time are found to be in agreement with theory, and an unforeseen application of implosions to characterizing our confining potential will be explored.

Chapter 6 will review our experiments of implosions in the absence of a lattice potential. The predictions of Chapter 4 will be applied to our efforts using implosions to amplify fluctuations. The

successes and failures of our experiments to measure the static structure factor will be evaluated and the final results summarized. We will return in some detail to the question of how to analyze the data in Fourier space in this Chapter as well as part of the discussion on experimental challenges.

Chapter 7 will contain the conclusion and outlook of this thesis. Additionally, several appendices containing results which are useful and necessary but which do not warrant placement in the main text are included.

Chapter 2

Apparatus

The work in this dissertation departs from the few-body focused studies of Feshbach and Efimov physics led by my fellow graduate students Roman Chapurin and Xin Xie. However, with the exception of the vertical imaging system and optical lattice, the apparatus and cooling procedure follow those outlined in their theses with very little modification. Given my position as the last person to use this lab, this apparatus chapter will never be used as a reference in the way that Roman's and Xin's theses were used by me. As such, I will not go into nearly the same level of detail as can be found in their theses and will be referring the reader to their theses for a substantial portion of this chapter [27, 131].

2.1 Overview of cooling procedure

While our atoms eventually end up at ultracold temperatures well below one μK , they start above room temperature, and over half each experimental cycle consists in cooling the atoms to the ultracold regime. Our apparatus has three vacuum chambers: MOT1, MOT2, and the science cell. The cooling process begins with two three-dimensional magneto-optical traps (MOTs). The energy level diagram of the three manifolds relevant to laser cooling of ^{39}K is shown in Fig. 2.1, and the Zeeman spectra for the $S_{1/2}$, $P_{3/2}$, and $P_{1/2}$ are shown in Figs. 2.2, 2.3, and 2.4 respectively. The collection chamber (MOT1) cools the atoms from well above room temperature down many orders of magnitude, perhaps down to about $1 \sim 10 \text{ mK}$; the temperature in the collection MOT is not something we have measured since I joined the lab in late 2016. Cooling is a secondary purpose

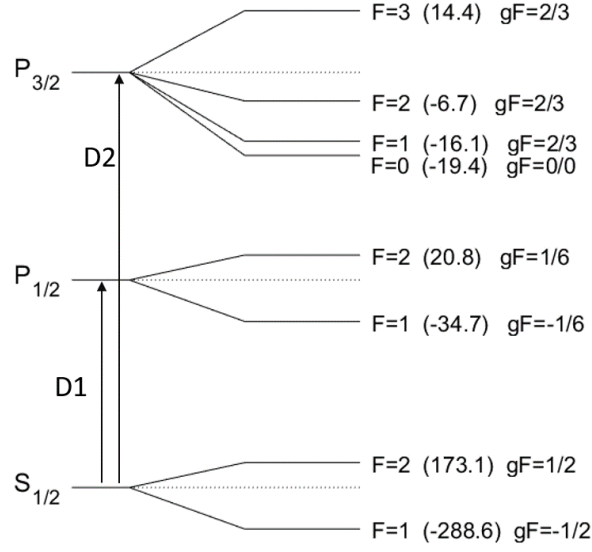


Figure 2.1: Energy level diagram for ^{39}K . The detuning of each hyperfine sublevel with respect to the fine structure energy is given in parentheses in units of MHz. The dotted line indicates the fine structure energy in absence of hyperfine interactions. The g-factors for each level are computed analytically from the quantum numbers as in [87]. All calculations for this figure and for Figs. 2.2, 2.4, and 2.3 were performed by myself in Matlab using reference data for ^{39}K found in [122] or using NIST CODATA values.

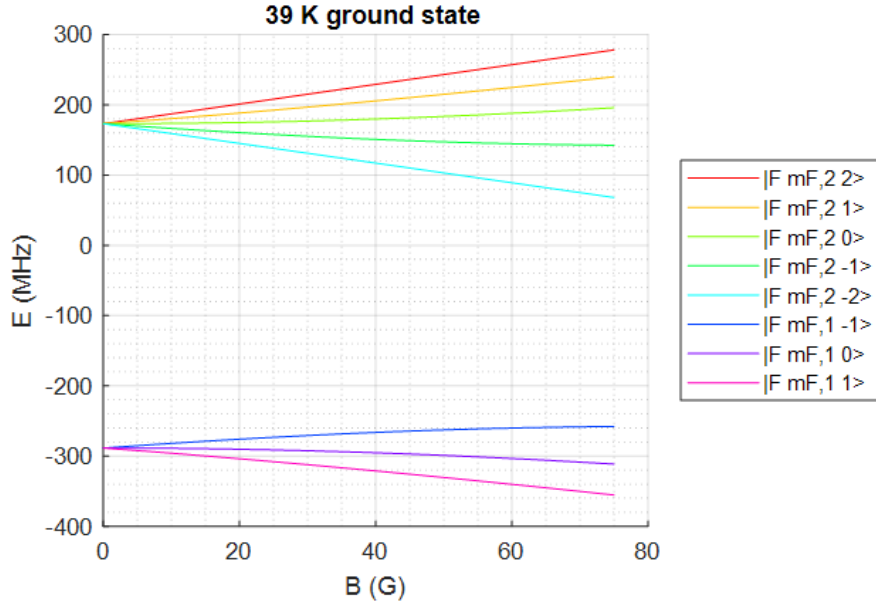


Figure 2.2: Ground state manifold. This manifold is the lower line for both the D1 and D2 transitions. Repump D2 (D1) lasers couple the $F = 1$ states to the $P_{3/2}$ ($P_{1/2}$) manifold while trap D2 (D1) lasers couple the $F = 2$ states to the $P_{3/2}$ ($P_{1/2}$) manifold.

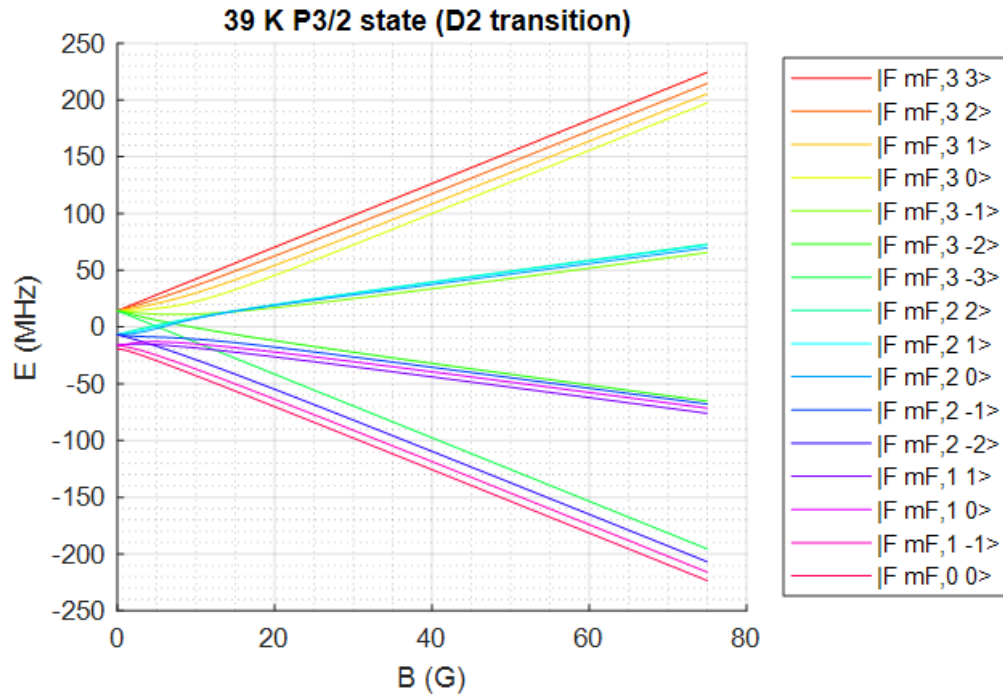


Figure 2.3: P_{3/2} manifold. This manifold is the upper line of the D2 transition.

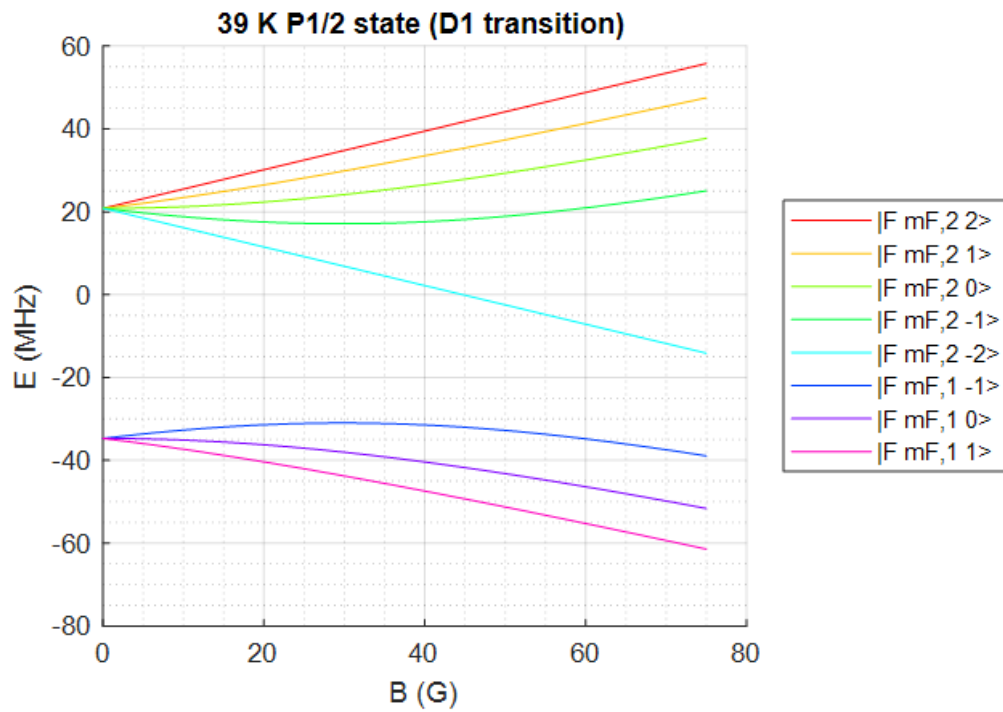


Figure 2.4: P_{1/2} manifold. This manifold is the upper line of the D1 transition.

of MOT1 compared to the more vital task of collecting as many atoms as possible. The MOT1 chamber possesses a getter which provides potassium vapor to the chamber which is heated to prevent adsorption to the walls thereby allowing reasonable collection rates. During initial loading the atoms are continuously launched from MOT1 through a narrow tube to MOT2 by a push beam propagating along the tube and are guided also by a hexapole field consisting of six permanent magnets on the outside of the tube.

The narrow tube also serves as a narrow enough restriction that the pressure in MOT1 is significantly higher than in MOT2, allowing maximum atom collection in MOT1 and reducing background pressure in MOT2 which might hinder cooling. Unlike MOT1, MOT2 is not loaded from the ambient vapor pressure but from MOT1 by way of a beam of atoms launched from MOT1 to MOT2; therefore the alignment of the push beam from MOT1 to MOT2 is critical. The D2 trap and repump light are derived from the same lasers for both MOT1 and MOT2. The Zeeman spectrum for the ground state manifold is shown in Fig. 2.2 and that of the excited state of the D2 transition is shown in Fig. 2.3.

For the first 20 seconds of the experimental cycle, MOT1 is on at full power, pushing as many atoms as possible to MOT2; loading beyond this time yields diminishing returns. Following the MOT1 to MOT2 loading, a 20 ms endMOT¹ stage is performed by detuning the D2 beams further from resonance, providing transitional cooling to the next stage; the endMOT is similar to the compressed MOT stage described next, but using only D2 light. Following the endMOT, the MOT1 light is turned off and MOT2 undergoes a 2 ms compressed MOT (CMOT) stage where the D2 trap light is turned off while the D2 repump light is jumped to a new frequency. The D1 trap and repump beams are turned on at this time and the magnetic field is increased as well (the compression part). Following the CMOT stage comes the grey molasses stage (GM) where the D2 repump light is turned off leaving only the D1 light on the atoms. This stage lasts about 6 ms and cools the atoms down to $10\mu\text{K}$. Further details of the frequencies, gradients, and timings may be found in previous theses [27, 131].

¹ The term endMOT is inherited from the graduate students before me.

The GM stage ultimately brings the temperature of the atoms in the MOT2 chamber to $10\mu\text{K}$. The atoms are then shuttled via a mechanically translated quadrupole trap (QT). The MOT2 primary coils are mounted on a cart for this purpose and are also called the cart coils. The loading into the cart QT raises the temperature to $100\mu\text{K}$. When the cart arrives at the science cell, current in the cart coils is ramped down while that in the science coils ramps up to form the science QT. When the cart QT has been ramped off, the cart is returned to the MOT2 chamber. The optical dipole trap (ODT) is then loaded for about six seconds before the QT is turned off, the science coils are switched from anti-Helmholtz to Helmholtz configuration, and a bias field is applied. The bias field is necessary to bring the scattering length for the $|1, -1\rangle$ atoms to a suitable positive value. The all-optical evaporation procedure then takes place, taking several more seconds to bring the atoms to the desired temperature, typically $< 300\mu\text{K}$. During evaporation, the atoms are transferred from the initial loading ODT beam to a crossed ODT formed by a vertically propagating beam and a horizontally propagating elliptical beam. These two beams provide a slightly oblate harmonic trap. Details of the ODT will be covered in a later section.

2.2 Lasers for cooling

The diode laser system is described in great detail in Roman's thesis [27]. With the exception of some rearranging of a few imaging optics to provide a slightly more convenient alignment and power balancing procedure, the optical layout is identical to Fig. 2.15 of [27], with the only difference being the addition of a few mirrors and ND filters immediately before the top and side probe AOMs at the top of said figure.

2.3 Magnetic field control and trapping

The MOT1 primary and shim coils are driven and servoed by a rack-mounted system which, in contrast to every other coil in the experiment, do not have their control voltage set by the computer, but rather by manual potentiometers. The MOT2 shim coils are a different story, indeed these coils take different values for the MOT1 to MOT2 collection, endMOT, CMOT, GM,

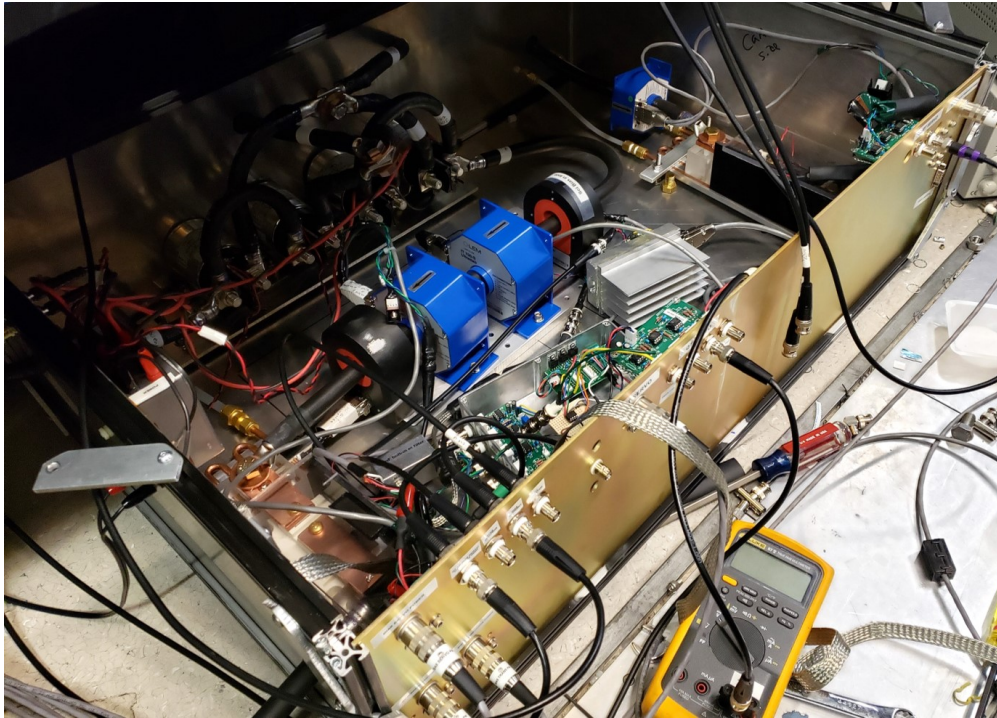


Figure 2.5: Magnetic field control enclosure. I constructed this enclosure as part of several efforts in our lab to reduce magnetic field noise. The box contains the H-bridge relays for the science coils in the rear of the box (upper left, difficult to see). It also contains the following for the cart coil circuit and the science coil circuit: current sensors, IGBTs, cooling plates for the IGBTs, servo electronics, sense resistors and their TECs, and inputs/outputs for water, analog and digital control, and finally power.

and QT load stages. The optimum shim current values were optimized every few months, most often using the atom number and cloud size in the science QT as the diagnostic observables.

2.3.1 High current coils

The high current coils mounted on the cart and science cell are driven by two large HP power supplies capable of outputting 400 A. The science coils circuit contains an H-bridge for switching between Helmholtz and anti-Helmholtz configurations. Fluxgate transducers paired with high stability sense resistors mounted on actively stabilized TECs provide in-loop and out-of-loop current measurements. The servo outputs for the science coils and the cart coils each connect to the insulated gate bipolar transistor's (IGBT) gate controlling the coils current. The science coils may

be operated in Helmholtz or anti-Helmholtz configurations, and because the required current is 10 times larger when the anti-Helmholtz configuration is used, two separate servo systems complete with current monitors and servo electronics, are used. Which servo controls the science IGBT is determined via a digital switch. The current monitors, sense resistors, sense resistor TECS, servos, H-bridge, IGBTs, and water-cooled IGBT mounting plates are contained in a large aluminum box I constructed in early 2019, consolidating several free standing components into a shielded enclosure, shown in Fig. 2.5. Combined with other mitigation efforts like moving sources of B-field noise away from the atoms, and improved filtering of the servos, we were able to dramatically improve our field stability, ultimately reaching about 2-5 mG RMS shot-to-shot variation; see previous theses for details [131, 27].

2.3.2 Low current science coils

The science cell also contains several smaller coils used for a variety of purposes. Two pairs of shim coils, one parallel to the horizontal imaging axis which is used to properly bias the field for low field σ^- imaging, and the other perpendicular to that axis which was occasionally used to provide a slosh-inducing gradient on the atoms. Vertically, a single coil coaxial with the large bias coils provides a gradient suitable for Stern-Gerlach measurements. A final, specialized coil for rapid B-field quenches, called the fast-B coil, is also positioned coaxial to the bias coils. In the early vision for this experiment, the quench to attractive interactions was to be achieved by a very rapid jump of the magnetic field. The fast-B coil requires a much more finely tuned and sophisticated servo including a feed-forward capability to ultimately allow ramps of over 10 G in 5-10 μ s. Ultimately, the quench to negative scattering length was instead achieved via state transfer to the $|2, -2\rangle$ weakly interacting state. In this thesis, ket notation $|F, m_F\rangle$ refer to the hyperfine angular momentum quantum numbers. More details on the fast-B apparatus and protocols can be found in Xin Xie's thesis [131].

2.4 State Control

Our atoms are prepared in the $|1, -1\rangle$ sublevel of the $4^2S_{1/2}$ ground state of ^{39}K ; see Fig. 2.2. This is a low-field seeking state, as is required for confinement and transport in the QTs. Prior to loading the cart QT in the MOT2 chamber, the repump D1 light is extinguished while the D1 trap light remains on and rapidly pumps the atoms out the of $F = 2$ manifold. Only the $m_F = -1$ sublevel is loaded into the cart QT and therefore only $|1, -1\rangle$ atoms are loaded into the ODT. Spin purity is required to sustain efficient evaporation. The $|1, -1\rangle$ state's Feshbach resonance near 32.6 G provides the tunability of the collisional cross section needed for evaporation, as seen in Fig. 2.6a. The other spin states either possess a negative scattering length or else have a substantial imaginary component as shown in Fig. 2.6b.

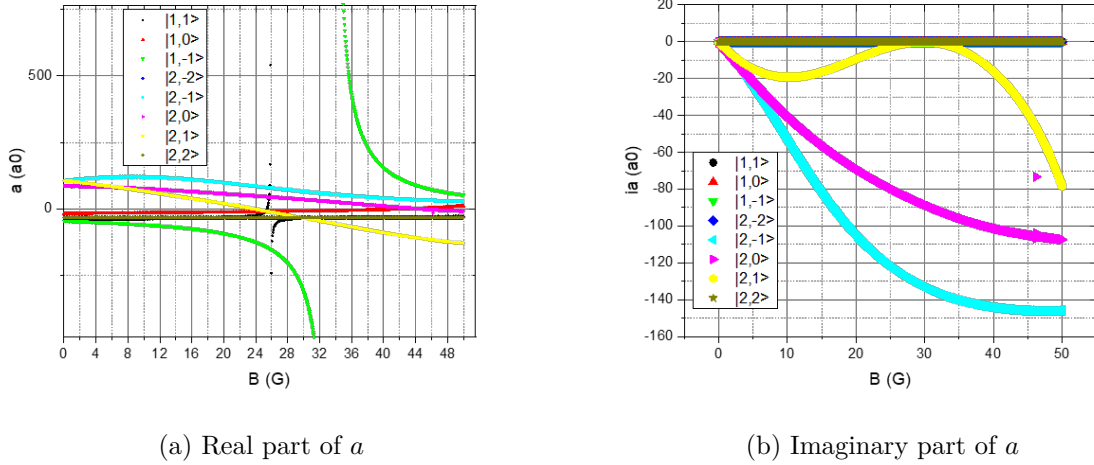


Figure 2.6: Real and imaginary parts of the intra-species scattering length. The atoms are prepared in the $|1, -1\rangle$ state which allows tuning of the scattering length via the Feshbach resonance near 32.6 G. The imaginary part of the scattering length is related to two-body inelastic collisions. Implosions are performed by flipping the atoms into the $|2, -2\rangle$ state, which is also the imaging state. The $|2, -2\rangle$ state scattering length is flat over the depicted B-field range at 33.4 a_0 .

2.5 Hyperfine transitions

Previous work in our lab used a wider variety of spin states than was required for this work. These transitions are driven by a collection of antenna tuned to the transition frequency for a given pair of states and the magnetic field. Details regarding the tuning, construction, and mounting of these antenna may be found in Roman and Xin's theses [27, 131]. The transition between spin states may be driven with a resonant microwave or RF field or via adiabatic rapid passage (ARP), also known as a Landau-Zener transition [135]. The most important transition for this thesis is the $|1, -1\rangle \rightarrow |2, -2\rangle$ microwave transition. The $|1, -1\rangle$ state is the preparation state used in evaporation and is the initial state of the atoms prior to the quench to negative interactions. The $|2, -2\rangle$ state is both the state to which we quench as it possesses a small negative scattering length (see Fig. 2.6a); it is also the lower state of the cycling $|2, -2\rangle \rightarrow |3, -3\rangle$ transition used for imaging the cloud. When speed is not an important factor, such as for imaging expanded and therefore low density clouds in TOF, we use an ARP via a sweep of the frequency across the resonance. When the initial and final frequencies are sufficiently detuned from resonance, the atoms will follow the dressed states which asymptotically approach the bare states away from resonance. ARPs are more robust and stable against drifting B-fields as the initial and final detuning can be very large compared to any the change in resonant frequency due to changing B-fields. While ARPs are highly reliable and only rarely require optimization, they also take a considerable amount of time as the frequency must be swept slowly with respect to the resonant Rabi frequency. Our ARPs require about $200\ \mu\text{s}$ to complete, too long for the quench experiments in this thesis. Ideally, our quench from positive to negative scattering length to amplify implosions would be instantaneous. An instant quench is obviously impossible, but the slow timescale of the ARP may be dramatically improved upon by instead using a resonant π pulse at the cost of increased sensitivity to inevitable magnetic field noise. For the $|1, -1\rangle \rightarrow |2, -2\rangle$ transition used to initiate implosions, the pulse lasts $6\text{-}8\ \mu\text{s}$, sufficiently rapid with respect to the implosion dynamics to be negligible. Examples of resonance position and Rabi-frequency measurements are shown in Fig. 2.7a.

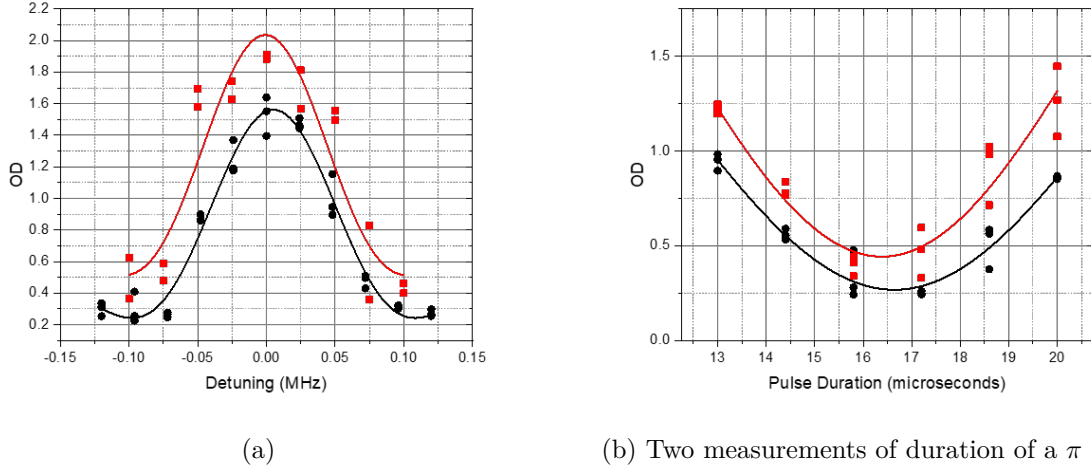


Figure 2.7: Measurements of the center frequency and pulse duration for the $|1, -1\rangle \rightarrow |2, -2\rangle$ transition. In (a) are two measurements of the resonance position for the $|1, -1\rangle \rightarrow |2, -2\rangle$ transition. In (b) are two measurements of duration of a 2π pulse. The 2π pulse yields the minimum observed optical depth, this time is divided by two to give the duration of a π pulse. The residual signal in the π pulse minima in (b) also appears at $t = 0$ microseconds and is related to the extremely high optical depth of the in situ cloud.

2.6 Optical Dipole trap

The optical trapping fields are provided by three high powered beam lines focused within the science cell. The ODT light is derived from a 50 W amplifier made by Nufern which is seeded by low power (15-50 mW) 1064 nm laser. This laser is divided into three beams (H1, V, and H2) each coupled to a photonic crystal fiber (PCF). The optical layout of the 1064 nm system up to and including the three PCF input couplings is enclosed in black metal paneling for safety, and is unchanged from previous theses [27, 131]. The PCF fibers route the H1, V, and H2 to their respective breadboards and each beam is ultimately aligned to the center of the science cell. A photo of the area surrounding the science cell is given in figure 2.8. The two horizontal trapping beams, H1 and H2, are mounted on the side breadboard shown in the schematic of Fig.2.9. The ODT loading beam, also referred to as H1, is focused to a waist of $28 \mu\text{m}$ and precisely aligned to a favorable position within the science cell just off center of the QT. If H1 is too close to the zero of the QT, Majorana spin flips transfer many atoms into states with poor collisional behavior

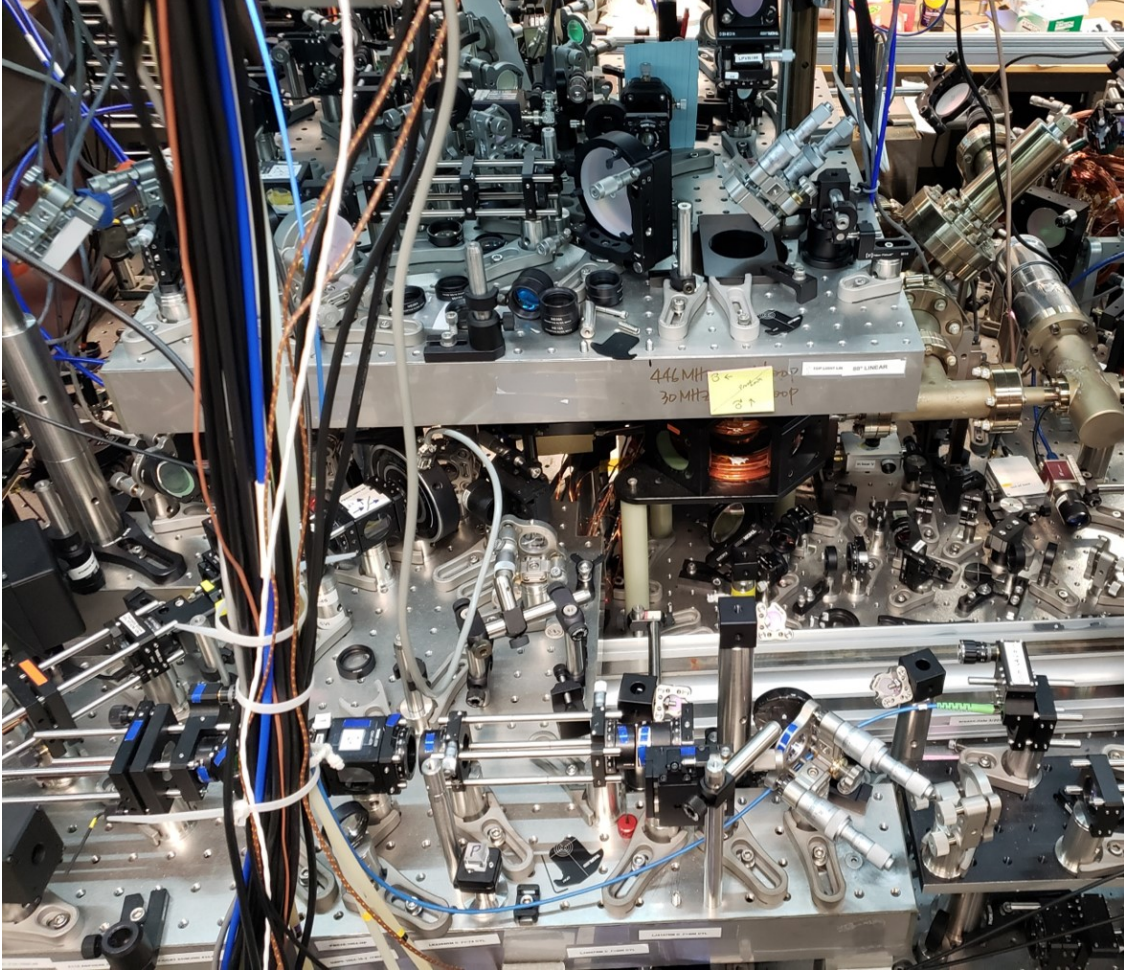


Figure 2.8: A view of the area surrounding the science cell. This image is intended to give the reader an idea of the space constraints of the experiment; optical layouts are given in Figs. 2.9, 2.10, 2.11, and 2.14 which will provide much more clarity and understanding. The copper bias coils of the cell are visible just to the right of center. The H1 and H2 beams enter the science cell from the breadboard in the bottom-left portion, see Fig. 2.9. The breadboard in the top half of the image holds the V beam input optics and many of the optics of the X,Y, and Z lattice, see Fig. 2.10. The periscope diverting light from the H1 input optics to the top breadboard may be seen at the far left, where a 1" mirror diverts the beam upward and a 2" mirror mounted on a 1.5" steel post steers light onto the top breadboard.

and essentially zero atoms are loaded. The atoms are transferred from H1 to the vertical (V), and sheet (H2) ODT beams during the evaporation procedure. Atom number and temperature at the end of evaporation is sensitive to the relative alignment of these three beams. All three beams are independently intensity stabilized with a JILA AOM intensity servo and in-loop photodetector. An additional out-of-loop photodetector allows monitoring of the beams' power for each beam.

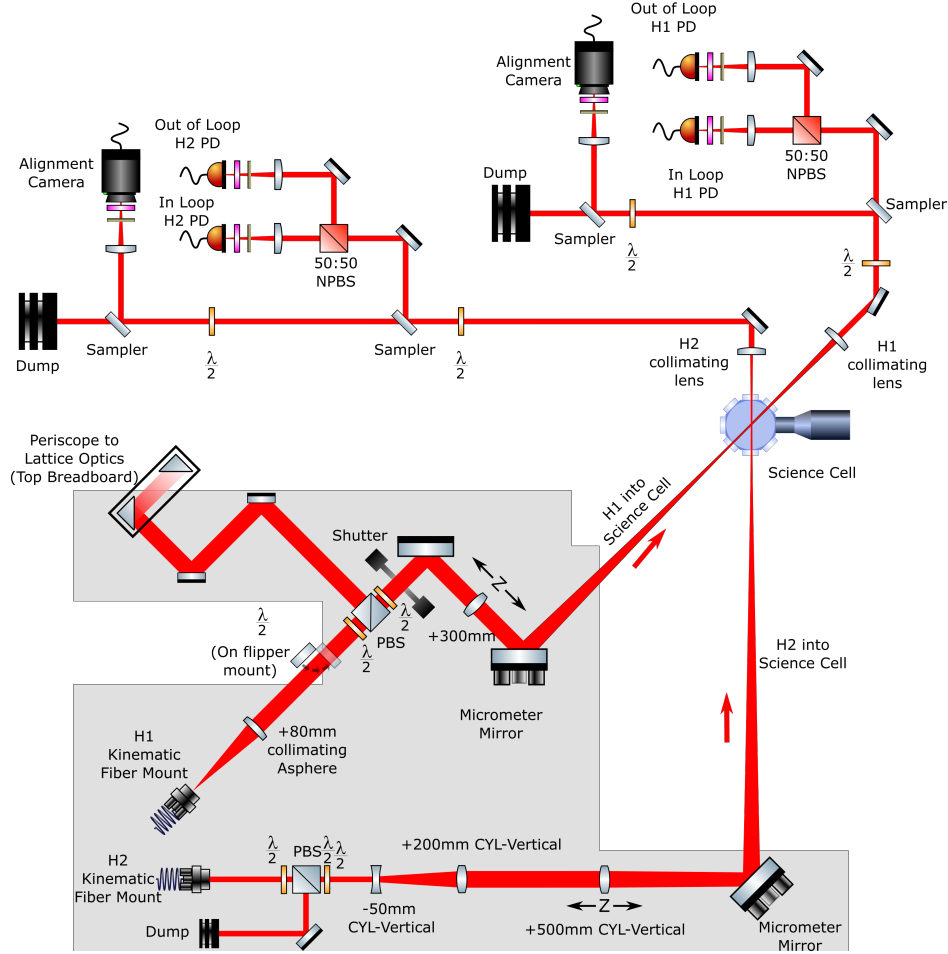


Figure 2.9: Layout of the H1 and H2 beam optics. The breadboard for the input optics (up-beam of the science cell) is mounted such that the beam high is level with the atoms and is depicted in grey. Optics on the input breadboard are positioned roughly to scale. The H1 beam is used only during loading of the ODT from the science QT and is focused to a 28 micron waist. The H1 beam propagates at a 45 degree angle to the X-axis. During loading the H1 beam is ramped off as the H2 beam is ramped on. The H2 beam is focused in the vertical direction but not the horizontal direction by the +500 mm cylindrical mirror mounted on a longitudinal translation stage to align the waist to the atoms. The figure shows the behavior of the Z-size of the beam from the +500 cyl lens to the collimating lens after the science cell. The H2 beam propagates along the X-axis in the $-\hat{x}$. For both H1 and H2 (and V, see Fig. 2.11) the beams are collimated and sent to servo, monitoring, and alignment optics, although most light is dumped into water-cooled beam dumps. The optics down-beam of the atoms are not shown to scale

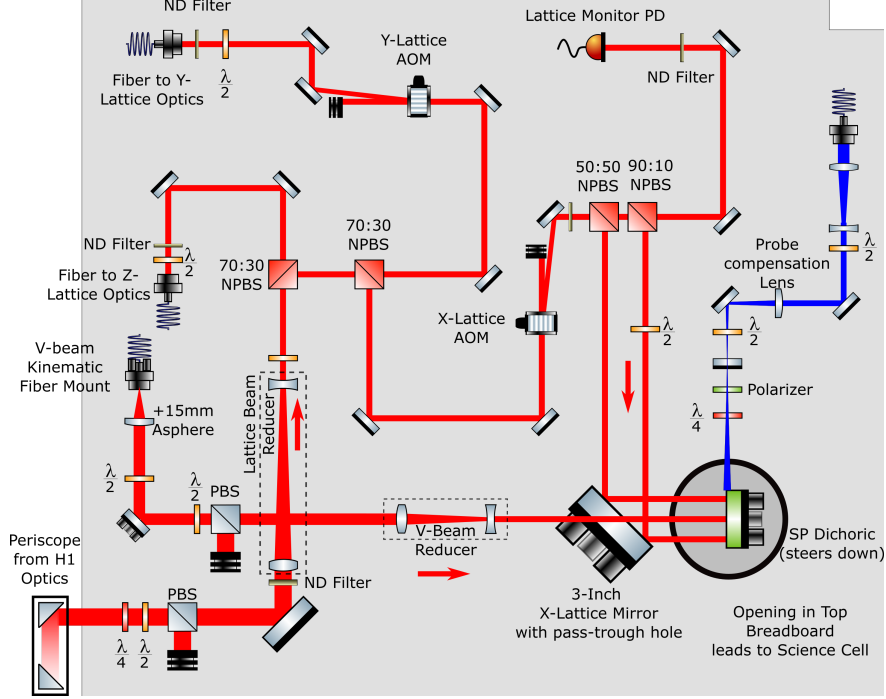


Figure 2.10: Top breadboard. This breadboard holds the input optics for the V beam and the vertical/top probe light. It also receives the lattice light from the H1/H2 breadboard via a periscope from the H1 optics. The lattice light is shifted by an AOM to offset the X, Y, and Z lattice beam frequencies. The Y and Z lattice beam lines are fiber coupled and routed to the Y and Z lattice optics of Fig. 2.14. The X lattice light is delivered alongside the V beam light and directed down by a short-wave pass dichroic mirror through which the top probe light is transmitted. The ODT V beam and the top probe beam are overlapped with each other down through the science cell and atoms until separated by a long-wave pass dichroic below the science cell as shown in Fig. 2.11.

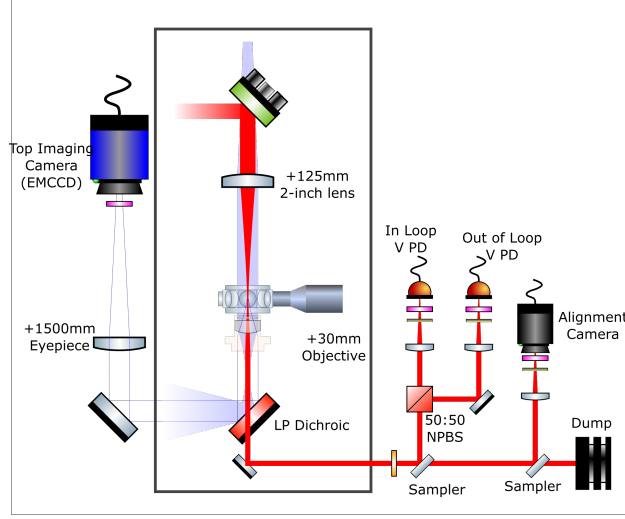


Figure 2.11: Top probe light and V ODT beams. The inset box shows the downward propagation of the probe and V beam through the science cell, outside the inset the beams are propagating horizontally. All beam sizes are exaggerated for clarity, particularly the probe light which is light blue-grey and is collimated at the atoms. To compensate for the +125 mm lens which focuses the V beam and steers the X-lattice beams (not shown), the probe light is not collimated when it is combined with the V beam by the SWP dichroic depicted in green at the top of the inset. The probe light is resonant with the $|2, -2\rangle \rightarrow |3, -3\rangle$ cycling transition and is circularly polarized for a σ^- transition. Light scattering by the atoms is depicted by the blue dashed lines and is collected by the +30 mm objective. The unscattered light is divergent after the objective and due to the exaggerated size of the probe beam at the atoms is depicted as diverging dramatically after the objective. The unscattered light is much less divergent than indicated. The bias field is produced by Helmholtz coils above and below the science cell which are not shown and the bias field oriented in the $\hat{z}$ direction. The V beam of the ODT is shown in red. Below the science cell and the objective the probe light is reflected by the LWP dichroic mirror (red) to the eyepiece and camera. The V beam is transmitted through the dichroic and sent to the servo, monitoring, and alignment optics. After the LP-dichroic path lengths are not to scale and several mirrors are omitted.

2.6.1 Trap characterization

Accurate knowledge of the trapping potential is critical in determining the density of the BEC. In fact, the properties of the condensate relevant to this thesis are determined from six basic quantities: scattering length, total atom number, temperature, and the trapping frequency in the $\hat{x}$, $\hat{y}$, and $\hat{z}$ directions. Temperature measurements typically require knowledge of the trap frequencies as well, although this requirement is relaxed for long time-of-flight measurements. We experimentally determine ω_x , ω_y , and ω_z by inducing a sloshing motion in the clouds center-of-mass and measuring their position after a variable amount of time. The confining potential stems from the dipolar interaction between the atoms and the 1064 nm laser forming the optical dipole trap. The 1064 nm light is red-detuned from the D1 and D2 transitions to the trapping potential is attractive with respect to beam intensity [57]. The ODT is deepest at the intersection of the focused ODT beams, which are Gaussian in profile and approximate a parabola near the trap minimum.

The vertical slosh is induced by briefly turning off the H2 beam, allowing the atoms to fall away from their equilibrium position before the potential is restored and the cloud oscillates about its initial position as shown in Fig. 2.12b. However, for H2 powers near the lower limit required for sustaining against gravity, this method has its challenges. The power of H2 (and the other two beams) is determined by a waveform generated by an arbitrary waveform generator; because the smallest programmable time step is far too long to directly program the chopping of H2, which generally lasts $<500\mu s$, the chop is performed by digitally chopping the AOM RF for the desired impulse time. As a result, the servo rails and when the AOM is switched back on the power spikes to its maximum possible power for a time before oscillating as the servo reestablishes the desired setpoint. This provides a slosh impulse much more powerful than desired at low power settings and portions of the cloud may slosh right on out of the trap or into regions of H2 which are anharmonic. This leads to difficulty in characterizing the trap at low trap frequencies. Less forceful sloshes may be attained by simultaneously chopping the servo setpoint and the H2 AOM, since the servo does

not rail and only small residual power oscillations which very quickly damp away occur following the restoration of the trap. This allowed us to reduce the impulse time to $< 150 \mu\text{s}$ and measure trap frequencies as low as $2\pi \times 45$ and with more accuracy and repeatability. The calibration of ω_z is shown in Fig. 2.12a.

The horizontal trap frequencies are measured *in situ* using the vertical imaging system. To induce slosh in the $\hat{x}$, and $\hat{y}$ directions an additional beam (one of the X-Lattice beams, to be described below) is intentionally misaligned and ramped up slowly to shift the cloud's equilibrium position. The perturbing beam is then extinguished and the cloud returns to and oscillates about its equilibrium position as shown in Fig. 2.13b. The misalignment of the lattice beam is carefully done with a micrometer to ensure it may be returned to its proper alignment. We are able to measure the horizontal trap frequencies in both principal axes simultaneously. The calibration curves for ω_x and ω_y (Fig. 2.13a) are fit with a different functional form than the vertical frequency ω_z (Fig. 2.12a) due to the absence of gravitational effects on the horizontal confinement.

2.7 Optical lattices

In order to understand how implosions will amplify intrinsic density fluctuations we introduced density fluctuations of our own by way of a weak optical lattice. Three lattices, one in each of the $\hat{x}$, $\hat{y}$, and $\hat{z}$ directions, each formed by two running wave beams intersecting at a small angle were constructed to provide the control perturbations. The design and alignment of the lattices posed a tricky engineering problem due to the limited optical access to the science cell, see Fig. 2.8 All three lattices must be detuned with respect to each other and to the H2 and V beams. Following the transfer of atoms from the H1 loading beam to the crossed ODT formed by H2 and V, a flipper mount places a half wave plate prior to the PBS in the H1 beam path on the breadboard containing the H1 and H2 beam paths prior to their entry into the science cell; see Fig. 2.9. With the lattice diversion waveplate introduced, the PBS reflects the 1064 nm light to a periscope directing the light to the top breadboard, which is shown in Fig. 2.10. Here the beam's polarization is cleaned with waveplates and a PBS, before passing through a beam reducer. The

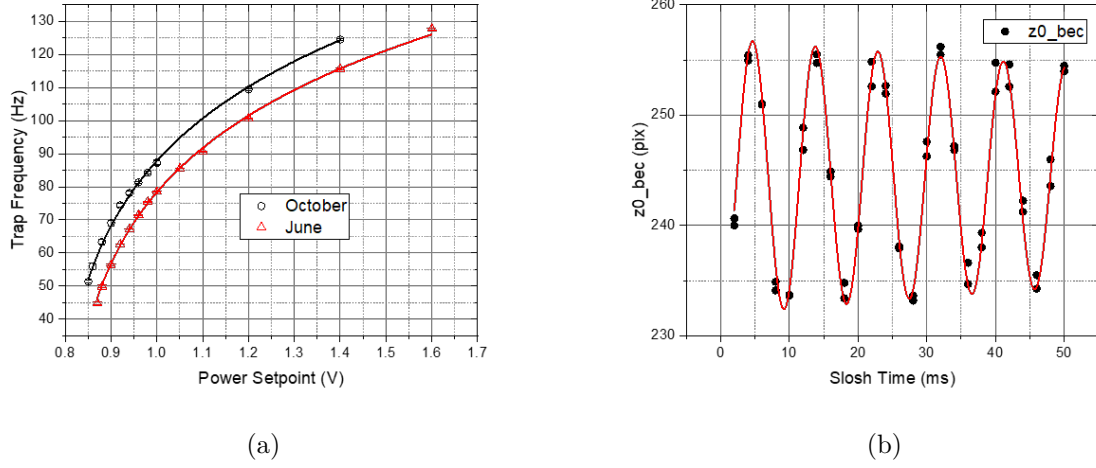


Figure 2.12: (a) Calibration of the vertical trap frequency vs the setpoint; the beam power is linear in the setpoint. Below a certain beam power the ODT cannot hold the cloud up against gravity. The functional form of the frequency dependence is altered by gravity as the trap frequency depends on the curvature, i.e. the second derivative of the potential. Measurements from two months in 2021 are shown in (a). The difference between the two calibration curves is due mainly to intentional changes in alignment of the H2 beam. (b) Example of a vertical slosh measurement. Each datum represents one experimental run as the measurements are destructive.

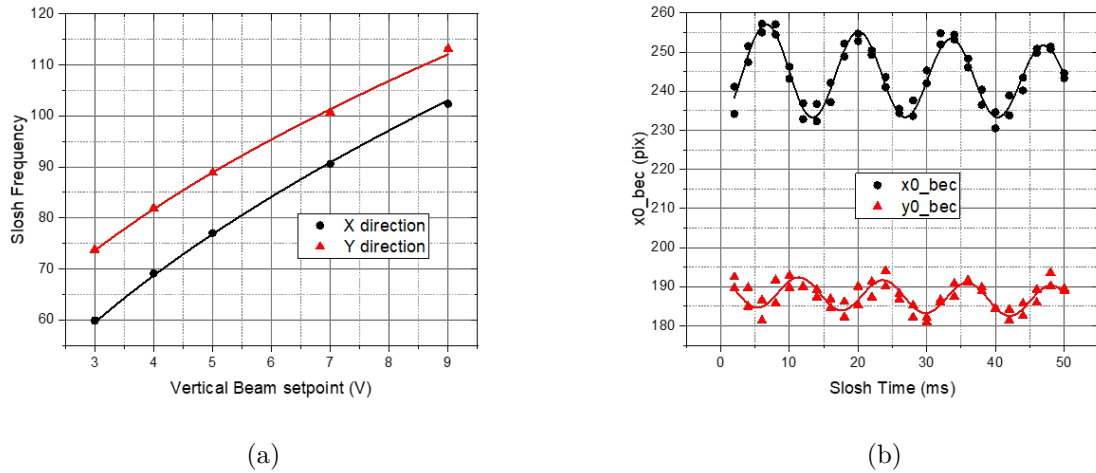


Figure 2.13: (a) Calibration of the horizontal trap frequency vs the setpoint; the beam power is linear in the setpoint. The slosh frequency scales as the square root of the power. The X frequency fit contains only one free parameter and intersects the origin. The Y frequency contains a contribution from the H2 beams transverse profile and so the Y frequency fit intersects at the Y axis at 21 Hz. (b) Example of a horizontal slosh measurement. Each experimental cycle yields an X and Y position.

beam then passes through a 70:30 BS and the 30% transmitted is coupled to a PMSM fiber and is launched into the Z-lattice optics depicted in Fig. 2.14. The 70% reflected light immediately passes through an additional 70:30 BS and its 30% transmitted light passes through a broadband AOM and the first sideband coupled to an additional PMSM fiber which is launched into the Y-lattice optics also shown in Fig. 2.14. The light which is reflected from the second 70:30 NPBS passes through an AOM. The first sideband of this light passes through a 50:50 NPBS and a 90:10 NPBS, such that the two reflected beams have similar powers. The portion of the X-lattice light which is transmitted through the 90:10 NPBS is aligned to the lattice monitor PD used for calibration and monitoring. The two beams which form the X-lattice are incident upon a three inch mirror with a 45 degree hole bored through it to allow the V beam of the ODT to pass through; the V beam is then flanked by the parallel X-lattice beams and they are subsequently diverted down toward the science cell by a SWP dichroic mirror through which the top probe beam passes, see Fig. 2.10. The X-lattice beams are steered by the same +125 mm lens above the science cell shown in the inset of Fig. 2.11, but are not shown in that figure. As mentioned above, one of the X-lattice beams is used to induce slosh in the X and Y directions; this is done by misaligning the micrometer on the three inch mirror which parallelizes the X-lattice beams with respect to the V ODT beam. This misalignment is done in a controlled and reversible way by the high-tech trick of taking a photo of the micrometer before turning it. The geometry of the lattice beams will be discussed in more detail in Chapter 5.

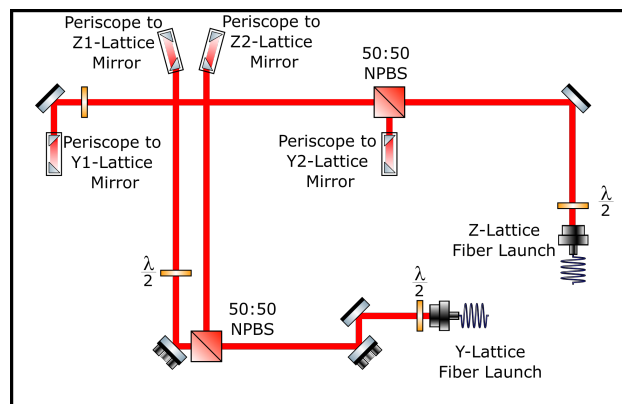


Figure 2.14: Optics for Y and Z lattices.

Chapter 3

Image Apparatus and Analysis

The primary tool which we have to learn about our atomic system is absorption imaging (AI). Absorption imaging allows us to directly measure the spatial distribution of our atomic cloud. How the atoms move over time and their various responses to different conditions allows us to learn other properties, such as temperature and the oscillation frequency of our trap among many others. The basic premise of AI is simple: we briefly shine laser light upon our atomic cloud, which absorbs many of the photons; then a bit later we again briefly shine the laser after the atoms are gone. Simplistically, the difference between the pictures, first when the atoms are there and thus leave a shadow, second when they are not and have no shadow, tells us where the atoms are. A background picture with the laser off is also taken to subtract out background light. The probe frequency, atomic spectrum, optical depth, background light, polarization, scattering off dust, timing, focus, and many other things influence the resulting absorption images. The details of AI are well established [81, 103, 131, 27], but given the central role AI in our experiment and the fact that all images are processed by analysis software written by myself, I believe a thorough discussion on the details is warranted.

3.1 Absorption Imaging: the Basics

As the name suggests, absorption imaging relies on probe light being absorbed by the atoms. As the light passes through the atomic cloud, it is absorbed and re-emitted by the atoms in some random direction. If we have very few atoms, most of the photons from our probe laser will pass

unaffected through the cloud. If we have many atoms, then many photons are absorbed. The intensity of the probe thus depends on, among other things, how many atoms through which it passed. Therefore, as the probe laser beam goes through the cloud, it will become dimmer. This attenuation of the probe may be described by the mathematical formula known as *Beer's Law* or the *Beer-Lambert Law*,

$$I = I_0 e^{-OD}, \quad (3.1)$$

where OD is the *optical depth* (OD) of the atom cloud the laser beam has passed through and I_0 is the initial intensity of the beam. The OD of the atomic cloud is one of the most important pieces of data in the lab. While the OD is indeed calculated from a set of images, not a raw image in and of itself, it is nevertheless treated in lab like a simple picture (when things are going right). From the calculated 'OD image' we extract everything we can know about the cloud. Boiling it all down, the only thing that determines the measured OD is how much light was absorbed/scattered as it passed through the imaging system. If one knows the properties of your light, atoms, trap, and optics very well, then the only free parameter is how many atoms the light had to travel through. This is expressed as a column integrated density $n(x, y)$. Assuming the laser travels in the z direction with initial intensity I_0 before the cloud, then (assuming a collimated probe) the intensity just after the cloud is:

$$I = I_0 e^{-n(x, y)\sigma}, \quad (3.2)$$

where σ is the *absorption cross section* of our atom [55]. The cross-section contains the information about how likely the photons are to be absorbed by the cloud. Since $n(x, y)$ has units of inverse area), it is clear that σ must itself have units of area. We will discuss the cross section more later. Clearly we can see from Eqs. 3.1 and 3.2 that

$$OD = n(x, y)\sigma. \quad (3.3)$$

Therefore given we understand our atom's response perfectly as encapsulated in σ , determining the OD is really determining the density! So now how specifically do we determine the OD? If we had no background light whatsoever then all of the light would come from the probe beam. Ignoring

the background light for now, we take two images and consider the probe intensity for the same pixel in those two images. That light has intensity I_{shadow} in the shadow frame when the atoms are present. When the atoms are not present during the light frame, that light has intensity I_{light} since no light was absorbed. In the language of Eq. 3.1 its obvious that $I_0 = I_{light}$ and therefore $I_{shadow} = I_{light}e^{-OD}$. Solving then yields:

$$OD = \ln \frac{I_{light}}{I_{shadow}}. \quad (3.4)$$

However, in the real world, there will always be some background light. This can be caused by actual background light from non-laser sources or it can be background currents or thermal fluctuations on the camera detector. Let us assume that the response of the camera is a contribution linear in the probe intensity plus a *constant* background term, then to isolate the *laser light* in the light frame and shadow frame we simply note that $I_{light \text{ laser}} = I_{light} - I_{dark}$ and $I_{shadow \text{ laser}} = I_{shadow} - I_{dark}$ where I_{light} , I_{shadow} , and I_{dark} are apparent intensities that the camera tells us in some units (frequently just ‘counts’), and $I_{shadow \text{ laser}}$, $I_{light \text{ laser}}$ are the corrected intensities actually due to the laser (still in the cameras units). But remember, in determining the density we only care about the OD due to the atoms absorbing the laser, thus:

$$OD = \ln \frac{I_{light \text{ laser}}}{I_{shadow \text{ laser}}} = \ln \frac{I_{light} - I_{dark}}{I_{shadow} - I_{dark}}. \quad (3.5)$$

On the right hand side I_{shadow} , I_{light} , I_{dark} are the actual camera image files that I work with on the computer. Presuming that we know σ quite well we can use Eq. 3.3 to get the density and hence the number [103]

$$N = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} n(x, y) dx dy = \frac{1}{\sigma} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} OD dx dy. \quad (3.6)$$

3.1.1 Ideal Beer’s Law

Consider a laser beam propagating along $\mathbf{z}$ incident on a collection of atoms. Let us assume (for now) that each atom has an identical cross-section σ_0 . If we consider the atoms as a series of

thin sheets with some atoms in each sheet with thickness dz , then the change in intensity of the laser field is only on how many atoms lie within that sheet:

$$\Delta I = I_{in} N_{atoms} = I_{in} n_a(x, y, z) \sigma dz. \quad (3.7)$$

Where the density of the atoms is $n_a(x, y, z)$. Rewriting this in terms of an absorption coefficient $\kappa = n(x, y, z) \sigma_0$

$$\frac{dI}{dz} = -\kappa(n_a(x, y, z)) I(z) \quad (3.8)$$

shows how the absorption coefficient is independent of the intensity. This is the meaning behind our constraint that the cross-section σ is independent of $I(z)$. Omitting the transverse coordinates, we proceed by rearranging and multiplying by an integrating factor $e^{\int_0^z \kappa(z') dz'}$

$$\frac{dI(z)}{dz} e^{\int_0^z \kappa(z') dz'} + \kappa(z) I(z) e^{\int_0^z \kappa(z') dz'} = 0. \quad (3.9)$$

The product rule may be applied in reverse. Integrating and rearranging yields:

$$\begin{aligned} \frac{d}{dz} \left(I(z) e^{\int_0^z \kappa(z') dz'} \right) &= 0 \\ I(z) e^{\int_0^z \kappa(z') dz'} - I(0) &= 0 \\ I(z) &= I(0) e^{-\int_0^z \kappa(z') dz'} \end{aligned} \quad (3.10)$$

Identifying $OD_{Beer} = \int_0^z \kappa(z') dz'$ yields Beer's Law in its simplest form

$$I(z) = I_0 e^{-OD_{Beer}}. \quad (3.11)$$

This only applies when the absorption coefficient κ is independent of I [55]. This relation defines the 'ideal' OD: $OD_{ideal} = OD_{Beer} = \sigma_0 n_{col}(x, y)$. However, life is tough in the aluminum siding business, and so we must grapple with the realities of absorption imaging in the real world.

3.1.2 Absorption Imaging in the Real World: Bad Light

In the real world, some of the light incident on our CCD is composed of photons which are of the wrong frequency, polarization, or origin, origin meaning they did not pass through the atoms

and imaging system as intended. Inevitably, some of this light shows up on our CCD, and we denote the intensity of this bad light I_{bad} . This affects our measured OD and puts a limit on the maximum OD our imaging can accurately measure [81, 16]:

$$OD_{meas} = \ln \frac{I_{L_0} + I_{bad}}{I_{S_0} + I_{bad}}$$

and I_{L_0} is the intensity good photons of the probe beam without atoms present, and I_{S_0} is the intensity of the good photons when atoms are present. The dark frame (I_D) is omitted for simplicity here. The maximum OD of the imaging system, i.e. the OD when every good photon is absorbed is thus

$$OD_{max} = \ln \frac{I_{L_0} + I_{bad}}{I_{bad}}.$$

We want only the optical depth from the good photons:

$$OD_{good} = \ln \frac{I_{L_0}}{I_{S_0}}. \quad (3.12)$$

We can solve for this in terms of the measured OD and the maximum OD, the latter of which is measured by imaging a cloud with a much higher OD than the maximum. This correction yields

$$OD_{good} = \ln \frac{1 - e^{-OD_{max}}}{e^{-OD_{meas}} - e^{-OD_{max}}}. \quad (3.13)$$

It is best to operate comfortably below the maximum achievable OD to minimize errors in measuring the OD of clouds near the maximum OD; if $OD_{meas} \simeq OD_{max}$ the denominator in Eq. (3.13) becomes very small, and small sources of noise can dramatically influence the inferred OD_{good} .

3.1.3 Absorption Imaging in the Real World: Saturation

The following derivation follows sections 7.6 and 7.6.2 of [55]. The attenuation of the probe beam as it passes through the atoms is generally

$$\frac{dI}{dz} = -\kappa(\omega, z)I(z) = -n(z)\sigma(\omega, I(z))I(z). \quad (3.14)$$

The cross section's dependence on the frequency ω of the probe light is [55, 36, 66, 127, 125, 126, 124]:

$$\sigma(\omega) = \sigma_0 \frac{\Gamma^2/4}{(\omega - \omega_0)^2 + \Gamma^2/4}. \quad (3.15)$$

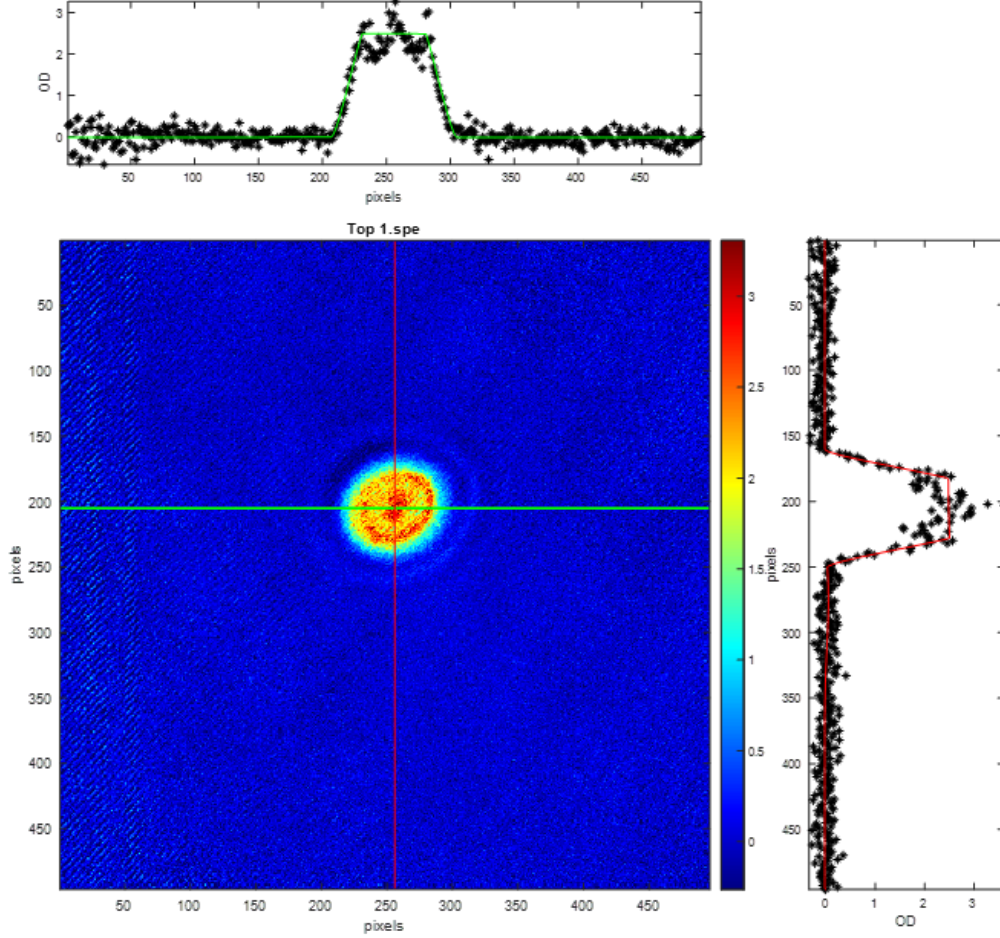


Figure 3.1: Saturation of OD due to bad light. This image does not accurately reflect the true optical depth of the cloud because of bad light which leaks through. The maximum apparent OD of 2.5 is due to 8% bad light.

The FWHM of the lineshape Γ is the decay rate of atoms from the excited state back to the ground state, ω_0 is the resonant frequency of the transition $\hbar\omega = E_{excited} - E_{ground}$, and the resonant cross-section σ_0 in terms of the transition wavelength λ_0 is

$$\sigma_0 = \frac{3\lambda_0^2}{2\pi}. \quad (3.16)$$

Saturation of the transition also gives the cross-section intensity dependence. Reasoning from population dynamics and Einstein coefficients, we can introduce a term to the absorption coefficient which accounts for the saturation of the transition. The absorption coefficient is then

$$\kappa(\omega, I) = \frac{n\sigma(\omega)}{1 + I/I_s(\omega)} \quad (3.17)$$

where

$$I_s(\omega) = \frac{\hbar\omega\Gamma}{2\sigma(\omega)}. \quad (3.18)$$

In my experience, the term saturation intensity is, almost without exception, used to refer to the value of I_s on resonance [55]. Then

$$I_{sat} \equiv I_s(\omega_0) = \frac{\pi\hbar c}{3\lambda}\Gamma \quad (3.19)$$

is *the* saturation intensity. For the cycling transition $|2, -2\rangle \rightarrow |3, -3\rangle$ in ^{39}K , this is 1.75 mW/cm².

Expanding this out into it's full glory yields

$$\begin{aligned} \kappa(\omega, I) &= n\sigma_0 \frac{\Gamma^2/4}{(\omega - \omega_0)^2 + \frac{1}{4}\Gamma^2(1 - I/I_{sat})} \\ &= \frac{n\sigma_0}{4\delta^2/\Gamma^2 + 1 + I/I_{sat}} \end{aligned} \quad (3.20)$$

where $\delta = \omega - \omega_0$. On resonance, Eq. 3.14 then becomes

$$\begin{aligned} \frac{dI}{dz} &= -\frac{n\sigma_0 I(z)}{1 + I(z)/I_{sat}} \\ \frac{ds_0}{dz} &= -\frac{n\sigma_0 s_0(z)}{1 + s_0(z)} \end{aligned} \quad (3.21)$$

where $s_0(z) = I/I_{sat}$ [16]. Integrating this can be done as follows:

$$\begin{aligned} \int_{s_{in}}^{s_{out}} \frac{1 + s_0}{s_0} ds_0 &= -n\sigma_0 \int_0^z dz \\ \ln \frac{s_{out}}{s_{in}} + (s_{out} - s_{in}) &= n\sigma_0 z = -OD_{Beer} \end{aligned} \quad (3.22)$$

$$OD_{Beer} = OD_{meas} + (s_{in} - s_{out})$$

$$OD_{Beer} = OD_{meas} + s_{in}(1 - e^{-OD_{meas}})$$

Where OD_{Beer} is the hypothetical OD when the absorption coefficient has no intensity dependence.

We may express this as

$$OD_{Beer} = OD_{ideal} = \ln \frac{L - D}{S - D} + \frac{L - S}{I_{sat}}, \quad (3.23)$$

where S , L , and D are the rectangular arrays of the shadow, light, and dark frames respectively in units of camera counts. The saturation intensity I_{sat} is also expressed in camera counts, and is dependent on the exposure duration of the probe. When effects like atomic acceleration due to photon recoil may be ignored I_{sat} will be linear in the pulse duration. For the side imaging system $I_{sat} = 125$ counts/ μs , while for the top imaging system $I_{sat} = 9$ counts/ μs .

3.1.4 Absorption imaging In the real world, final form

Putting everything in this section together to understand exactly how to compute the atomic column density, let's write the equations with all terms included.

$$\begin{aligned}
I_{out}(z) &= I_{in} e^{-OD_{meas}} \\
OD_{ideal} &= OD_{Beer} = -n_{col} \sigma_0 \\
OD_{meas} &= \ln \frac{I_L - I_{dark}}{I_S - I_{dark}} = \ln \frac{I_{L_0} + I_{bad} - I_{dark}}{I_{S_0} + I_{bad} - I_{dark}} \\
OD_{good} &= \ln \frac{I_{L_0} - I_{dark}}{I_{S_0} - I_{dark}} \\
OD_{max} &= \ln \frac{I_{L_0} + I_{bad} - I_{dark}}{I_{bad} - I_{dark}} \\
OD_{good} &= \ln \frac{1 - e^{-OD_{max}}}{e^{-OD_{meas}} - e^{-OD_{max}}} \\
OD_{ideal} &= OD_{good} + \frac{I_L}{I_{sat}} (1 - e^{-OD_{good}}) = OD_{good} + \frac{I_L - I_S}{I_{sat}}
\end{aligned} \tag{3.24}$$

Where $I_L = I_{L_{meas}} = I_{L_0} + I_{bad}$ is the measured light frame intensity and I_{L_0} is the intensity of only the photons of the correct polarization and intensity (and similarly for I_S, I_{S_0}).

3.2 Side Imaging

The side imaging configuration allows us to characterize cloud during time of flight expansion. We apply saturation corrections. All images taken with the side camera are absorption images. In this context, “side” and “side imaging” refers to the imaging performed with the probe beam which propagates horizontally through the science cell with $\hat{\mathbf{k}}_{side} = -(\hat{\mathbf{x}} + \hat{\mathbf{y}})/\sqrt{2}$. A diagram of the side imaging optics is given in Fig. 3.2. A more detailed description may be found in [27], from where Fig. 3.2 was taken. The side imaging is used to measure the atom number and temperature in time-of-flight expansion and is always used on resonance with the $|2, -2\rangle \rightarrow |3, -3\rangle$ cycling transition. Use of a cycling transition is important for absorption imaging; if an atom ever decays into a state other than the $|2, -2\rangle$ ground state, the probe light will no longer couple (strongly) to an excited state and the optical cross section of that atom will drop to nearly zero. When a cycling transition is used, the excited state has only one decay path available and the atom will always decay back

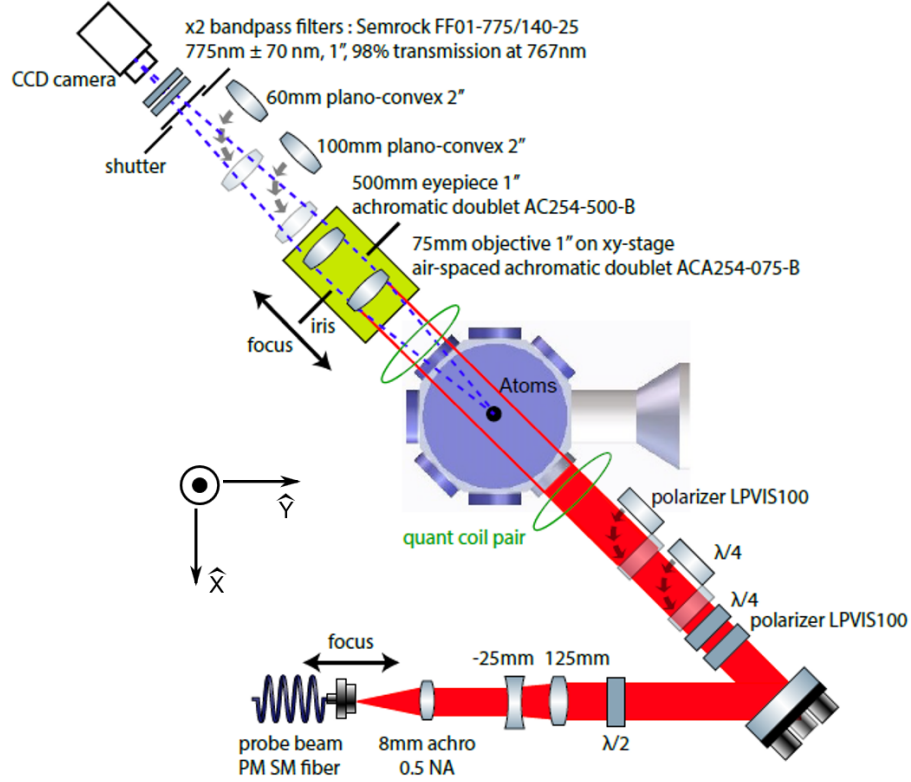


Figure 3.2: Side imaging system, figure courtesy of Roman Chapurin [27] (Fig. 3.10 in [27] reprinted with permission).

to the initial ground state.

3.2.1 Calibrating Side probe intensity

Our side imaging intensity can be calibrated in a few ways. One is to rely on the camera specifications and optical layout to extrapolate the probe intensity at the atoms. Another way is to measure many clouds with stable and repeatable number and vary the intensity; the resulting behavior of OD_{meas} can be fit to a model yielding the saturation intensity in terms of camera signal, as shown in Fig. 3.3. This method is the most convenient, but fails at low probe intensities as the signal is lost in the noise. A third method, and the most fool-proof (a necessity when I'm in the lab) is to directly compare camera response to probe with known intensity. This is done by reducing the beam size such that it is small enough to comfortably fit within the power meter's

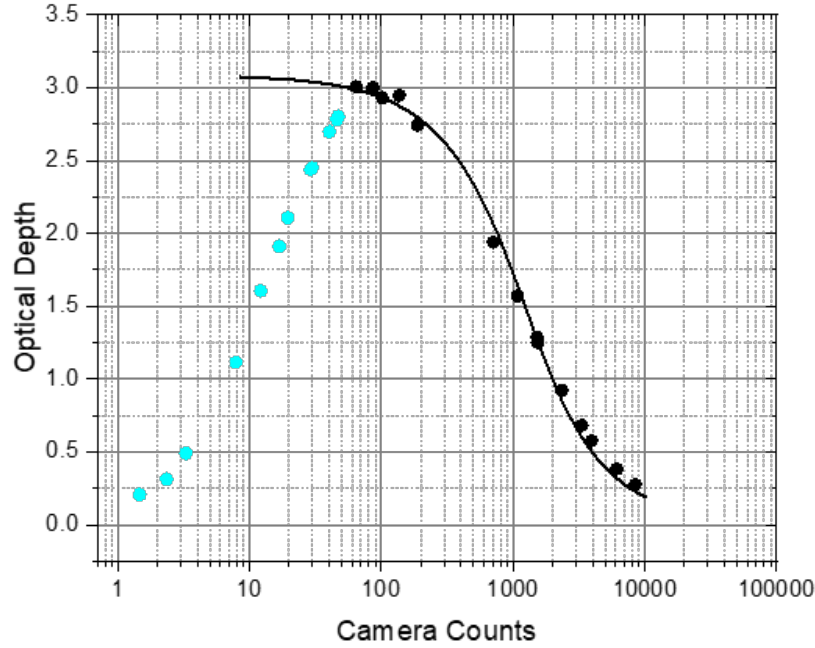


Figure 3.3: Optical density vs probe intensity. We fit to a model with the saturation intensity in counts is a parameter. Here the saturation intensity is found to be 602(22) counts for the $20 \mu\text{s}$ pulse. The cyan points are masked because the OD is washed out when signal to noise is low.

photo detector and the CCD region of interest. This can be done with an iris placed sufficiently close to the camera. The power of the beam is then measured with a power meter for various probe intensities and corresponding images are taken. It's critical that exactly the same amount of light be measured by our camera and the power meter; if any light misses the camera, then one cannot trust the comparison to the power meter unless one can be confident in exactly how much light is hitting the camera. The number of counts per pixel per microsecond per mW of power is then computed from these data. The total counts as a function of measured power provides a sanity check on the camera's linearity, but this information can also be obtained by taking pixel-by-pixel ratios of images with varying intensities. Intensity dependent behavior pixel ratios are a red flag for camera saturation. Fortunately no saturation was detected in either the top or side imaging cameras. For the side imaging, the saturation intensity (assuming no bad light) is 1250

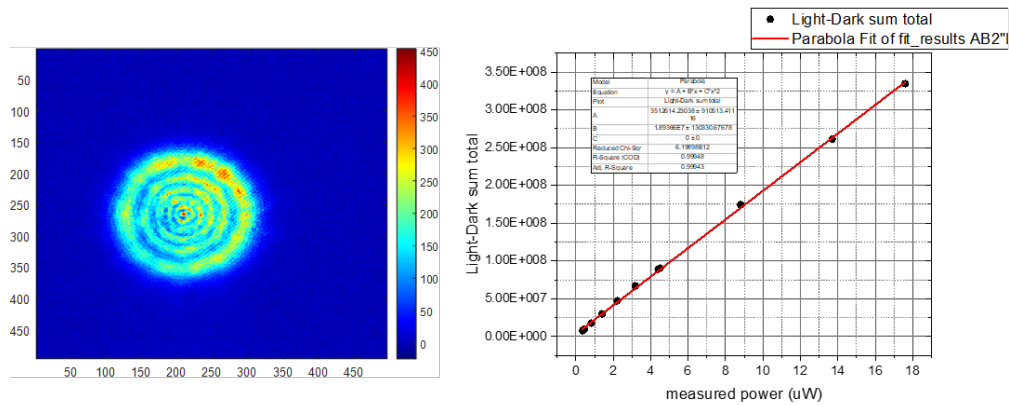


Figure 3.4: image of beam used for calibrating probe intensity. right, camera signal vs probe power measured via powermeter. linearity is good

counts for our standard 20us pulses, and we typically operate at 15-40% of this value and apply the appropriate correction.

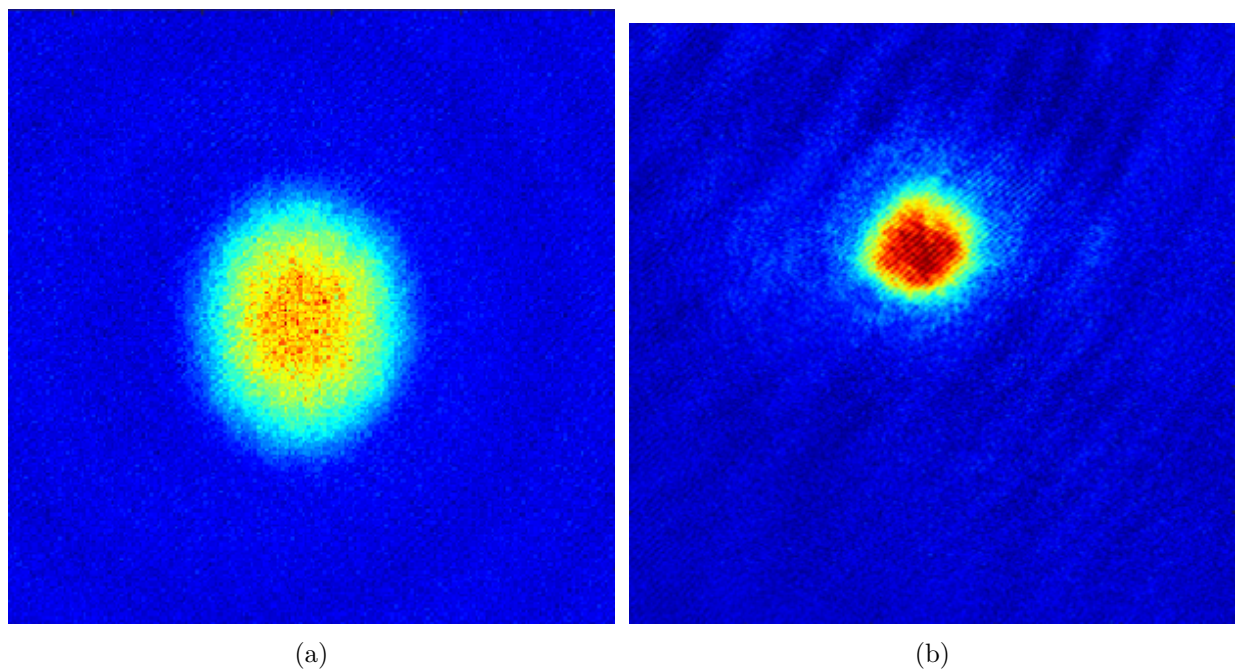


Figure 3.5: Example absorption images taken with the side imaging system (a) and the top imaging system (b). The side image (a) is taken after 17 ms of time-of-flight expansion. The top image (b) is taken *in-situ*. Images are false color.

3.3 Top imaging

The top imaging system has much higher magnification and resolution. The term “top imaging” is so named because the probe beam propagates from the top of the chamber downward through the atoms ($\hat{\mathbf{k}}_{top} = -\hat{\mathbf{z}}$). The setup and characterization of the vertical imaging optics is significantly more involved than with the side imaging. Much of the design and characterization was carried out by Xie Xin and is covered in her thesis [131]. The system is outlined in Fig. 3.6, with the objective shown in Fig. 3.7. The objective had to be modified from Xin Xie’s original design due to a pathological aberration stemming from the custom machine work required to satisfy space constraints. We shall first describe the original objective in the main text before describing the discovery and investigation of aberrations which forced us to replace the primary lens of the objective.

3.3.1 Design of vertical imaging system

Our design of the higher-resolution imaging system used for this work is guided by the scale of the implosions, which is initially determined by the geometry of the optical lattice used to seed the density perturbations. However, we are also constrained significantly by the geometry of the science cell, its surrounding coils and antennae, and especially the cart which moves beneath the objective for each shot. In order to maintain a high level of control along as many degrees of freedom as possible, the objective is mounted on a mount consisting of an XYZ translation stage from Newport, an Edmund (66-534) goniometer for pitch control (centered about the objective), and an Edmund rotary stage (66-516) providing roll control.

The objective itself is held in close proximity to the bottom window of the science cell, and is concentric with the primary coils surrounding the science cell. The objective consists of five parts: two lenses, two spacer rings, and the holder those four elements are mounted within. The holder and the two rings are constructed out of eddy-current-free Ultem to avoid stray magnetic fields which could likely complicate the magnetic field quenches. The original primary lens is a Thorlabs

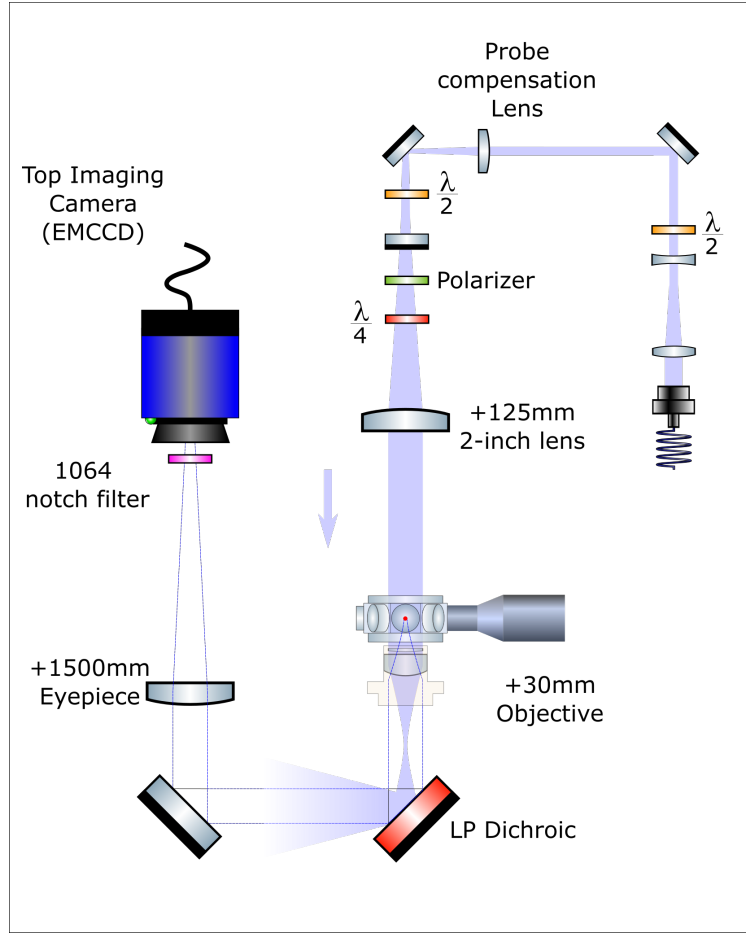


Figure 3.6: Top imaging system used for *in-situ* imaging.

CNC-polished asphere (AL4532-B) which sets the numerical aperture of the system. The asphere sits below a much thinner plano-convex lens (LA1978-B), and the two are separated by a spacer ring. The eyepiece used is a 1500 mm plano-convex lens from CVI optics (PLCX-50.8-77.6-C). The specifications of the imaging system using the original primary lens were determined by previous work to be the following: Effective focal length of 31.03 mm; NA (defined by the acceptance cone) of 0.384; exit pupil diameter (defined as the image of the stop) of 26.15 mm; limiting aperture (limited by the spacer ring between the two pieces) of 22 mm; Airy disk radius at 767 nm of $1.13 \mu\text{m}$ [131]. However, the primary lens was replaced in September 2021 due to unexpected aberrations.

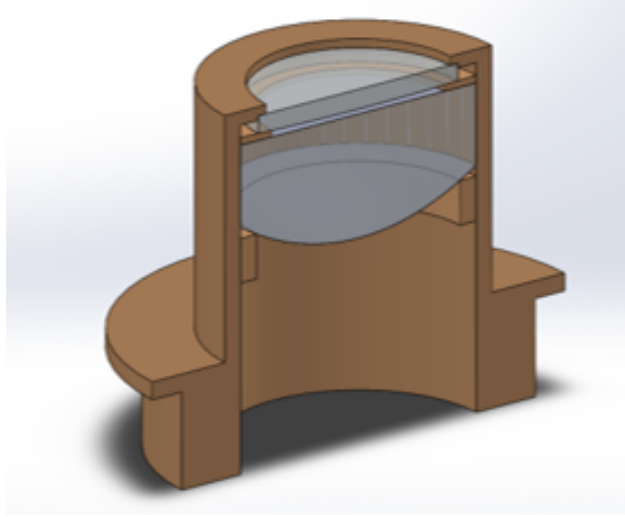


Figure 3.7: The objective for the high magnification imaging system, taken with permission from Xin Xie’s thesis [131]. The objective is comprised of a +750 mm plano convex lens and a +30 mm asphere. Additional information on the original design and testing process may be found in Xin Xie’s thesis [131]. The new objective has the same holder, retaining rings, and secondary lens but a different asphere with a similar focal length which did not require turning down to fit the holder.

3.3.1.1 Top imaging aberrations

Unfortunately, we encountered significant problems when using the above design to image our atoms. The problem first became evident when the lattice was turned on and the fringes of the atomic density corrugations were located at a dramatically different location on the CCD than the bulk cloud itself. A large amount of time, several months, were spent trying to understand the nature and cause of this phenomenon. It was possible to bring these fringes onto the atomic cloud, but the cloud as a whole was inevitably defocused. It seemed as though the objective was exhibiting dramatic spherical aberration, well in excess of what anyone in the group expected or thought likely. Only after exhausting every idea we could test with the objective in the experiment did we decide to remove the objective for additional bench testing. Our bench tests confirmed the presence of substantial spherical aberration, and we switched the high NA asphere from the one machined here at JILA to the one Thorlabs machined down to size for us. This seemed to help some, but the improvements were minor. We tested and falsified a hypothesis that the optical coating was to be blamed when another JILA machined lens exhibited similar aberration. Eventually, a new stock

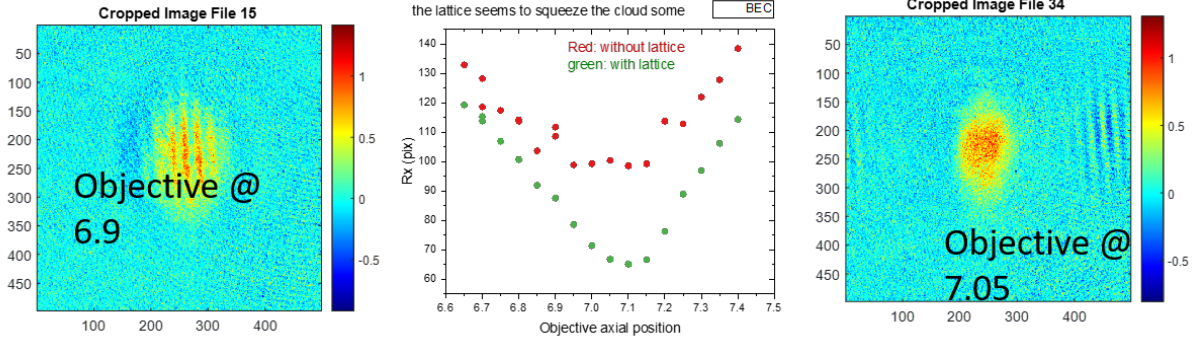


Figure 3.8: Left, out of focus, but fringes on top of cloud. middle, cloud size vs objective Z. right focused bulk cloud, but fringes far away.

asphere compatible with the objective holder geometry was found from Edmund Optics (37-434) which did not require custom machining, and immediately provided the least aberrated images to date. Our conclusion is that the machining of these precision aspheric lenses was the cause of this aberration. This was surprising as three different lenses exhibited this problem despite the careful efforts of machinists with experience in machining precision glass. Enough time had been wasted on this puzzling problem that we were happy to proceed with the new Edmund asphere.

However, our imaging system had been carefully designed with the at the time unquestioned assumption that the lenses were optically sound. Several months of modeling the optical system using Zemax and the objective holder and mount with solidworks had promised a diffraction limited resolution of 1.1 micrometers. At this point we were behind schedule, and low on manpower, so the optical layout with the new lens could not be studied with the same level of detail. Fortunately, the optical lattices provided a shortcut for verifying the magnification and measuring the actual resolution, which turned out to be 2.5 micrometers, more than a factor of two worse than originally planned, and placing the wavenumber of the Y-lattice used for many of the experiments described in Chapter 5 at the very edge of the resolution.

3.3.2 Top camera calibration

The high magnification, *in-situ* images used to measure the static structure factor in this work are all taken using the top imaging system. Immediately below the science chamber sits the high NA objective, perched carefully on a mount with five degrees of freedom. Because of the large magnification $M = 50$ of the top imaging system, the probe intensity at the CCD is a factor $M^2 = 2500$ lower than at the atoms. This prevents imaging at probe intensities at or below the saturation intensity because such a weak signal on our camera is lost in the noise. Additionally, the extremely high OD of the in situ clouds, which can be on the order of $OD_B \approx 300$ would effectively block all resonant light. For this reason, we operate at $I/I_{sat} \approx 150$. The probe intensity was calibrated in the same manner as described in section 3.2.1.

3.4 Fringe removal with PCA

The OD of the cloud is, as already mentioned, calculated using three frames, one with the shadow of the atoms, one without, and a dark frame to account for common mode noise. If the probe intensity profile changes between the shadow and light frames, this difference will manifest as structured noise. Our CCD is limited in speed such that our shadow and light frames are taken 400 ms apart. Air currents, dust, thermal effects, reflections, etaloning, and more may cause such deviations. The top imaging system is particularly prone to substantial interference fringes, which can make the atomic density difficult to discern. As the primary aim of this work is to characterize density fluctuations in BEC, fluctuations or fringes from imaging are also of great concern should they appear at the same spatial frequencies as the fluctuations to be measured. Fortunately, these fringes rarely appear at frequencies of concern. However, even a low frequency fringe can have substantial impact on the analysis of that image, generally making it difficult to determine the size shape and position of the cloud and overall making life harder. Fortunately, established techniques exist to mitigate this problem, chief among them being principal component analysis (PCA) [82, 133, 4, 90, 112].

Principal component analysis allows one to reconstruct a new light frame for a given shadow frame given a set of reference frames. A few tens of reference light frames are decomposed into a characteristic basis set capturing the most important variations from the average. A portion of the shadow image, away from the atoms, is then decomposed into this basis, and a new light frame with the same noise profile away from the atoms is constructed. Although only pixels without influence from the atoms are used to determine the relative weights of the reconstructed shadow image, the characteristic basis set is computed from a reference set with no atoms at all, and so the reconstructed image fills in the region with atoms as though there were non. The end result is much closer to what the shadow frame would look like without the shadow. This is exactly what an ideal light frame is, and yields dramatically reduced fringes. A total of M images are taken, so M light, and M shadow (and M dark) which form both our reference set and the data set, as you can use all your light frames as the reference pool for each shadow frame. It is essential that the images be generally similar, e.g. if you change the size of the probe beam or alter the magnification, an accurate reconstruction will be difficult, PCA is not magic, we are trying to recreate what the shadow frame would look like without the atoms. Each image is $j \times k$ pixels, typically about 500^2 pixels total. Before reconstructing ideal light frames to match our shadow frames, we compute the basis we will use for said reconstruction [112, 133, 82]. Let the reference images (the light frames) be L_i for $i = 1 \dots M$ and flatten these into column vectors $\mathcal{L}_i$ ($jk \times 1$) let

$$\langle \mathcal{L} \rangle = \frac{1}{M} \sum_{i=1}^M \mathcal{L}_i$$

be the average and let $d\mathcal{L}_i = \mathcal{L}_i - \langle \mathcal{L} \rangle$ be the deviation of the i^{th} reference vector $\mathcal{L}_i$. So then let

$$A = [\mathcal{L}_1, \mathcal{L}_2, \dots, \mathcal{L}_M]$$

be a matrix. A is thus jk rows by M columns as described above. We now compute the matrix

$$C = AA^T,$$

Which is a huge square matrix, letting $p = jk$ be the number of pixels in each frame, C is $p \times p$ total elements. Each image on the top camera has $p = jk = 496^2$ pixels so C therefore has $496^4 > 60$

billion total elements. After converting to double precision, each element is eight bytes, so C is an over 400 gigabyte matrix. The eigenvectors of C are the so-called eigenfaces of the images [82], that is, the eigenvectors of C , when reshaped into a $j \times k$ matrix are the typical noise patterns in the M reference light frames, and those eigenfaces with the largest eigenvalues are the most prominent noise patterns. So the eigenvectors of C provide the perfect basis from which to reconstruct our new light frame. However, C is so large MATLAB won't let me compute it. This makes me sad. However, it turns out that the eigenvectors of $C = AA^T$ and $C' = A^T A$ are related:

$$\begin{aligned} C'V_i &= A^T A V_i = \lambda V_i \\ AA^T A V_i &= A \lambda V_i \end{aligned} \tag{3.25}$$

$$C(AV_i) = (AA^T)AV_i = \lambda(AV_i) = \lambda U_i$$

Therefore, we can compute these eigenvectors via $U_i = AV_i$ where V_i are the eigenvectors of $C' = A^T A$ which is much smaller; C' has size $M \times M$. After normalizing each eigenface, we have an orthonormal basis with which to characterize the noise patterns in a shadow frame. Normalization is not a necessary step, but makes compensating for the masked out region of the shadow more convenient.

Consider one shadow frame S_1 and the ensemble of M reference images L_i (some of which are a closer match to S_1 than it's original light frame L_1 , and so we could get some improvement by using a different light frame which produces fewer fringes in the OD calculation, but we can do better). We then compute the deviation of S_1 from the average $\mathcal{S}_i = S_1 - \langle S \rangle$. To ensure we do not let the atoms influence the reconstruction we mask the atom region in $\mathcal{S}_1$. The inner products

$$\mathcal{S}_1 \cdot U_i = c_i \tag{3.26}$$

give the decomposition coefficients c_i of the shadow frame deviations from the average into the characteristic noise patterns U_i . Then the reconstructed light frame can be computed

$$\mathfrak{L}_1 = \langle \mathcal{L} \rangle + \langle \mathcal{D} \rangle + \sum_{i=1}^M c_i U_i. \tag{3.27}$$

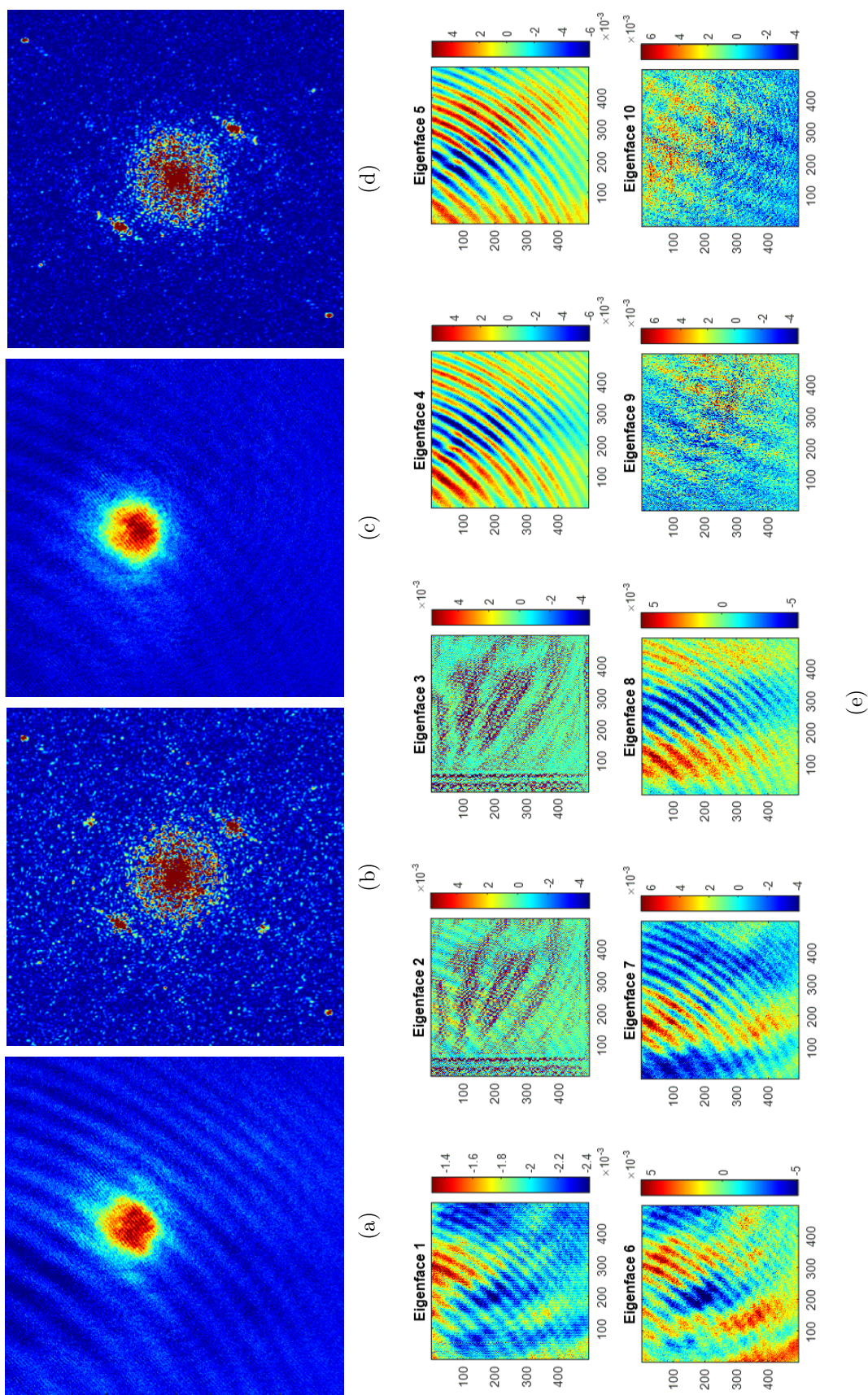


Figure 3.9: Principle component analysis applied to an *in-situ* image. The original OD image computed from the using the original light frame is shown in real space in (a) and in Fourier space in (b). After applying PCA and reconstructing a better matched light frame, the fringes are reduced, through not completely eliminated. The first ten eigenfaces/PCs used to reconstruct the light frame are shown in (e).

We employ an additional minimization routine wherein the OD is computed for the new light frame as we vary the coefficients c_i and the noise away from the atoms is minimized as a function of each c_i . This fine-tunes the coefficients and provides an even more well matched reconstruction $\mathcal{L}_1$.

3.4.1 Nature of fringes

In this section we discuss how fringes may appear in absorption imaging. By fringe we mean a ripple pattern on either one of the raw images used to compute the optical depth or on the OD image itself. A fringe which appears with perfect repeatability between two raw images will subtract out and the resulting OD image will not exhibit that fringe. The relationship between fringe amplitude and optical depth is not in general a linear one, as fringes can be caused in a number of different ways, and may even be dependent on the atomic density itself.

Suppose there is a piece of dust on the edge of the probe after it has passed through the atoms which scatters light into the camera, including (but not only) the same region of the camera as the shadow of the atoms appears. If this piece of dust is stuck on a mirror and moves slightly from shot-to-shot then it will produce fringes of a consistent and characteristic pattern and will appear on light frames taken with no atoms present in a similar fashion to shadow frames where the atoms cast their shadow. In this case, the constructed light frame will accurately reconstruct the fringe and it will subtract out nicely.

If the piece of dust is directly in the shadow of the atoms (on the mirror with the dust, not the camera), and if the imaging were otherwise perfectly repeatable, then the fringes will only appear on light frames, but always subtract between two light frames. In fact, all OD images taken with no atoms would produce perfectly flat, featureless OD profiles since we've asserted that the imaging in the absence of atoms are identical. In the limit of infinite OD, the shadow frames would not possess the fringe from this piece of dust as it is in the shadow of the atoms. In this case, a basis set of reference frames with no atoms would not be usable to reconstruct a light frame to match the shadow frame closely because the shadow frame lacks the fringe but all light frames used

as the basis have the fringe.

3.5 Fitting the Images

The density distribution as measured by imaging the cloud is the observable at the core of all our analysis. Generally, the cloud is only partially condensed, and accurate characterization of the condensate vs thermal components of the cloud is critical in determining the density, temperature and other properties vital for thorough understanding. This section describes the functional forms we use to fit our imaged density distributions and how we subsequently extract information about the atom number of both the condensate and the thermal cloud and the temperature of the system as a whole. The results of this section are specific to the case of interacting Bose gases in a three-dimensional harmonic potential. We will begin by presuming that the thermal cloud is well described by the Bose distribution and that the condensate is in the so-called Thomas-Fermi regime [102]. In this case the three-dimensional density profile for the entire cloud $n_{tot}(\mathbf{r})$ is [113, 10]:

$$n_{tot}(\mathbf{r}) = \frac{g_{3/2}(z(\mathbf{r}))}{\lambda_T^3} + n_{c,0} \left(1 - \frac{x^2}{R_x^2} - \frac{y^2}{R_y^2} - \frac{z^2}{R_z^2} \right) \quad (3.28)$$

where $n_{c,0}$ is the peak value of the condensate density, $\lambda_T = \sqrt{2\pi\hbar^2/mk_BT}$ is the thermal wavelength, and $z(\mathbf{r}) = \exp(\mu - V(\mathbf{r}))/k_BT$ is the fugacity in terms of the chemical potential μ . The Bose function g_ν is defined by the equation

$$g_\nu(z) = \sum_{n=1}^{\infty} \frac{z^n}{n^\nu}. \quad (3.29)$$

The form of Eq. 3.28 neglects the mean-field effects of the condensate on the thermal atoms (and vice versa) which will perturb the potential experienced by the thermal cloud from the external harmonic potential. The parabolic form of the condensate density is invalid near the edge of the cloud where the density experiences a sudden bend, but describes the bulk density quite well. For the thermal cloud, we assume $z(\mathbf{r}) = 1$ since at the transition temperature $\mu = 0$. Therefore the total density is given by

$$n_{tot}(\mathbf{r}) = \frac{n_{th,0,3D}}{g_{3/2}(1)} g_{3/2} \left(\exp \left[-\frac{1}{2} \left(\frac{x^2}{\sigma_x^2} + \frac{y^2}{\sigma_y^2} + \frac{z^2}{\sigma_z^2} \right) \right] \right) + n_{c,0} \left(1 - \frac{x^2}{R_x^2} - \frac{y^2}{R_y^2} - \frac{z^2}{R_z^2} \right) \quad (3.30)$$

where $n_{th,0,3D}$ is the thermal (subscript th) peak (subscript 0 indicating $\mathbf{r} = 0$) density for the three-dimensional cloud (subscript $3D$). The widths σ_i are related to the temperature by

$$\sigma_i = \frac{1}{\omega_i} \sqrt{\frac{2k_B T}{m}}. \quad (3.31)$$

In Appendix C we discuss the density distribution in more detail and consider the ballistic expansion of the gas. In the absence of collisional or interaction effects upon the thermal component, the thermal component will retain the form of Eq. 3.30 with the size changing in time as

$$\sigma_i(t) = \sigma_{i0}(1 + \omega_i^2 t^2) \quad (3.32)$$

which through Eq. 3.31 allows the temperature to be measured via

$$T_i = \frac{m\omega_i^2 \sigma_i^2(t)}{k_B(1 + \omega_i^2 t^2)}. \quad (3.33)$$

When the cloud is in thermal equilibrium the temperature is obviously the same in each direction, so Eq. 3.33 is a useful check to ensure that the cloud is indeed in thermal equilibrium. It is possible for a sufficiently rapid change in conditions, such as dramatically reducing the trap depth along the z axis while maintaining strong confinement along x and y , to require a significant amount of time to reestablish an isotropic momentum distribution and thus reestablish a well defined temperature. Significant in this context meaning significant in an experimental context and may change depending on the goals of the experiment. Generically, a few (~ 3) collisions must occur on average for cross-dimensional equilibrium to occur, and a few trap periods to ensure this occurs globally [5, 89]. We should note that the σ_i are not RMS widths of the thermal cloud because the profile is not a Gaussian, but rather a sum of Gaussians according to Eq. 3.29. If only the first term in the sum is kept then the profile is purely Gaussian.

3.5.1 Column densities

Of course the imaging procedure yields a two dimensional image as the probe beam actually measures the column density along the imaging axis. The fitting functions we actually use to fit the

data are thus obtained by integrating Eq. 3.30 along one dimension. The integral of the thermal part is

$$\begin{aligned}
\int \frac{n_{th,0,3D}}{g_{3/2}(1)} g_{3/2}(z) &= \int \frac{n_{th,0,3D}}{g_{3/2}(1)} \sum_{m=1}^{\infty} \frac{1}{m^{3/2}} \exp\left(-\frac{mx^2}{2\sigma_x^2} - \frac{my^2}{2\sigma_y^2} - \frac{mz^2}{2\sigma_z^2}\right) dz \\
&= \frac{n_{th,0,3D}}{g_{3/2}(1)} \sum_{m=1}^{\infty} \int \frac{1}{m^{3/2}} \exp\left(-\frac{mx^2}{2\sigma_x^2} - \frac{my^2}{2\sigma_y^2} - \frac{mz^2}{2\sigma_z^2}\right) dz \\
&= \frac{n_{th,0,3D}}{g_{3/2}(1)} \sum_{m=1}^{\infty} \int \frac{1}{m^2} \exp\left(-\frac{mx^2}{2\sigma_x^2} - \frac{my^2}{2\sigma_y^2} - \frac{z'^2}{2\sigma_z^2}\right) \frac{dz'}{\sqrt{2}} \\
&= \frac{n_{th,0,3D}}{\sqrt{2} g_{3/2}(1)} \sqrt{2\pi} \sigma_z \sum_{m=1}^{\infty} \frac{1}{m^2} \exp\left(-\frac{mx^2}{2\sigma_x^2} - \frac{my^2}{2\sigma_y^2}\right) \\
n_{therm,0,2D}(\mathbf{r}) &= \frac{n_{th,0,2D}}{g_2(1)} g_2\left(\exp\left(-\frac{mx^2}{2\sigma_x^2} - \frac{my^2}{2\sigma_y^2}\right)\right)
\end{aligned} \tag{3.34}$$

where in the last line we simply defined the peak column density to be $\tilde{n}_{th,0,3D}$ with normalization. The thermal column density is therefore fit with three terms $n_{th,0,2d}, \sigma_x, \sigma_y$. The condensate term is quite straightforward yielding

$$n_{c,2D}(x, y) = n_{c,0,2D} \left(1 - \frac{x^2}{R_x^2} - \frac{y^2}{R_y^2}\right)^{3/2} \tag{3.35}$$

with the three fit parameters $n_{c,0,2D}, R_x, R_y$ for the peak density and size of the condensate. The total column density is the sum of these two components

$$n_{col}(x, y) = n_{th,2D}(x, y) + n_{c,2D}(x, y) \tag{3.36}$$

In time of flight it may be shown (see Appendix C) that both the thermal component and the condensate maintain their functional form, with only the length scales changing [73, 26, 40, 113].

3.6 Potential problems

Due to the time constraints following the receipt of the new primary lens used to gather the data we shall present in Chapter 6, the high-resolution top imaging system used in the implosion experiments may have been insufficiently or inaccurately characterized. An enormous amount of time was spent on pathological imaging defects in a series of custom cut lenses, and when the lens with which the data discussed in this chapter arrived, we proceeded with all deliberate haste to

data collection. Additionally, the condensate itself is so optically dense that we used extremely high probe intensities to compensate, and the condensate always appeared aberrated in the *in-situ* images as indicated by the presence of rings and ripples around the condensate. The odd appearance of the Y-lattice peak in Fourier space (see Fig. 5.13a) may be a symptom of a poorly understood point-spread function.

Fundamentally, we are limited by our incomplete knowledge of the point spread function. The measurement contrast suppression factor we shall discuss in section 5.5 is essentially our attempt to measure the point spread function of our imaging system for two wave numbers only. If the results of low vs high density X-lattice calibrations are accurate then our imaging system displays a density dependent point spread function. In Figure 3.10 the Fourier profile of the condensate is compared with that of the thermal cloud by controlled which portion of the density profile is unmasked in computing the FFT. The resolved disk for Fig. 3.10a appears noticeably smaller than in Fig. 3.10b, suggesting that the resolution of the imaging system is different for different parts of the cloud. If the density distribution itself is a major contributor to the point spread function of the imaging system, then a full understanding of the imaging system requires a sophisticated model outside the scope of this thesis.

Any imaging system will additionally possess noise. The fringes discussed in Section 3.4 are one type of noise we encountered, and the saturation intensity correction discussed in Section 3.1.3 may also introduce noise. Since we are in the business of measuring density fluctuations, which one might consider “noise” in other contexts, we are faced with the problem of distinguishing genuine density variations on the cloud from apparent variations which in reality are imaging noise and do not correspond to real density fluctuations. The influence of imaging noise on our results will be discussed in more detail in Section 6.1.

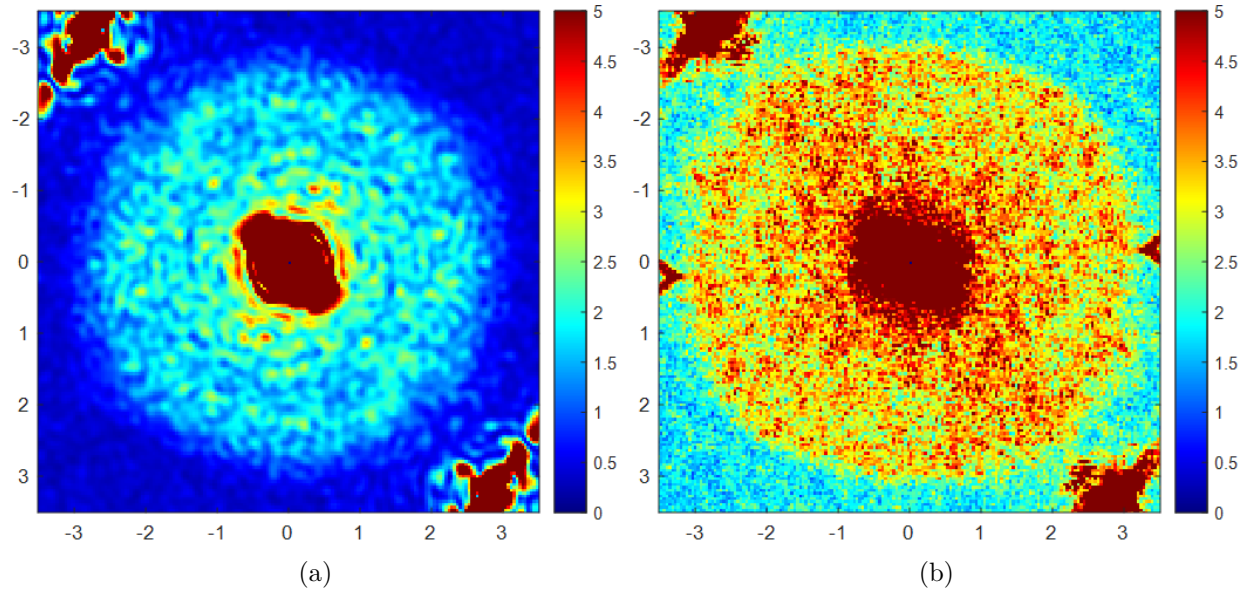


Figure 3.10: Average Fourier space profiles of the high-temperature low-density $t_{Imp} = 0$. In (a), only the region of position space containing the condensate was unmasked, the typical treatment for the data in this thesis. In (b), an annulus in position space was selected to correspond to thermal atoms, with the central condensate masked. Both (a) and (b) are derived from the same set of images, yet the edge of the plateau, which represents the resolution of our imaging, is at a larger wavenumber for the thermal atoms. The features at the top left and bottom right corner of each image, and the arrow-like feature on either side of (b) are imaging artifacts which may be ignored.

Chapter 4

Fluctuation Theory of BEC

The goals of this chapter are twofold. The overarching conceptual goal is to understand the nature of fluctuations, and in particular why fluctuations in three dimensions are experimentally challenging even in the absence of technical limitations. The other goal is to provide the quantitative predictions for the fluctuations of a 3D bulk BEC in terms of the experimental data; the structure factor provides the connection between experiment and theory.

4.1 Criterion for BEC

The standard definition of a BEC is a system with a macroscopic occupation of the single particle ground state. This definition is clear and unambiguous in non-interacting systems, but when interactions are present the single particle states are modified by interactions with all the particles present and this definition, while still fundamentally valid, becomes more complicated to define. Here we formalize the concept of Bose-Einstein condensation in terms of the one-body density matrix. We shall see that the BEC corresponds to the existence of off-diagonal long range order in the one-body density matrix and, equivalently, the macroscopic occupation of one of the single particle states. The treatment here resembles that given in standard texts on BEC and superfluidity [102, 98]. The one-body density matrix is defined:

$$n^{(1)}(\mathbf{r}, \mathbf{r}') = \langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}') \rangle \quad (4.1)$$

The field operators $\hat{\psi}^\dagger(\mathbf{r})$ and $\hat{\psi}(\mathbf{r}')$ are the creation (at $\mathbf{r}$) and annihilation (at $\mathbf{r}'$) operators in position space. The meaning of the average $\langle \dots \rangle$ is not identical for all situations. If the state is

pure state then the average is the standard inner product of the state over its Hilbert space. If instead $\hat{\psi}$ represents a statistical mixture of possible states, then the average is an ensemble average according to the relative probabilities of each state. It is in the second sense in which the density matrix will generally be used in this thesis.

The diagonal of the one-body density matrix is simply the density of the system $n^{(1)}(\mathbf{r}, \mathbf{r}) = n(\mathbf{r})$ and obeys the normalization $\int n(\mathbf{r}) d\mathbf{r} = N$. The off-diagonal components represent the correlations between different parts of the the system. We may define the momentum-space representation of the one-body density in exactly the same way as in position space

$$n^{(1)}(\mathbf{p}, \mathbf{p}') = \langle \hat{\psi}^\dagger(\mathbf{p}') \hat{\psi}(\mathbf{p}) \rangle \quad (4.2)$$

where the momentum-space field operator is the Fourier transform of the coordinate-space field operator

$$\hat{\psi}(\mathbf{p}) = \int \psi(\mathbf{r}) \frac{\exp(-i\mathbf{p} \cdot \mathbf{r})}{(2\pi\hbar)^{3/2}} d\mathbf{r}.$$

Evaluating Eq. 4.2 for $\mathbf{p} = \mathbf{p}'$ yields the momentum distribution $n(\mathbf{p})$

$$n(\mathbf{p}) = \frac{1}{(2\pi\hbar)^3} \int n^{(1)}\left(\mathbf{R} + \frac{\mathbf{s}}{2}, \mathbf{R} - \frac{\mathbf{s}}{2}\right) e^{-\mathbf{p} \cdot \mathbf{s}/\hbar} d\mathbf{R} d\mathbf{s} \quad (4.3)$$

and where $\mathbf{s} = \mathbf{r} - \mathbf{r}'$. The normalization is the same as in coordinate space $\int n(\mathbf{p}) d\mathbf{p} = N$.

4.1.1 Uniform systems

We first consider a uniform ideal system of N particles in a volume V . In such a system the eigenstates are simply plane waves:

$$\phi(\mathbf{p}) = \frac{e^{i\mathbf{p} \cdot \mathbf{r}/\hbar}}{\sqrt{V}}. \quad (4.4)$$

The volume V and the number N will generally drop out in the thermodynamic limit wherein those two extensive quantities $N, V \rightarrow \infty$ while their ratio $N/V = n$ is held constant. We can express the density matrix as a sum over momenta:

$$n^{(1)}(\mathbf{r}, \mathbf{r}') = \frac{1}{V} \sum_{\mathbf{p}} N_{\mathbf{p}} e^{i\mathbf{p} \cdot (\mathbf{r} - \mathbf{r}')/\hbar} \quad (4.5)$$

where $N_{\mathbf{p}}$ are the occupation numbers for each momentum state. At larger separations $|\mathbf{r}' - \mathbf{r}|$ only the $\mathbf{p} = 0$ mode contributes while all other terms interfere to zero and we have

$$\lim_{|\mathbf{r}' - \mathbf{r}| \rightarrow \infty} n^{(1)}(\mathbf{r}, \mathbf{r}') = \frac{N_0}{V}. \quad (4.6)$$

Where N_0 is the occupation number of the zero-momentum state. This motivates using non-zero asymptotic behavior of the one-body density matrix as the criterion for Bose-Einstein condensation.

4.1.2 Trapped systems

Of course an alternative formulation is needed for spatially finite systems encountered in the lab since the infinite limit is obviously problematic. The customary approach is to expand the density matrix in terms of its eigenfunctions $\phi_j(\mathbf{r})$ satisfying the equation:

$$\int n^{(1)}(\mathbf{r}, \mathbf{r}') \phi_j(\mathbf{r}') d\mathbf{r} = \lambda_j \phi_j(\mathbf{r}). \quad (4.7)$$

The density matrix is positive definite and Hermitian, ensuring its eigenvalues λ_j are positive as they must be since, under the normalization convention $\int \phi_i^* \phi_j d\mathbf{r} = \delta_{ij}$, we identify $\lambda_j = n_j$ as the occupation of the single particle wavefunction $\phi_j(\mathbf{r})$; additionally $\sum_i n_i = N$. We may express the density matrix in the diagonalized form

$$n^{(1)}(\mathbf{r}, \mathbf{r}') = \sum_j n_j \phi_j^*(\mathbf{r}') \phi_j(\mathbf{r}). \quad (4.8)$$

At zero temperature, the ideal gas possesses exactly one non-zero eigenvalue, that of the lowest energy single-particle state which has eigenvalue N indicating all the particles are condensed into that state. Therefore, for an interacting system, we require that the occupation of one of the states be of order N and all others finite and of order unity in the thermodynamic limit $N \rightarrow \infty$ for Bose-Einstein condensation to occur. In our lab, N_0 approaches N for $T \rightarrow 0$, although even at $T = 0$ interactions will deplete the zero-momentum state such that N_0/N never quite reaches unity. For superfluid Helium, $N_0/N \approx 0.1$, a sufficiently large portion that it's reasonable to regard that system as being at least partially condensed.

One may reasonably ask “if we’ve just argued that long-range off-diagonal behavior of the density matrix is our criterion, but this doesn’t apply to trapped system, what was the point of considering the long-range off diagonal behavior of the one-body density matrix?”. A fair question, and we shall return to it later in more detail when the connection between the phase correlation function and the density matrix is established. However, while the infinite limit may be irrelevant to trapped gases, the meaning of long-range is still perfectly coherent in a spatially finite system. It will turn out that the opposite regime from BEC the density matrix drops off exponentially over a microscopic distance and with an asymptotic limit of zero. It’s true that in a finite system the density matrix necessarily goes to zero as $|\mathbf{r} - \mathbf{r}'| \rightarrow \infty$ since there are no more atoms beyond a certain distance, but the density matrix may drop off non-exponentially or over an intermediate distance, so the criterion still has value.

4.2 Presence and absence of BEC in 1, 2 and 3 dimensional ideal gases

This section aims to provide a cohesive argument for the presence or absence of Bose-Einstein condensation in one, two, and three dimensions in terms of the behavior of the chemical potential. This approach compliments arguments regarding the existence of long-range order alluded to in the previous sections. We will return to the question of long-range off-diagonal order and the connection to phase fluctuations in later sections, but here we understand BEC as a state where one of the low-lying states is microscopically occupied. Here we consider ideal gases. We begin in section 4.2.1 with a presentation of results about Bosonic systems which are true as a consequence of Bosonic physics (e.g. the Bose-Einstein distribution function). In section 4.2.2 we shall introduce results, themes, and definitions which will be common to all systems discussed in this section, and we do so for the purpose of convenience and foreshadowing. We will then discuss uniform ideal systems in Sec. 4.2.3 and then consider the influence of harmonic external potential in Sec. 4.2.4.

4.2.1 General facts of Bose systems

The total number of particles in a Bose gas is given in general by [12]

$$N = \sum_i \frac{1}{e^{(\epsilon_i - \mu)/k_B T} - 1} \quad (4.9)$$

where the sum is over each individual eigenstate ϵ_i . Converting the sum to an integral we have

$$N = V_d \int_0^\infty \frac{1}{e^{(\epsilon - \mu)/k_B T} - 1} \frac{d^d k}{(2\pi)^d} \quad (4.10)$$

where V_d is the system volume in d dimensions. These integrals may be directly evaluated over the wavenumber as in Eq. 4.10, but an alternative approach in terms of the density of states $D(\epsilon)$ can also be illuminating. In terms and integral over the density of states the total particle number is:

$$N = V_d \int_0^\infty \frac{D(\epsilon)}{e^{(\epsilon - \mu)/k_B T} - 1} d\epsilon \quad (4.11)$$

The density of states in one, two, and three dimensions are given in Appendix A for the uniform gas and for harmonic confinement.

4.2.2 Foreshadowing

In the following sections, the chemical potential μ will be evaluated for $D = 3$, $D = 2$ and $D = 1$ dimensions according to Eq. 4.10 and Eq. 4.11. The behavior of μ in the highly degenerate regime will be determined and the occupancy of the ground state N_0 thus estimated according to $N_0 \approx k_B T / |\mu|$. We will then evaluate whether or not $N_0 \approx N$ is possible in the thermodynamic limit. In anticipation of the forthcoming results it is useful to have certain facts in mind from the outset. The chemical potential μ is a central parameter for these systems, and for a classical or Bose gas $\mu \leq 0$, as long as we define $E = 0$ to be the energy of the system's single-particle ground state. At zero temperature for all non-interacting cases described here the chemical potential will vanish $\mu(T = 0) = 0 - O(1/N)$. The chemical potential μ is often expressed through the fugacity $z = \exp(\mu/k_B T)$ where $z \in (0, 1)$ since $\mu \in (-\infty, 0)$. Throughout my thesis, a statement that $\mu = 0$ is, unless otherwise stated, ignoring the $1/N$ fractional corrections to μ . As a consequence of the

form of the Bose-Einstein distribution a particular function, referred to in this thesis as the Bose function¹ $g_\nu(z)$ will be a recurring feature [12]. The Bose function $g_\nu(z)$ is defined by

$$g_\nu(z) = \sum_{n=1}^{\infty} z^n / n^\nu. \quad (4.12)$$

Finally, the degeneracy parameter (also called the phase-space density) of the gas is defined for a d -dimensional gas with particle density n_d as the product $n_d \lambda_T^d$ where $\lambda_T = \sqrt{\frac{2\pi\hbar^2}{mk_B T}}$ is the thermal wavelength. When $n_d \lambda_T^d \gg 1$ the particle wavefunctions are all overlapping and the gas is considered deeply degenerate. In the opposite limit, $n_d \lambda_T^d \ll 1$, the particles lose their wavelike qualities and behave as classical free particles well separated from each other and thus quantum effects are less important.

4.2.3 Uniform ideal system

With these facts in mind, we turn to the specific case of uniform, ideal, Bosonic systems. We shall begin with three dimensional systems before turning to two and finally one dimensional systems. The quantitative results for each case will be consolidated and listed in summary at the end for each case.

4.2.3.1 3D uniform potential

In three dimensions equation 4.11 becomes problematic as in 3D the density of states is $D_{3U}(\epsilon) = \sqrt{2m^2\epsilon}/(2\pi^2\hbar^3)$ which assigns zero weight to the ground state $\epsilon = 0$. Hence a correction is required and the total number is given by

$$N = \frac{z}{1-z} + \frac{Vm^{3/2}}{\sqrt{2}\pi^3\hbar^3} \int_0^\infty \frac{\sqrt{\epsilon}}{e^{(\epsilon-\mu)/k_B T}} d\epsilon \quad (4.13)$$

The correction term $z/(1-z)$ is identically equal to N_0 according to Eq.4.9 since the ground state has $\epsilon = 0$. A detailed derivation of the correction term $z/(1-z)$ can be found in [12] and is not included here. A naive implementation of Eq. 4.10 converges perfectly fine but does not accurately

¹ The Bose function $g(z)$ is also known as the polylogarithm, $Li_\nu(z)$ and sometimes the Bose-Einstein integral or the Fermi-dirac integral when expressed in terms of integrals over those distributions

account for the ground state, although this failure is less clear in the momentum integral of Eq. 4.10 compared to the energy integral of Eq. 4.11. Therefore, we use the replacement $N \rightarrow N - N_0$ and proceed, finding

$$\frac{N - N_0}{V_3} = \frac{g_{3/2}(e^{-\mu/k_B T})}{\lambda_T^3} = \frac{g_{3/2}(z)}{\lambda_T^3} \quad (4.14)$$

where N_0 is the number of particles in the ground state, λ_T is the thermal wavelength and $g_{3/2}$ is the Bose function. The case² of $g_{3/2}(1) = \zeta(3/2) \approx 2.61$ shows how in three dimensions the population of the excited state is bounded from above to a finite value. The chemical potential is bounded from above by zero, and so the fugacity $z \leq 1$. Since $g_{3/2}(z)$ converges at $z = 1$, the number of excited particles is limited by Eq. 4.14 and any additional particles must therefore occupy the ground state, which has $\epsilon = 0$ and hence the chemical potential μ is zero when N exceeds the value given by Eq. 4.14.

What temperature will this saturation of excited states take place for a given atom number N ? We determine T_{3D} by setting $N_0 = 0$ and $\mu = 0$ which are approximately true at the onset of Bose-Einstein condensation, and solving Eq. 4.14 for T . The result is

$$T_{3D} = \frac{2\pi\hbar^2}{mk_B} \left(\frac{N}{V} \zeta(3/2) \right)^{2/3}. \quad (4.15)$$

We can describe the degeneracy of the cloud according to the phase space density $n_{3D}\lambda_T^3$ which is well below unity for non-degenerate systems but exceeds it in degenerate systems. For temperatures well above the transition temperature, $n_{3D}\lambda_T^3 \ll 1$ and $g_{3/2}(z) \approx z$ so that the chemical potential may be approximated:

$$\mu(T \gg T_{3D}) = k_B T \ln(n_{3D}\lambda_T^3). \quad (4.16)$$

The behavior of μ changes dramatically at $T = T_{3D}$. As $T \rightarrow T_{3D}$ from above, the chemical potential increases monotonically. Once the temperature reaches the transition temperature, μ becomes zero and remains zero for all lower temperatures. This behavior differs from that seen in lower dimensions as we shall see.

² The Riemann Zeta function $\zeta(s)$ obeys $g_\nu(1) = \zeta(\nu)$

3D uniform potential results For the 3D uniform gas we have the following results:

$$N = \frac{Vm^{3/2}}{\sqrt{2}\pi^3\hbar^3} \int_0^\infty \frac{\sqrt{\epsilon}}{e^{(\epsilon-\mu)/k_BT}} d\epsilon + \frac{z}{1-z} \quad \text{Total number (with correction)} \quad (4.17)$$

$$N_{ex} = \frac{g_{3/2}(z)}{\lambda_T^3} \quad \text{Occupation of excited states} \quad (4.18)$$

$$T_{3D} = \frac{2\pi\hbar^2}{mk_B} \left(\frac{N}{V} \zeta(3/2) \right)^{2/3} \quad \text{Transition Temperature to BEC} \quad (4.19)$$

$$\mu(T \leq T_{3D}) = 0 \quad \text{Chemical potential below } T_{3D} \quad (4.20)$$

$$\mu(T \gg T_{3D}) = k_BT \ln(n_{3D}\lambda_T^3). \quad \text{Chemical potential well above } T_{3D} \quad (4.21)$$

4.2.3.2 2D uniform potential

In two dimensions the density of states $D_{2U}(\epsilon) = m/(2\pi\hbar^2)$ does not treat the ground state as pathologically as the density of states in three dimensions D_{3U} . Therefore, we shall not treat the ground state separately and simply evaluate Eq. 4.10. The result is

$$N = -\frac{V_2mk_BT}{2\pi\hbar^2} \ln(1-z) \quad (4.22)$$

Solving for the chemical potential μ yields

$$\mu = k_BT \ln(1 - e^{-T_{2D}/T}) < 0 \quad (4.23)$$

where

$$T_{2D} = \frac{2\pi\hbar^2 n_{2D}}{mk_B} \quad (4.24)$$

is the characteristic temperature for the uniform 2D system, $n_{2D} = N/V_2$ is the number density and V_2 is the area (2D volume) of the system. The chemical potential thus increases monotonically with T . For $T \gg T_{2D}$, the exponential in the logarithm approaches unity from below. Expanding $\ln(1 - e^{-x})$ about $x = 0$ and substituting $x = T_{2D}/T$ yields

$$\mu = k_BT \left[\ln\left(\frac{T_{2D}}{T}\right) - \frac{1}{2} \left(\frac{T_{2D}}{T}\right) + \frac{1}{24} \left(\frac{T_{2D}}{T}\right)^2 \dots \right] \quad (4.25)$$

which for high temperatures reduces to simply the first term. So for $T \gg T_{2D}$, $\mu \approx -k_BT \ln(T/T_{2D})$ (this agrees with [98, 99]). For $T \ll T_{2D}$ the exponential $e^{T_{2D}/T}$ approaches zero from above.

Expanding $\ln(1 - y)$ about $y = 0$ and substituting $y = e^{-T_{2D}/T}$ yields

$$\mu = -k_B T \left[\frac{e^{-T_{2D}/T}}{1} + \frac{e^{-2T_{2D}/T}}{2} + \frac{e^{-3T_{2D}/T}}{3} \dots \right] \quad (4.26)$$

which reduces to simply the first term. Hence $T \ll T_{2D}$ implies the chemical potential approaches zero from below as $\mu \approx -k_B T e^{-T_{2D}/T}$. The occupation of the ground state can be approximated $N_0 \approx kT/|\mu|$. Then for $N_0 \approx N$, the condition for BEC, requires that $T/T_{2D} \approx \frac{1}{\ln(N)}$ which precludes BEC of an ideal gas in the thermodynamic limit for two dimensional systems (except, perhaps, in the theoretical case of exactly $T = 0$). Since for any finite temperature we do not have a macroscopic occupation of any of the low lying states, we are justified in our decision not to treat the ground state separately as was required in three dimensions. We can express Eq. 4.23 in terms of the degeneracy parameter $n_2 \lambda_T$

$$\mu = k_B T \ln(1 - e^{-n_2 \lambda_T^2}). \quad (4.27)$$

2D uniform potential results In 2D we have the following results:

$$N = -\frac{Amk_B T}{2\pi\hbar^2} \ln \left(1 - e^{-|\mu|/k_B T} \right) \quad \text{Total number} \quad (4.28)$$

$$T_{2D} = \frac{2\pi\hbar^2 n_{2D}}{mk_B} \quad \text{Transition temperature} \quad (4.29)$$

$$\mu = k_B T \ln \left(1 - e^{-T_{2D}/T} \right) \quad \text{chemical potential} \quad (4.30)$$

$$\mu \approx -k_B T e^{-T_{2D}/T} \quad T \ll T_{2D} \quad (4.31)$$

$$\mu \approx k_B T \ln \left(\frac{T_{2D}}{T} \right) \quad T \gg T_{2D} \quad (4.32)$$

$$n_{2D} \lambda_T^2 = \frac{T_{2D}}{T} \quad \text{degeneracy parameter} \quad (4.33)$$

$$\mu = k_B T \ln \left(1 - e^{-n_{2D} \lambda_T^2} \right) \quad \text{chemical potential} \quad (4.34)$$

$$N_0 \approx \frac{k_B T}{|\mu|} \approx e^{T_{2D}/T} \quad \text{ground state occupation} \quad (4.35)$$

$$N_0 \approx N \implies \frac{T}{T_{2D}} \approx \frac{1}{\ln N} \quad \text{Precludes BEC in thermodynamic limit} \quad (4.36)$$

4.2.3.3 1D Uniform potential

In one dimension the density of states diverges at zero momentum since $D_{1D}(\epsilon) = \frac{\sqrt{m}}{\sqrt{2}\pi\hbar} \frac{1}{\sqrt{\epsilon}}$. Therefore, we need not worry about the integral neglecting a macroscopic occupation of the ground state if one should occur (which it will not for finite temperature). In one dimension the integral in Eq. 4.10 becomes

$$N = V_1 \frac{1}{2} \sqrt{\frac{mk_B T}{2\pi\hbar^2}} g_{1/2}(e^{-|\mu|/k_B T}) = \frac{L}{2\lambda_T} g_{1/2}(e^{-|\mu|/k_B T}) \quad (4.37)$$

where V_1 is the length of the system. Defining the 1D degeneracy parameter $n_{1D}\lambda_T$ and squaring yields

$$(n_{1D}\lambda_T)^2 = \frac{2\pi\hbar^2 n_{1D}^2}{mk_B T} = \frac{T_{1D}}{T}$$

which motivates the identification

$$T_{1D} = \frac{2\pi\hbar^2 n_{1D}^2}{mk_B}$$

as the characteristic temperature in 1D. For $T \gg T_{1D}$ (equivalently $n_{1D}\lambda_T \ll 1$) we can use the behavior near zero of $g_\nu(z) \approx z$ so

$$2n_{1D}\lambda_T = 2\sqrt{\frac{T_{1D}}{T}} = g_{1/2}(z) \approx e^{\mu/k_B T}.$$

Therefore, The high temperature behavior for μ is given by

$$\mu = k_B T \ln \left(2\sqrt{\frac{T_{1D}}{T}} \right) = k_B T \ln(2n_{1D}\lambda_T). \quad (4.38)$$

In the opposite limit, where $n_{1D}\lambda_T \gg 1$ we can make use of the limit as the argument of $g_{1/2}$ approaches unity from below[130]

$$\lim_{|w| \rightarrow 0} g_\nu(e^w) = \Gamma(1 - \nu)(-w)^{s-1}.$$

So

$$2n_{1D}\lambda_T = g_{1/2}(e^{\mu/k_B T}) \approx \frac{-2\sqrt{\pi}\sqrt{k_B T}}{\sqrt{|\mu|}}$$

The low temperature behavior of the chemical potential is thus

$$\mu \approx -\frac{\pi k_B T}{(n_{1D}\lambda_T)^2} = -\frac{\pi k_B T^2}{T_{1D}}.$$

The occupation of the ground state is thus

$$N_0 \approx k_B T / |\mu| \approx \frac{T_{1D}}{\pi T}.$$

Again we see that large ground state occupation $N_0 \approx N$ requires $T/T_{1D} \approx 1/N$

1D uniform potential results: In 1D uniform systems we have the following results:

$$N = \frac{L}{2} \sqrt{\frac{mk_B T}{2\pi\hbar^2}} g_{1/2} \left(e^{-|\mu|/k_B T} \right) \quad \text{Total number} \quad (4.39)$$

$$T_{1D} = \frac{2\pi\hbar^2 n_{1D}^2}{mk_B} \quad \text{Characteristic temperature} \quad (4.40)$$

$$n_{1D} \lambda_T = \sqrt{\frac{T_{1D}}{T}} \quad \text{Degeneracy parameter} \quad (4.41)$$

$$\mu = k_B T \ln \left(2 \sqrt{\frac{T_{1D}}{T}} \right) = k_B T \ln(2n_{1D} \lambda_T) \quad T \gg T_{1D} \quad (4.42)$$

$$\mu = -\frac{\pi k_B T}{(n_{1D} \lambda_T)^2} = -\frac{\pi k_B T^2}{T_{1D}} \quad T \ll T_{1D} \quad (4.43)$$

$$N_0 \approx N \implies \frac{T}{T_{1D}} \approx \frac{1}{\pi N} \quad \text{Precludes BEC in thermodynamic limit} \quad (4.44)$$

4.2.4 Harmonically trapped ideal systems

In uniform ideal systems, we've seen how the dimensionality precludes true BEC in the thermodynamic limit for one and two dimensional systems. This was done by determining the behavior of the chemical potential μ in the limit of highly degenerate systems where the d -dimensional degeneracy parameter $n\lambda_T^d \ll 1$. For $d = 1, 2$, μ was a monotonically-increasing function for all temperatures, and so the fugacity $z = e^{\mu/k_B T} < 1$. The occupation of the ground state $N_0 = z/(1-z)$ then remains negligible for all finite temperatures. In this section we consider harmonically trapped systems. We shall see that BEC is present in two and three dimensions in the thermodynamic limit below a well defined transition temperature. The one dimensional system will be an edge case for which the transition temperature approaches zero in the thermodynamic limit, but BEC may still occur in lab settings [76].

4.2.4.1 3D Harmonic confinement

The density of states for the three dimensional harmonically trapped gas is $D_{3H}(\epsilon) = \epsilon^2/(2\hbar^3\omega_x\omega_y\omega_z)$ and so as in the uniform case, we must treat the ground state with $\epsilon = 0$ separately. The total number of excited particles is:

$$\begin{aligned} N_{ex} &= \frac{1}{2\hbar^3\bar{\omega}^3} \int_{-}^{\infty} \frac{\epsilon^2}{e^{(\epsilon-\mu)/k_B T}} d\epsilon \\ &= \left(\frac{k_B T}{\hbar\bar{\omega}} \right)^3 g_3(z) \end{aligned} \quad (4.45)$$

The critical temperature occurs for $z = 1$ as in the uniform case and solving for the critical temperature yields

$$T_{3D} = \frac{\hbar\bar{\omega}}{k_B} \left(\frac{N}{\zeta(3)} \right)^{1/3} \quad (4.46)$$

We can use this temperature to rewrite the number of excited atoms as

$$\frac{N_{ex}}{N} = \left(\frac{k_B T}{\hbar\bar{\omega}} \right)^3 \frac{\zeta(3)}{N} = \left(\frac{T}{T_{3D}} \right)^3 \quad (4.47)$$

which is a very familiar looking expression. **3D harmonic trap results** We have the following results for for a harmonically trapped ideal Bose gas in three dimensions.

$$N_{ex} = \frac{1}{2\hbar^3\bar{\omega}^3} \int_{-}^{\infty} \frac{\epsilon^2}{e^{(\epsilon-\mu)/k_B T}} d\epsilon \quad (4.48)$$

$$= \left(\frac{k_B T}{\hbar\bar{\omega}} \right)^3 g_3(z) \quad \text{Number of excited atoms} \quad (4.49)$$

$$T_{3D} = \frac{\hbar\bar{\omega}}{k_B} \left(\frac{N}{\zeta(3)} \right)^{1/3} \quad \text{Transition temperature} \quad (4.50)$$

$$N_0 = N \left[1 - \left(\frac{T}{T_{3D}} \right)^3 \right] \quad \text{Condensate fraction} \quad (4.51)$$

4.2.4.2 2D Harmonic confinement

The density of states for the two dimensional harmonically trapped gas is $D_{2H}(\epsilon) = \epsilon/(\hbar^2\omega_x\omega_y)$. In the uniform 2D system we did not need to separate the ground state out of the integral, but since the density of states erroneously gives the ground state a weight of zero for the trapped gas,

we must separate the ground state:

$$N = N_0 + \frac{1}{\hbar^2 \bar{\omega}^2} \int_0^\infty \frac{\epsilon}{e^{(\epsilon-\mu)/k_B T}} d\epsilon. \quad (4.52)$$

This is suggestive that there will be BEC in two dimensions. The number of excited particles is thus:

$$N_{ex} = \left(\frac{k_B T}{\hbar \bar{\omega}} \right)^2 g_2(z). \quad (4.53)$$

the two dimensional trapped system seems much more analogous to the three dimensional trapped system than was the case for uniform systems. The transition temperature is analogously derived:

$$T_{2D} = \frac{\hbar \bar{\omega}}{k_B} \left(\frac{N}{\zeta(2)} \right)^{1/2} \quad (4.54)$$

The condensate fraction follows as in 3D.

2D harmonic trap results: We have the following results for for a harmonically trapped ideal Bose gas in two dimensions.

$$N_{ex} = \frac{1}{\hbar^2 \bar{\omega}^2} \int_0^\infty \frac{\epsilon}{e^{(\epsilon-\mu)/k_B T}} d\epsilon \quad (4.55)$$

$$= \left(\frac{k_B T}{\hbar \bar{\omega}} \right)^2 g_2(z) \quad \text{Number of excited atoms} \quad (4.56)$$

$$T_{2D} = \frac{\hbar \bar{\omega}}{k_B} \left(\frac{N}{\zeta(2)} \right)^{1/2} \quad \text{Transition temperature} \quad (4.57)$$

$$\zeta(2) = \frac{\pi^2}{6} \quad \zeta(2) \text{ unlike } \zeta(3) \text{ has a convenient (and famous) value} \quad (4.58)$$

$$N_0 = N \left[1 - \left(\frac{T}{T_{2D}} \right)^2 \right] \quad \text{Condensate fraction} \quad (4.59)$$

4.2.4.3 1D Harmonic confinement

The density of states for the one dimensional harmonically trapped gas is $D_{1H}(\epsilon) = 1/\hbar\omega$ and is thus simply a constant. Therefore the integral

$$N_{ex} = \frac{1}{\hbar \omega} \int_0^\infty \frac{1}{e^{(\epsilon-\mu)/k_B T}} d\epsilon$$

diverges. Let us return to the sum

$$N = \sum_{\epsilon} \frac{1}{e^{(\epsilon-\mu)/k_B T} - 1}$$

which is necessarily true. It turns out that one can proceed by separating the sum into three components and exploiting some obscure mathematical identities. This is the approach of [99].

An alternative derivation is given by [76] and yields

$$N - N_0 = -\frac{k_B T}{\hbar\omega} \ln \left[1 - z \exp \left(-\frac{\hbar\omega}{2k_B T} \right) \right] \quad (4.60)$$

This expression relies on the approximation $\hbar\omega \ll k_B T$. The transition temperature is determined from the following transcendental equation

$$N = \frac{k_B T_{1D}}{\hbar\omega} \ln \left(\frac{2k_B T_{1D}}{\hbar\omega} \right) \quad (4.61)$$

which has the solution in terms of the Lambert W function $W_0(x)$. In the thermodynamic limit $N \rightarrow \infty$ with $N\omega \rightarrow C$ for some constant C the transition temperature goes to zero according to

$$T_{1D} = \frac{\hbar\omega N}{k_B W_0(2N)} \quad (4.62)$$

since the denominator blows up but the numerator remains fixed. However, if the confining potential is at all tighter than harmonic, so that $V(x) \propto kx^{2-\varepsilon}$ for $\varepsilon > 0$ then $T_{1D} > 0$ in the thermodynamic limit [9]. Of course in finite harmonic systems BEC will still occur, and the condensate fraction goes as

$$\frac{N_0}{N} = 1 - \frac{T \ln \left(\frac{2k_B T}{\hbar\omega} \right)}{T_{1D} \ln \left(\frac{2k_B T_{1D}}{\hbar\omega} \right)} \quad (4.63)$$

The ideal one dimensional gas in a harmonic potential thus will generally undergo BEC in laboratory conditions.

1D harmonic trap results: We have the following results for for a harmonically trapped ideal Bose gas in one dimension.

$$N_{ex} = -\frac{k_B T}{\hbar\omega} \ln \left[1 - z \exp \left(-\frac{\hbar\omega}{2k_B T} \right) \right] \quad \text{Number of excited atoms} \quad (4.64)$$

$$N = \frac{k_B T_{1D}}{\hbar\omega} \ln \left(\frac{2k_B T_{1D}}{\hbar\omega} \right) \quad \text{Equation for transition temperature} \quad (4.65)$$

$$T_{1D} = \frac{\hbar\omega N}{k_B W_0(2N)} \quad \text{Transition temperature using Lambert W} \quad (4.66)$$

$$N_0 = N \left[1 - \frac{T \ln \left(\frac{2k_B T}{\hbar\omega} \right)}{T_{1D} \ln \left(\frac{2k_B T_{1D}}{\hbar\omega} \right)} \right] \quad \text{Condensate fraction} \quad (4.67)$$

4.3 Weakly interacting Bose gases

The previous sections concerned ideal gases only. When interactions are included many properties of the system may be changed dramatically. The theory of an almost ideal Bose gas was first developed by Bogoliobov in 1947 and his approach remains popular today. Bogoliobov theory for weakly interacting dilute Bose gases may be found in textbooks on BEC and superfluidity [102, 98] and we shall not go into as much detail here, but a thesis concerning the measurement of fluctuations in interacting BEC would be incomplete without a presentation of the basic theoretical framework. Bogoliobov theory provides the dispersion relation used in the Introduction of this thesis to motivate the implosion technique used in this thesis. The prerequisites for applicability of Bogoliobov theory will be introduced in the context of interactions and their effects before outlining the formalism of the Bogoliobov approximation and transformation. We shall conclude with a presentation of the Gross-Pitaevskii equation.

4.3.1 Dilute and cold gases

In the context of Bose gases dilute means that the interparticle distance is much larger than the interaction range of interparticle forces. A cautionary note is warranted; the s -wave scattering length a which parameterizes low energy scattering is the characteristic length ultimately used to determine the diluteness condition $na^3 \ll (15\sqrt{\pi}/128)^2 \approx 1/25$ where $(15\sqrt{\pi}/128)$ is the LHY coefficient to the quantity $\sqrt{na^3}$ in the beyond mean-field correction to the (many-body) ground state energy [102]. However, the scattering length ultimately depends upon the actual interatomic interactions which may be characterized by interaction range r_0 . The diluteness condition is then

$$r_0 \ll d = n^{-1/3} = \left(\frac{N}{V}\right). \quad (4.68)$$

We generally treat the interaction as vanishing beyond r_0 since the interparticle potentials $U(\mathbf{r})$ generally tend towards zero for $\mathbf{r} \gg r_0$. The Fourier transform of the potential $U(\mathbf{r})$ yields the scattering amplitude

$$U(\mathbf{p}) = \int U(\mathbf{r})e^{-i\mathbf{p}\cdot\mathbf{r}/\hbar}d\mathbf{r}, \quad (4.69)$$

from which we can see that for $p \gg \hbar/r_0$, where $U(\mathbf{r}) = 0$, the scattering amplitude $U(\mathbf{p})$ rolls off since the exponent will oscillate the integral to zero. However in the opposite limit then the exponent becomes unity and $V(p)$ is independent of the momentum p . The range of the potential prompts an obvious characteristic momentum $p_c = \hbar/r_0$. Therefore, by cold we mean that the thermal momentum $p_t \sim \sqrt{2mk_bT}$ is much smaller than p_c . Hence, the coldness condition may be expressed as

$$T \ll \frac{\hbar^2}{2mk_b r_0^2}. \quad (4.70)$$

From this limitation on the momentum, we see that the low p behavior of Eq. 4.69 will dominate and hence we can approximate $U_0 = \int U(\mathbf{r})d\mathbf{r}$ with the result

$$U_0 = g = \frac{4\pi\hbar^2}{m}a, \quad (4.71)$$

where a is the s-wave scattering length we all know and love. The interaction term $U_0 = g = \frac{4\pi\hbar^2 a}{m}$ may be derived using the Born approximation for the scattering amplitude between two particles, details may be found in standard texts [102, 98]. We may express the Hamiltonian of the system in terms of the field operators $\hat{\psi}$:

$$\hat{H} = \int \left(\frac{\hbar^2}{2m} \nabla \hat{\psi}^\dagger(\mathbf{r}) \nabla \hat{\psi}(\mathbf{r}) \right) d\mathbf{r} + \frac{1}{2} \int \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}') U(\mathbf{r}' - \mathbf{r}) \hat{\psi}(\mathbf{r}') \hat{\psi}(\mathbf{r}) d\mathbf{r}' d\mathbf{r} \quad (4.72)$$

where $U(\mathbf{r}' - \mathbf{r})$ is the two-body scattering potential. In the case of a uniform gas occupying a volume V the field operator can be expanded as a superposition of plane waves :

$$\hat{\psi}(\mathbf{r}) = \frac{1}{\sqrt{V}} \sum_{\mathbf{p}} \hat{a}_{\mathbf{p}} e^{i\mathbf{p} \cdot \mathbf{r}/\hbar}, \quad (4.73)$$

where $\hat{a}_{\mathbf{p}}$ is the annihilation operator for a single particle state of a plane wave with momentum p and the commutators for $\hat{a}_{\mathbf{p}}$ are $[\hat{a}_{\mathbf{p}}, \hat{a}_{\mathbf{q}}] = \delta_{\mathbf{p}\mathbf{q}}$ and $[\hat{a}_{\mathbf{p}}^\dagger, \hat{a}_{\mathbf{q}}] = 0$. Substituting of this ansatz into Eq. 4.72 yields:

$$\hat{H} = \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} + \frac{1}{2V} \sum_{\mathbf{p}_1, \mathbf{p}_2, \mathbf{q}} V_{\mathbf{q}} \hat{a}_{\mathbf{p}_1+\mathbf{q}}^\dagger \hat{a}_{\mathbf{p}_2-\mathbf{q}}^\dagger \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2}. \quad (4.74)$$

Here $V_{\mathbf{q}} = \int U(\mathbf{r}) \exp[-i\mathbf{q} \cdot \mathbf{r}/\hbar] d\mathbf{r}$ is the Fourier transform of the two-body scattering potential.

To get Eq. 4.74 from Eq. 4.72 one must use Eq. 4.73 with $\mathbf{p} \rightarrow [\mathbf{p}_1 + \mathbf{q}, \mathbf{p}_2 - \mathbf{q}, \mathbf{p}_2, \mathbf{p}_1]$ for

$[\Psi^\dagger(\mathbf{r})\Psi^\dagger(\mathbf{r}'), \Psi(\mathbf{r}'), \Psi(\mathbf{r})]$ respectively in Eq. 4.72. Then move the $\mathbf{q}$ exponents next to the $U(\mathbf{r}' - \mathbf{r})$ to get the $V_{\mathbf{q}}$ term via Eq. 4.69. So the Hamiltonian after these substitutions and some rearranging is expressed

$$\hat{H} = (\dots) + \frac{1}{2V^2} \sum_{\mathbf{p}_1, \mathbf{p}_2, \mathbf{q}} \int \hat{a}_{\mathbf{p}_1 + \mathbf{q}}^\dagger \hat{a}_{\mathbf{p}_2 - \mathbf{q}}^\dagger U(\mathbf{r}' - \mathbf{r}) \exp [i\mathbf{q} \cdot (\mathbf{r}' - \mathbf{r})/\hbar] \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2} \exp [i(-\mathbf{p}_1 \cdot \mathbf{r} - \mathbf{p}_2 \cdot \mathbf{r}' + \mathbf{p}_1 \cdot \mathbf{r} + \mathbf{p}_2 \cdot \mathbf{r}')/\hbar] d\mathbf{r}' d\mathbf{r} \quad (4.75)$$

The other exponentials cancel and since we have two spatial integrals, one of which is used up for $V_{\mathbf{q}}$ and the other is then empty (all creation and annihilation operators are independent of $\mathbf{r}$ so can be moved out of the integral). That second integral will also give us a needed factor of V .

In the name of coldness and diluteness we are permitted to simply replace the integral over $U(\mathbf{r}' - \mathbf{r})$ with U_0 . So we would simply replace $V_{\mathbf{q}}$ with U_0 in Eq. 4.74 and only keep $\mathbf{q} = 0$ terms. This yields the new Hamiltonian:

$$\hat{H} = \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} + \frac{U_0}{2V} \sum_{\mathbf{p}_1, \mathbf{p}_2} \hat{a}_{\mathbf{p}_1}^\dagger \hat{a}_{\mathbf{p}_2}^\dagger \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2}. \quad (4.76)$$

Remember, we are considering a BEC here, so the lowest energy state is occupied with a macroscopic number of particles in the condensate. This means that one can use the Bogoliobov approximation which is to replace the operator $\hat{a}_0$ with a c -number.

$$\hat{a}_0 \equiv \sqrt{N_0} \approx \sqrt{N} \quad (4.77)$$

In a cold and dilute BEC, the occupation number of particles in excited momentum states is very low. Therefore in the lowest-order approximation, we keep only the $\mathbf{p} = 0$ term and the Hamiltonian yields the energy of the ground state

$$E_0 = \frac{U_0}{2V} N_0^2 \approx \frac{U_0}{2} N n \quad (4.78)$$

We can determine the pressure of the gas and its compressibility.

$$P \equiv -\frac{\partial E_0}{\partial V} = \frac{g}{2} n^2 \quad \frac{\partial n}{\partial P} = \frac{1}{gn} \quad (4.79)$$

The hydrodynamic equation yields the speed of sound c :

$$\frac{1}{mc^2} = \frac{\partial n}{\partial P} \quad c = \sqrt{\frac{gn}{m}} \quad (4.80)$$

The compressibility is infinite for an ideal gas. In an interacting system the compressibility is positive only when $a > 0$. In fact, the instability of a uniform Bose gas for attractive interactions is manifest in these results; negative a gives negative pressure and an imaginary speed of sound, both of which indicate such a system is not thermodynamically stable. Finally, the chemical potential is given by

$$\mu = \frac{\partial E_0}{\partial N} = gn = mc^2 \quad (4.81)$$

which is always positive when $a > 0$. This is the energy required to add one particle into the condensate, or the energy gained by subtracting one particle from the condensate.

4.3.2 Higher order approximations: Bogoliobov approximation and excitation spectrum

In computing the lowest order approximation to the ground state energy in Eq. 4.78 we only considered the zero-momentum terms of the Hamiltonian 4.76. We are ultimately interested in fluctuations and excitations and so require a higher order approximation to the Hamiltonian. Let's examine the intermediate Hamiltonian Eq. 4.75 we used in the previous section

$$\begin{aligned} \hat{H} = (...) + \frac{1}{2V^2} \sum_{\mathbf{p}_1, \mathbf{p}_2, \mathbf{q}} \int (\hat{a}_{\mathbf{p}_1+\mathbf{q}}^\dagger \hat{a}_{\mathbf{p}_2-\mathbf{q}}^\dagger U(\mathbf{r}' - \mathbf{r}) \exp [i\mathbf{q} \cdot (\mathbf{r}' - \mathbf{r})/\hbar] \\ \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2} \exp [i(-\mathbf{p}_1 \cdot \mathbf{r} - \mathbf{p}_2 \cdot \mathbf{r}' + \mathbf{p}_1 \cdot \mathbf{r} + \mathbf{p}_2 \cdot \mathbf{r}')/\hbar]) d\mathbf{r}' d\mathbf{r} \end{aligned} \quad (4.75)$$

Even though we go to higher orders in p here, p remains small. This means $\mathbf{q}$ is remaining small enough that $V_{\mathbf{q}} = U_0$ no matter what. So this yields Eq. 4.76

$$\hat{H} = \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} + \frac{U_0}{2V} \sum_{\mathbf{p}_1, \mathbf{p}_2, \mathbf{q}} \hat{a}_{\mathbf{p}_1+\mathbf{q}}^\dagger \hat{a}_{\mathbf{p}_2-\mathbf{q}}^\dagger \hat{a}_{\mathbf{p}_1} \hat{a}_{\mathbf{p}_2}. \quad (4.76)$$

Let's consider the physical meaning of Eq. 4.76 when applied to our state. As written here, we are annihilating a single $\mathbf{p}_2$ particle, then annihilating a single $\mathbf{p}_1$ particle, then creating a

$\mathbf{p}_2 - \mathbf{q}$ particle, and lastly creating a $\mathbf{p}_1 + \mathbf{q}$ particle. But for that to be physically valid, we know momentum must be conserved, so physically we can deduce certain restraints. Let us consider all the possible ways of expressing that second term to the next nonvanishing order, which by our analysis above, will be quadratic in $\mathbf{p}$ since linear terms would violate conservation of momentum. Of course we still have the $\mathbf{p}_1, \mathbf{p}_2, \mathbf{q} = 0$ term, which we will separate out. We are left with a variety of terms with nonvanishing $\mathbf{p}_i$. Suppose $\mathbf{p}_1$ and $\mathbf{p}_2$ are each zero. Then we can see that with $\mathbf{q} = \mathbf{p}$ the term $\propto \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{-\mathbf{p}}^\dagger \hat{a}_0 \hat{a}_0$ (creation of two particles of opposite momenta) is non-vanishing. This suggests there is a term corresponding to the complimentary physical process, the annihilation of two particles of opposite momenta, a term $\propto \hat{a}_0^\dagger \hat{a}_0^\dagger \hat{a}_{\mathbf{p}} \hat{a}_{-\mathbf{p}}$, for which we have $\mathbf{q} = -\mathbf{p}$. There are no other quadratic terms where $\mathbf{p}_1$ and $\mathbf{p}_2$ have the same magnitude.

If $\mathbf{p}_1 = 0$ and $\mathbf{p}_2 = \mathbf{p}$ then our annihilation operators destroy a single particle with $\mathbf{p}$ and the creation operators must fix to preserve momentum conservation. This can be done in two ways, with $\mathbf{q} = 0$ which gives the term $\propto \hat{a}_0^\dagger \hat{a}_{\mathbf{p}}^\dagger \hat{a}_0 \hat{a}_{\mathbf{p}}$ and with $\mathbf{q} = \mathbf{p}$ which gives the term $\propto \hat{a}_{\mathbf{p}}^\dagger \hat{a}_0^\dagger \hat{a}_0 \hat{a}_{\mathbf{p}}$. Similarly is $\mathbf{p}_1 = \mathbf{p}$ and $\mathbf{p}_2 = 0$ then $\mathbf{q} = 0, -\mathbf{p}$ yields terms $\propto \hat{a}_{\mathbf{p}}^\dagger \hat{a}_0^\dagger \hat{a}_{\mathbf{p}} \hat{a}_0$ and $\propto \hat{a}_0^\dagger \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} \hat{a}_0$. But the commutation relations allow use to write the four terms described in this paragraph together in the form $\hat{a}_0^\dagger \hat{a}_{\mathbf{p}}^\dagger \hat{a}_0 \hat{a}_{\mathbf{p}}$. Hence, to quadratic order in $\mathbf{p}$ our Hamiltonian may be written:

$$\begin{aligned} \hat{H} = & \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} + \frac{U_0}{2V} \hat{a}_0^\dagger \hat{a}_0^\dagger \hat{a}_0 \hat{a}_0 + \\ & \frac{U_0}{2V} \sum_{\mathbf{p} \neq 0} \left(4 \hat{a}_0^\dagger \hat{a}_{\mathbf{p}}^\dagger \hat{a}_0 \hat{a}_{\mathbf{p}} + \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{-\mathbf{p}}^\dagger \hat{a}_0 \hat{a}_0 + \hat{a}_0^\dagger \hat{a}_0^\dagger \hat{a}_{\mathbf{p}} \hat{a}_{-\mathbf{p}} \right) \end{aligned} \quad (4.82)$$

Where we haven't touched the first term. We continue with the Bogoliubov approximation for $\hat{a}_0 \approx \sqrt{N}$ in the third term of Eq. 4.82, but we need to be more precise in the second term. To do this we use the normalization relation $\hat{a}_0^\dagger \hat{a}_0 + \sum_{\mathbf{p} \neq 0} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} = N$, which is true by inspection. We can hit both sides of that to get

$$\hat{a}_0^\dagger \hat{a}_0^\dagger \hat{a}_0 \hat{a}_0 = \hat{a}_0^\dagger N \hat{a}_0 - \hat{a}_0^\dagger \left[\sum_{\mathbf{p} \neq 0} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} \right] \hat{a}_0.$$

Now we use the normalization relation to substitute again for $\hat{a}_0^\dagger \hat{a}_0$, which gives the N^2 term and a single term of $N \sum$. We use the commutation relations $[\hat{a}_{\mathbf{p}}, \hat{a}_{\mathbf{q}}] = \delta_{\mathbf{p}\mathbf{q}}$ and $[\hat{a}_{\mathbf{p}}^\dagger, \hat{a}_{\mathbf{q}}] = 0$ to get the

other term like $N \sum$ (and dropping the higher order terms). We are left with

$$\hat{a}_0^\dagger \hat{a}_0^\dagger \hat{a}_0 \hat{a}_0 = N^2 - 2N \sum_{\mathbf{p} \neq 0} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} \quad (4.83)$$

We also require the scattering length a beyond the lowest order Born approximation. Without deriving them here, we find the results [102]:

$$a = \frac{m}{4\pi\hbar^2} \left(U_0 - \frac{U_0^2}{2V} \sum_{\mathbf{p} \neq 0} \frac{m}{p^2} \right) \quad U_0 = g \left(1 + \frac{g}{V} \sum_{\mathbf{p} \neq 0} \frac{m}{p^2} \right) \quad (4.84)$$

where as always $g = \frac{4\pi\hbar^2 a}{m}$. Doing the various substitutions outlined we get the following expression:

$$\hat{H} = \frac{gN^2}{2V} + \sum_{\mathbf{p}} \frac{p^2}{2m} \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} + \frac{1}{2} gn \sum_{\mathbf{p} \neq 0} 2\hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} + \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{-\mathbf{p}}^\dagger + \hat{a}_{\mathbf{p}} \hat{a}_{-\mathbf{p}} + \frac{mgn}{p^2}. \quad (4.85)$$

4.3.2.1 Bogoliobov Transformation

We are ready to do our Bogoliobov transformation. Equation 4.85 can be diagonalized via the Bogoliobov transformation:

$$\hat{a}_{\mathbf{p}} = u_{\mathbf{p}} \hat{b}_{\mathbf{p}} + v_{-\mathbf{p}} \hat{b}_{-\mathbf{p}}^\dagger, \quad \hat{a}_{\mathbf{p}}^\dagger = u_{\mathbf{p}} \hat{b}_{\mathbf{p}}^\dagger + v_{-\mathbf{p}} \hat{b}_{-\mathbf{p}}. \quad (4.86)$$

The two parameters $u_{\mathbf{p}}$ and $v_{-\mathbf{p}}$ are determined by the following constraints: the new operators $\hat{b}_{\mathbf{p}}^\dagger$ and $\hat{b}_{\mathbf{p}}$ are assumed to obey the same Bosonic commutation relation as the real particles operators $\hat{a}_{\mathbf{p}}$ and $\hat{a}_{\mathbf{p}}^\dagger$:

$$[\hat{b}_{\mathbf{p}}, \hat{b}_{\mathbf{p}'}^\dagger] = \delta_{\mathbf{p}\mathbf{p}'} \quad (4.87)$$

This commutator definition thus constrains the two parameters $u_{\mathbf{p}}$ and $v_{-\mathbf{p}}$. Some math follows which is not particularly physically illuminating, see section 4.2 of [102] for the details. The explicit form of $u_{\mathbf{p}}$, $v_{-\mathbf{p}}$ is

$$u_{\mathbf{p}} = \left(\frac{p^2/2m + gn}{\epsilon(p)} + \frac{1}{2} \right)^{1/2} \quad v_{-\mathbf{p}} = - \left(\frac{p^2/2m + gn}{\epsilon(p)} - \frac{1}{2} \right)^{1/2} \quad (4.88)$$

where

$$\epsilon(\mathbf{p}) = \left[\frac{gn}{m} p^2 + \left(\frac{p^2}{2m} \right)^2 \right]^{1/2} \quad (4.89)$$

is the Bogoliobov dispersion law for the elementary excitations of the system. We can now diagonalize the Hamiltonian 4.85 into the simple form

$$\hat{H} = E_0 + \sum \epsilon(\mathbf{p}) \hat{b}_{\mathbf{p}}^\dagger \hat{b}_{\mathbf{p}}, \quad (4.90)$$

where

$$E_0 = \frac{1}{2}gnN + \frac{1}{2} \sum_{\mathbf{p} \neq 0} \left[\epsilon(\mathbf{p}) - gn - \frac{p^2}{2m} + \frac{m(gn)^2}{p^2} \right], \quad (4.91)$$

is the ground state energy calculated to the higher-order approximation. Looking at the Hamiltonian 4.90 we see it resembles that of a system of non-interacting quasiparticles each with energy $\epsilon(\mathbf{p})$. Consider how the dispersion relation depends on $\mathbf{p}$; in the limit of small and large $\mathbf{p}$ we have

$$\epsilon_{p \rightarrow 0} \approx p \sqrt{\frac{gn}{m}} = pc, \quad \epsilon_{p \rightarrow \infty} \approx \frac{p^2}{2m} + gn. \quad (4.92)$$

Looking at the explicit form of u, v substituting the low momentum dispersion relation will yield

$$u_{\mathbf{p} \rightarrow 0}, v_{\mathbf{p} \rightarrow 0} \approx \pm \left(\frac{mc}{p} \pm \frac{1}{2} \right)^{1/2} \quad (4.93)$$

so $|u_{\mathbf{p}}| \approx |v_{-\mathbf{p}}| \gg 1$ and $\hat{a}_{\mathbf{p}} \sim u_{\mathbf{p}} (\hat{b}_{\mathbf{p}} - \hat{b}_{-\mathbf{p}}^\dagger)$. At low momenta, the real particles resemble a roughly equal number of quasiparticles of positive and negative momenta. For the higher momenta limit, we know that $\epsilon \sim p^2/2m + gn$ and so $|u_{\mathbf{p}}| \simeq 1$ and $|v_{-\mathbf{p}}| \simeq 0$ and the quasiparticle $\hat{b}_{\mathbf{p}}$ is indistinguishable from the real particle so $\hat{a}_{\mathbf{p}} \sim \hat{b}_{\mathbf{p}}$. The fact that the high momentum excitations resemble real particles is also suggested by the high momentum behavior of Eq. 4.92 which resembles that of a free particle. The ground state of the weakly interacting system at $T = 0$ is the vacuum state of the Bogoliobov quasiparticles :

$$\hat{b}_{\mathbf{p} \neq 0} |0\rangle = 0 \quad (4.94)$$

The transition between the phonon-like and particle like regimes occurs around $p^2/2m \sim gn = mc^2$.

Setting $p^2/2m = gn$ and letting $p = \hbar/\xi$ defines the healing length:

$$\xi = \sqrt{\frac{\hbar^2}{2mgn}} = \frac{1}{\sqrt{2}} \frac{\hbar}{mc}. \quad (4.95)$$

The occupation number N_p of the quasi-particles is given by the normal Bose expression

$$N_{\mathbf{p}} = \langle \hat{b}_{\mathbf{p}}^\dagger \hat{b}_{\mathbf{p}} \rangle = \frac{1}{\exp[\beta\epsilon(\mathbf{p})] - 1} \quad (4.96)$$

This must not be confused with the average particle occupation number $\langle \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} \rangle$. The chemical potential of a gas of quasi-particles is zero by construction since their number is not fixed but is determined by the condition of thermodynamic equilibrium, in analogy with Planck's black body law for photons. The actual particle occupation number $\langle \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} \rangle$ is, under the Bogoliobov transformation,

$$n_{\mathbf{p}} = \langle \hat{a}_{\mathbf{p}}^\dagger \hat{a}_{\mathbf{p}} \rangle = |v_{-\mathbf{p}}|^2 + |u_{\mathbf{p}}|^2 \langle \hat{b}_{\mathbf{p}}^\dagger \hat{b}_{\mathbf{p}} \rangle + |v_{-\mathbf{p}}|^2 \langle \hat{b}_{-\mathbf{p}}^\dagger \hat{b}_{-\mathbf{p}} \rangle. \quad (4.97)$$

holding only for $p \neq 0$. This is important to note since if we are interested in the number of atoms in the condensate (for which $p = 0$) we have

$$N_0 = N - \sum_{\mathbf{p} \neq 0} n_{\mathbf{p}} = N - \frac{V}{(2\pi\hbar)^3} \int \left[|v_{-\mathbf{p}}|^2 + \frac{|u_{\mathbf{p}}|^2 + |v_{-\mathbf{p}}|^2}{\exp[\beta\epsilon(\mathbf{p})] - 1} \right] d^3\mathbf{p} \quad (4.98)$$

We see how the $|v_{-\mathbf{p}}|^2$ term indicates that the interactions cause there to be some particles with non-zero momenta, even in the limit of $T = 0$ since

$$\lim_{T \rightarrow 0, \mathbf{p} \neq 0} \epsilon(\mathbf{p})/(k_B T) = \infty \implies \langle \hat{b}_{\mathbf{p}}^\dagger \hat{b}_{\mathbf{p}} \rangle = 0$$

which is obvious from the form of Eq. 4.89. Here, we see the difference between the quasi-particles occupation number with momentum $p \neq 0$ and the real particles occupation number for such p . Even though we calculate zero quasiparticles at absolute zero, we still have a non-zero number of actual atoms in higher p states.

4.3.3 LHY corrections

The ground state energy Eq. 4.91 in the higher-order approximation can be easily calculated by replacing the sum with an integral in momentum space resulting in

$$E_0 = \frac{gnN}{2} \left[1 + \frac{128}{15\sqrt{\pi}} (na^3)^{1/2} \right], \quad (4.99)$$

while the chemical potential $\mu = \partial E_0 / \partial N$ is thus easily shown to be

$$\mu = gn \left[1 + \frac{32}{3\sqrt{\pi}} (na^3)^{1/2} \right]. \quad (4.100)$$

These expressions give the LHY correction to the mean-field values of the ground state energy and chemical potential for a weakly interacting Bose gas. They are included for completion and are not relevant for the work in this thesis.

4.3.4 The GP equation

The dynamics of the weakly interacting Bose gas is well described by the Gross-Piteavskii equation, which suitable not only for uniform systems for which the Bogoliobov theory above was developed but also for non-uniform systems. The GP equation describes the evolution of the wavefunction $\Psi(\mathbf{r}, t)$ according to

$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V_{ext}(\mathbf{r}, t) + g|\Psi(\mathbf{r}, t)|^2 \right) \Psi(\mathbf{r}, t) = i\hbar \frac{\partial}{\partial t} \Psi(\mathbf{r}, t). \quad (4.101)$$

The mean field term, $g = \int V_{eff}(r) d^3r$ can be expressed in terms of the scattering length yielding $g = 4\pi\hbar^2 a/m$. This equation resembles the Schrodinger equation with an additional term describing the interactions which renders the GP equation nonlinear. We are interested in fluctuations from the mean and so it is natural to expand the wavefunction as

$$\Psi(\mathbf{r}, t) = [\Psi_0(\mathbf{r}) + \mathcal{O}(\mathbf{r}, t)] e^{-i\mu t/\hbar} \quad (4.102)$$

where $\mathcal{O}(\mathbf{r}, t)$ describes small oscillations about the mean equilibrium value $\Psi_0(\mathbf{r})$. Expanding $\mathcal{O}(\mathbf{r}, t)$ as

$$\mathcal{O}(\mathbf{r}, t) = \sum_i [u_i(\mathbf{r}) e^{-i\omega_i t} + v_i^*(\mathbf{r}) e^{+i\omega_i t}] \quad (4.103)$$

and substituting into the GP equation leads to the coupled differential equations

$$\begin{aligned} \hbar\omega_i u_i(\mathbf{r}) &= (\hat{H}_0 - \mu + 2gn(\mathbf{r}))u_i(\mathbf{r}) + g(\Psi_0(\mathbf{r}))^2 v_i(\mathbf{r}), \\ -\hbar\omega_i v_i(\mathbf{r}) &= (\hat{H}_0 - \mu + 2gn(\mathbf{r}))v_i(\mathbf{r}) + g(\Psi_0^*(\mathbf{r}))^2 u_i(\mathbf{r}), \end{aligned} \quad (4.104)$$

where $\hat{H}_0 = -\frac{\hbar^2 \nabla^2}{2m} + V_{ext}(\mathbf{r})$. So far these representations are entirely classical, reflecting the fact that the condensate behaves as a classical field. Quantization is achieved by rewriting these in terms of field operators consisting of the still-classical condensate order parameter $\Psi_0(\mathbf{r})$ and an operator describing the fluctuations $\hat{\mathcal{O}}(\mathbf{r}, t)$

$$\hat{\Psi}(\mathbf{r}, t) = \left[\Psi_0(\mathbf{r}) + \hat{\mathcal{O}}(\mathbf{r}, t) \right] e^{-i\mu t/\hbar}. \quad (4.105)$$

The fluctuation operator is defined analogously to Eq. 4.86 as

$$\hat{\mathcal{O}}(\mathbf{r}, t) = \sum_i [u_i(\mathbf{r}) \hat{b}_i^\dagger e^{-i\omega_i t} + v_i^*(\mathbf{r}) \hat{b}_i e^{+i\omega_i t}] \quad (4.106)$$

where $\hat{b}_i^\dagger$ and $\hat{b}_i$ are the creation and annihilation operators for the i -th elementary excitation which has frequency ω_i . The u_i , v_i and ω_i are the solutions to the Bogoliobov equations of Eqs. 4.104. In this way, the Bogoliobov theory of the uniform case is extended to the trapped case. My understanding is that Eli solves for those things.

4.4 BEC, Superfluidity, and Fluctuations

In the first section of this chapter the criterion for BEC was determined to be a macroscopic occupation of one of the low-lying single-particle ground states, and this criterion was shown to be equivalent to the behavior of the off-diagonal behavior of the one-body density correlation function. We then developed the theory of BEC in ideal gases for dimensions $d = 1, 2, 3$ for both uniform systems and harmonically confined systems. The role of dimensionality and the external potential was shown to be crucial to the existence, or lack thereof, of BEC at finite or zero temperature. In determining whether or not those systems exhibited BEC we emphasized the macroscopic occupation criterion, but did not explore the existence of BEC from the perspective of the one-body density matrix. The role of fluctuations has thus been relegated to the background so far in this chapter. Moving forward, we wish to emphasize the role of fluctuations. The physics of BEC and fluctuations thereof more or less requires Bogoliobov's theory and its extension to non-uniform systems and so was introduced in Section 4.3.

With the vocabulary and formalism established for these systems, we may now focus on the role of fluctuations in BEC. The interplay between the phenomena of Bose-Einstein condensation on the one hand and superfluidity on the other may be understood in the framework of quantum hydrodynamics. The role of the wavefunction's phase turns out to be central to this interplay, more central than its modulus. In the following section we highlight the role of phase and dimensionality in the behavior of the off diagonal portion of the one-body density matrix, providing a fluctuation focused perspective on the phenomenon of BEC to compliment the energetics based arguments of this chapter's first section. This framework provides, at least in my opinion, a view which is more *physically* intuitive in understanding how fluctuations manifest in d -dimensional system. We shall begin by discussing a criterion for superfluidity in terms of the spontaneous creation of elementary excitations. We then highlight the importance of the phase and describe BEC in the language of quantum hydrodynamics. The nature of phase fluctuations in determining the presence or absence of long-range order in various dimensions will finally be discussed.

4.4.1 Landaus criterion for superfluidity

Consider a (uniform) fluid with energy E and momentum $\mathbf{P}$ in a given reference frame K and suppose K' is a reference frame moving with velocity $\mathbf{V}$ with respect to K . If we ignore relativity and proceed with Galilean transformations we may express the energy of the fluid in K' by

$$\begin{aligned} E' &= E + \frac{1}{2}MV^2 - \mathbf{P} \cdot \mathbf{V} \\ \mathbf{P}' &= \mathbf{P} - M\mathbf{V} \end{aligned} \tag{4.107}$$

where M is the total fluid mass. Suppose one of the frames (K) is the fluid's rest frame and consider the creation of an excitation with momentum $\mathbf{p}$ and energy $\epsilon(\mathbf{p})$ within the fluid which is initially in the ground state with energy E_0 . The total energy of the fluid is then $E_0 + \epsilon(\mathbf{p})$ and the total momentum is $\mathbf{P} = \mathbf{p}$ by construction. Let's give our fluid some context by further supposing the fluid was initially flowing within a tube at constant velocity $\mathbf{v}$ and denote the tubes rest frame as

K' . Then Eq.4.107 indicates:

$$\begin{aligned} E' &= E_0 + \epsilon(\mathbf{p}) + \frac{1}{2}Mv^2 - \mathbf{p} \cdot \mathbf{v} \\ \mathbf{P}' &= \mathbf{p} - M\mathbf{v} \end{aligned} \tag{4.108}$$

In the tube's rest frame, the energy of the system has changed by $\Delta E = \epsilon(\mathbf{p}) - \mathbf{p} \cdot \mathbf{v}$. Therefore we identify $\epsilon(\mathbf{p}) - \mathbf{p} \cdot \mathbf{v}$ as the energy of the excitation in the tube frame and conclude that excitations may appear if

$$\epsilon(\mathbf{p}) - \mathbf{p} \cdot \mathbf{v} < 0, \tag{4.109}$$

and the fluid may transfer momentum to the tube only under the necessary condition $v > \epsilon(\mathbf{p})/|\mathbf{p}|$. The creation of such an excitation and the subsequent transfer of the momentum to the environment represents a dissipative mechanism. Since the system's excitations follow the dispersion relation $\epsilon(\mathbf{p})$, there will be a momentum $\mathbf{p}$ minimizing $\epsilon(\mathbf{p})/|\mathbf{p}|$. We may therefore define a critical velocity v_c of the fluid through the tube for which

$$v_c = \min_{\mathbf{p}} \frac{\epsilon(\mathbf{p})}{|\mathbf{p}|}. \tag{4.110}$$

If the flow through the tube is less than v_c then it is impossible for the creation of an elementary excitation to be energetically favorable and the flow will not dissipate. The criterion for superfluidity, which is defined as flow without dissipation, is thus:

$$v < v_c = \min_{\mathbf{p}} \frac{\epsilon(\mathbf{p})}{|\mathbf{p}|}. \tag{4.111}$$

This is Landau's criterion of superfluidity [79]. In the case of an ideal Bose gas, we have

$$\epsilon(\mathbf{p}) = \frac{p^2}{2m}$$

and so $v_c = 0$ indicating that the idea Bose gas (in an infinite uniform system) is not actually a superfluid! At a finite but small temperatures the system will necessarily occupy some of the elementary excitations. In the Bogoliobov picture we may view these excitations as non-interacting quasiparticles and assume the system behaves like a non-interacting gas of these quasiparticles. Thermalization with the environment takes place via interactions between the quasiparticles and

the wall of the tube, and so excitations may be created and destroyed through this process. The thermally excited excitations of course contribute to the flow of particles through the tube, but in their interaction with the tube, the flow associated with these excitations **does** dissipate! The finite temperature fluid thus possesses a **superfluid** component which flows without dissipation and a **normal** component which does dissipate, at least with respect to the walls of the tube. The normal component will therefore equilibrate such that its velocity $\mathbf{v}_n$ is zero with respect to the tube wall. The occupation of the elementary excitations is thus

$$N_{\mathbf{p}} = \frac{1}{\exp[(\epsilon(\mathbf{p}) + \mathbf{p} \cdot (\mathbf{v}_s - \mathbf{v}_n))/k_B T] - 1} \quad (4.112)$$

where $\mathbf{v}_s$ is the superfluid velocity and $\mathbf{v}_n$ is the normal-fluid velocity.

4.4.2 The role of the condensate phase

One striking result of the previous section was the implication that a BEC in an ideal gas is not a superfluid. Therefore, the identification of the condensate density $|\Psi_0|$ with the superfluid density n_s is not a general truth. Of course, BEC and superfluidity are still related and we shed some light on the nature of that relationship in this section. Under a Galilean transformation the field operator $\hat{\Psi}(\mathbf{r}, t)$ transforms according to:

$$\hat{\Psi}'(\mathbf{r}, t) = \hat{\Psi}'(\mathbf{r} - \mathbf{v}t, t) \exp \left[\frac{i}{\hbar} \left(m\mathbf{v} \cdot \mathbf{r} - \frac{1}{2}mv^2t \right) \right] \quad (4.113)$$

In a uniform fluid we may write the condensate part of the wavefunction in the coordinate system where the sample is in equilibrium [102] as

$$\Psi_0 = \sqrt{n_0} e^{-i\mu t/\hbar}. \quad (4.114)$$

In the frame where the fluid is moving with velocity $\mathbf{v}_s$ the order parameter may be written

$$\begin{aligned} \Psi_0 &= \sqrt{n_0} e^{i\Phi} \\ \Phi(\mathbf{r}, t) &= \frac{1}{\hbar} \left[m\mathbf{v} \cdot \mathbf{r} - \left(\frac{1}{2}mv^2 + \mu \right) t \right] \end{aligned} \quad (4.115)$$

where Φ is the phase in the moving frame. From Eq. 4.115 we extract the important result

$$\mathbf{v}_s = \frac{\hbar}{m} \nabla \Phi \quad (4.116)$$

relating the superfluid velocity to the phase of the condensate order parameter. The appearance of the order parameter's phase Φ but not its density n_0 is indicative of the more important role of the condensate phase to superfluidity compared to the density.

4.4.3 Quantum Hydrodynamics and the phase correlation function

The formalism of hydrodynamics is a useful framework for understanding supfluidity. For an normal fluid we have the total energy of the system H :

$$H = \int (\nabla \phi)^2 \frac{\rho}{2} + e(\rho) d\mathbf{r}, \quad (4.117)$$

where ϕ is the so-called velocity potential obeying $\mathbf{v}_s = \nabla \phi$, ρ is the density of the fluid and $e(\rho)$ is the internal energy per unit volume. The variation δH of the fluid energy appears in the hydrodynamic equations:

$$\frac{\partial \rho}{\partial t} = \frac{\delta H}{\delta \phi}, \quad \frac{\partial \phi}{\partial t} = -\frac{\delta H}{\delta \rho}. \quad (4.118)$$

Thus ρ and ϕ are the conjugate variables of the system and the corresponding quantum mechanical operators obey the commutation relation

$$[\hat{\phi}(\mathbf{r}), \hat{\rho}(\mathbf{r}')] = -i\hbar \delta(\mathbf{r} - \mathbf{r}'). \quad (4.119)$$

We may write the Hamiltonian for a quantum fluid as

$$\hat{H} = \int \nabla \hat{\phi} \frac{\hat{\rho}}{r} \nabla \hat{\phi} + e(\hat{\rho}) d\mathbf{r}, \quad (4.120)$$

where $\hat{\phi} = \frac{\hbar}{m} \hat{\Phi}$. In terms of the operators $\hat{b}^\dagger$ and $\hat{b}$ for the phonons which obey the commutation relation $\hat{b}_{\mathbf{k}'} \hat{b}_{\mathbf{k}}^\dagger - \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}'} = \delta_{\mathbf{k}\mathbf{k}'}$ we may write the density deviation and phase operators as

$$\delta \hat{\rho} = \frac{1}{\sqrt{2V}} \sum_{\mathbf{k}} \left(\frac{\bar{\rho} \hbar k}{c} \right)^{1/2} \left(\hat{b}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}} + \hat{b}_{\mathbf{k}}^\dagger e^{-i\mathbf{k} \cdot \mathbf{r}} \right) \quad (4.121)$$

$$\hat{\Phi} = -\frac{\mu t}{\hbar} - \frac{1}{\sqrt{2V}} \sum_{\mathbf{k}} i \left(\frac{m^2 c}{\rho \hbar k} \right)^{1/2} \left(\hat{b}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}} - \hat{b}_{\mathbf{k}}^\dagger e^{-i\mathbf{k} \cdot \mathbf{r}} \right) \quad (4.122)$$

There is a typo in Equation (6.66) of [102], where the m in the $\left(\frac{m^2 c}{\rho \hbar k} \right)^{1/2}$ quantity is erroneously a linear power (giving $\left(\frac{mc}{\rho \hbar k} \right)^{1/2}$). The form here is correct. The one-body density matrix $n^{(1)}(\mathbf{r}, \mathbf{r}') = \langle \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}') \rangle$ may be computed in terms of the field operator

$$\hat{\Psi}(\mathbf{r}, t) = \sqrt{n_0} e^{i\hat{\Phi}}, \quad (4.123)$$

where n_0 is the condensate density and $\hat{\Phi}$ is the phase operator. The one-body density matrix obeys

$$n^{(1)}(\mathbf{r}, \mathbf{r}') = n_0 \left\langle e^{-i[\hat{\Phi}(\mathbf{r}) - \hat{\Phi}(\mathbf{r}')] } \right\rangle = n_0 e^{-\frac{1}{2} \langle [\hat{\Phi}(\mathbf{r}) - \hat{\Phi}(\mathbf{r}')]^2 \rangle} = n_0 e^{-(\chi(0) - \chi(\mathbf{s}))}, \quad (4.124)$$

where

$$\chi(\mathbf{s}) = \langle \Phi(\mathbf{r}) \Phi(\mathbf{r}') \rangle \quad (4.125)$$

is the phase correlation function and $\mathbf{s} = |\mathbf{r} - \mathbf{r}'|$ [74, 102]. This is a key relationship, as it directly relates the long range order to the phase properties of the system. If regions of the system are highly correlated, i.e. if the phase coherence of the system is large, then the phase correlation function $\chi(0) \approx \chi(|\mathbf{r} - \mathbf{r}'|)$ and the off diagonal part of $n^{(1)}(\mathbf{r}, \mathbf{r}')$ will not drop off. We demonstrated in Sec. 4.1 that this defines a BEC. Using Eq. 4.122 we have:

$$\begin{aligned} \chi(\mathbf{s}) &= \langle \Phi(\mathbf{r}) \Phi(\mathbf{r}') \rangle \\ \chi(\mathbf{s}) &= -\frac{m^2 c}{2V \rho \hbar} \left\langle \sum_{\mathbf{k}} k^{-1} \left(\hat{b}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}} - \hat{b}_{\mathbf{k}}^\dagger e^{-i\mathbf{k} \cdot \mathbf{r}} \right) \left(\hat{b}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}'} - \hat{b}_{\mathbf{k}}^\dagger e^{-i\mathbf{k} \cdot \mathbf{r}'} \right) \right\rangle \\ \chi(\mathbf{s}) &= \frac{m^2 c}{2V \rho \hbar} \left\langle \sum_{\mathbf{k}} k^{-1} \left(\hat{b}_{\mathbf{k}} \hat{b}_{\mathbf{k}}^\dagger e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} + \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} e^{-i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} \right) \right\rangle \\ \chi(\mathbf{s}) &= \frac{m^2 c}{2V \rho \hbar} \left\langle \sum_{\mathbf{k}} k^{-1} \left((1 + \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}}) e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} + \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} e^{-i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} \right) \right\rangle \\ \chi(\mathbf{s}) &= \frac{m^2 c}{V \rho \hbar} \sum_{\mathbf{k}} \left(\frac{1}{2} + \langle \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} \rangle \right) \frac{e^{i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')}}{k} \end{aligned} \quad (4.126)$$

In the above, we have only one sum over $\mathbf{k}$ since all momentum cross terms vanish. We have also dropped the terms containing $\hat{b}_{\mathbf{k}} \hat{b}_{\mathbf{k}}$ and $\hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}}^\dagger$ as they vanish (or contribute to higher order?). From the fourth line to the last we have switched the sum over $\mathbf{k}$ in the last term (as is allowed by

symmetry) to produce like exponentials. Expressing the integral in terms of momentum $\mathbf{p} = \hbar \mathbf{k}$ we have

$$\chi(\mathbf{s}) = \frac{m^2 c}{\rho} \int \left(N_{\mathbf{p}} + \frac{1}{2} \right) \frac{e^{i\mathbf{p} \cdot \mathbf{s} / \hbar}}{p} \frac{d^d \mathbf{p}}{(2\pi \hbar)^d} \quad (4.127)$$

where $N_{\mathbf{p}} = \langle \hat{b}_{\mathbf{p}}^\dagger \hat{b}_{\mathbf{p}} \rangle = [e^{cp/k_B T} - 1]^{-1}$ is the thermal distribution of phonons. In three dimensions this is identical to Eq. (6.71) of [102]. In the high temperature limit $k_B T \gg cp$ we have $N_{\mathbf{p}} \approx k_B T / cp \gg 1/2$ and so

$$\chi(\mathbf{s}) = \frac{m^2 k_B T}{\rho} \int \frac{e^{i\mathbf{p} \cdot \mathbf{s} / \hbar}}{p^2} \frac{d^d \mathbf{p}}{(2\pi \hbar)^d} \quad (4.128)$$

Expanding the exponential according to Euler's formula and dropping the sine term since it is an odd function yields:

$$\chi(\mathbf{s}) = \frac{m^2 k_B T}{\rho} \int \frac{\cos(\mathbf{p} \cdot \mathbf{s} / \hbar)}{p^2} \frac{d^d \mathbf{p}}{(2\pi \hbar)^d}. \quad (4.129)$$

The density matrix thus decays according to $n^{(1)}(\mathbf{s}) = n_0 e^{-(\chi(0) - \chi(\mathbf{s}))}$ with

$$\chi(0) - \chi(\mathbf{s}) = \frac{m^2 k_B T}{\rho} \int \frac{1 - \cos(\mathbf{p} \cdot \mathbf{s} / \hbar)}{p^2} \frac{d^d \mathbf{p}}{(2\pi \hbar)^d}. \quad (4.130)$$

We can evaluate the integral for $d = 1, 2, 3$ finding:

$$\langle [\hat{\Phi}(\mathbf{r}) - \hat{\Phi}(\mathbf{r}')]^2 \rangle = \frac{m^2 k_B T}{\rho} \int_{-\infty}^{\infty} \frac{1 - \cos(\mathbf{p} \cdot \mathbf{s} / \hbar)}{p^2} \frac{dp}{(2\pi \hbar)} = \frac{m^2 k_B T}{2\rho_s \hbar^2} |\mathbf{r} - \mathbf{r}'| \quad \text{1 Dimension} \quad (4.131)$$

$$\langle [\hat{\Phi}(\mathbf{r}) - \hat{\Phi}(\mathbf{r}')]^2 \rangle = \frac{m^2 k_B T}{\rho} \int_0^{p_{co}} \frac{1 - \cos(\mathbf{p} \cdot \mathbf{s} / \hbar)}{p} \frac{(2\pi) dp}{(2\pi \hbar)^2} \approx \frac{m^2 k_B T}{2\pi \rho_s \hbar^2} [\gamma + \ln(2p_{co} |\mathbf{r} - \mathbf{r}'|)] \quad \text{2 dimensions} \quad (4.132)$$

$$\langle [\hat{\Phi}(\mathbf{r}) - \hat{\Phi}(\mathbf{r}')]^2 \rangle = \frac{m^2 k_B T}{\rho} \int_0^{p_{co}} (1 - \cos(\mathbf{p} \cdot \mathbf{s} / \hbar)) \frac{(4\pi) dp}{(2\pi \hbar)^3} \approx \frac{k_B T}{2\pi^2 \rho_s \hbar^2} \left[p_{co} - \frac{\hbar \sin\left(\frac{p_{co} |\mathbf{r} - \mathbf{r}'|}{\hbar}\right)}{2|\mathbf{r} - \mathbf{r}'|} \right] \quad \text{3 dimensions} \quad (4.133)$$

where $\gamma \approx 0.577$ is Euler's and constant we have introduced an ultraviolet cutoff p_{co} because the hydrodynamic formalism is only valid for long wavelengths, and the integrals in $d = 2$ and $d = 3$ dimensions do not converge otherwise. We omit the cutoff in the one dimensional integral because it does converge and otherwise the result is ugly, but strictly speaking, it too should be limited in

its range. The 2π and 4π are simply the angular parts for two and three dimensions. The results of Eqs. 4.131, 4.132 and 4.133 were first given by Kane and Kadanoff, although the 2D and 3D results differ slightly in form [74]. Other sources also have slight differences [98, 60] in 2D and 3D, but they do not affect the limiting behavior. In 1D the one-body density matrix tends towards zero exponentially: $n^{(1)}(\mathbf{r} - \mathbf{r}') \sim e^{-|\mathbf{r} - \mathbf{r}'|}$. In 2D it decays algebraically: $n^{(1)}(\mathbf{r} - \mathbf{r}') \sim \frac{1}{|\mathbf{r} - \mathbf{r}'|}$. In 3D, it settles to a constant non-zero value. Therefore, in 1D and 2D we do not expect BEC in uniform systems. Note that quantum hydrodynamics requires an interacting fluid, so these results do not map perfectly onto the demonstration in Sec. 4.2.3, which were ideal systems. Of course, these results do not apply to $T = 0$ exactly, so we should not place too much confidence in them for zero temperature systems.

Let us also compute the density-density correlation function $\langle \delta\hat{\rho}(\mathbf{r})\delta\hat{\rho}(\mathbf{r}') \rangle$. The one-body density matrix was given in Eq. 4.124 only in terms of the phase correlation function, because the contribution of the density is negligible in comparison. To understand why, we compute analogously to Eq. 4.126. The operator math is identical so we can simply write down the answer

$$\begin{aligned} \varrho(\mathbf{s}) &= \langle \delta\hat{\rho}(\mathbf{r})\delta\hat{\rho}(\mathbf{r}') \rangle \\ &= \frac{\bar{\rho}\hbar}{2Vc} \left\langle \sum_{\mathbf{k}} k \left(\hat{b}_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}} + \hat{b}_{\mathbf{k}}^\dagger e^{-i\mathbf{k}\cdot\mathbf{r}} \right) \left(\hat{b}_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}'} + \hat{b}_{\mathbf{k}}^\dagger e^{-i\mathbf{k}\cdot\mathbf{r}'} \right) \right\rangle \\ &= \frac{\bar{\rho}\hbar}{Vc} \sum_{\mathbf{k}} \left(\frac{1}{2} + \langle \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} \rangle \right) k e^{i\mathbf{k}\cdot(\mathbf{r}-\mathbf{r}')} \end{aligned} \quad (4.134)$$

For $k_B T \gg pc$ we have

$$\varrho(\mathbf{s}) = \frac{\bar{\rho}\hbar}{c} \int \cos(\mathbf{p}\cdot\mathbf{s}/\hbar) \frac{d^d \mathbf{p}}{(2\pi\hbar)^d} \quad (4.135)$$

for the density correlations in d dimensions.

4.5 Discussion

The low momentum behavior for the density correlation function $\varrho(\mathbf{s}) = \langle \delta\hat{\rho}(\mathbf{r})\delta\hat{\rho}(\mathbf{r}') \rangle$ and the phase correlation function $\chi(\mathbf{s}) = \langle \Phi(\mathbf{r})\Phi(\mathbf{r}') \rangle$ are very different. The phase correlations go as k^{-2} in the integrand while k drops out of the integral entirely; although naturally the integrals

will introduce a factor of k^{d-1} due to the volume element, and it is this which differentiates the behavior of the one-body density matrix in different dimensions. The stronger dependence on the phase $\hat{\Phi}$ of the order parameter justifies the omission of density effects in Eq. 4.124.

The quantum hydrodynamic picture has provided us with quantitative results on the nature of fluctuations in multiple dimensions. We have seen how the phase fluctuations destroy long range order in 1D and 2D for finite temperature, a complimentary view to arguments from the chemical potential for ideal gases in earlier sections. We have also seen how the density fluctuations are much less important in determining the off-diagonal long range behavior of the system. We have also seen how in low dimensions the fluctuations of both the phase and the density are greater than in higher dimensions. We have even seen some interesting intermediate behavior in the two-dimensional case that has not yet been explored in our discussion, the algebraic, as opposed to exponential (as in 1D), decay of the one-body density matrix to zero. This suggests a sort of intermediate behavior for these systems which turns out to be related to a transition to a superfluid state which does not exhibit BEC: the Berezinskii-Kosterlitz-Thouless (BKT) transition. However, the conceptual goal of this chapter, which was to explain how and why dimensionality affects fluctuations, has been fairly thoroughly addressed.

4.6 The Static Structure Factor

With the conceptual understanding of fluctuations having been extensively explored so far, it is time to shift gears and bring the theory back to the lab.

4.6.1 Static Structure factor for $a > 0$

The static structure factor is the quantity of primary interest in this thesis, and here we provide its definition. The static structure factor is related to the dynamic structure factor $S(\mathbf{p}, \omega)$, which characterizes inelastic scattering by a probe which transfers momentum $\hbar\mathbf{p}$ and energy $\hbar\omega$ to the system [102]. One approach to understanding the static structure factor is from the perspective of linear response theory [102]. However, the conceptual task mentioned at the beginning of this

chapter was to develop the tools necessary to understand the nature of fluctuations in different dimensions in order to motivate the technique used in this thesis, and it is this author's hope that that task has been achieved in the previous sections. As such, we shall narrow our focus from this point forward to the question of what measurements will reveal the fluctuations in our bulk three dimensional BEC, and what we expect to measure.

The treatment here is due to our theory collaborator Eli Halperin, and his forthcoming thesis will be a much more thorough development of these ideas [62]. We begin with the correlation function.

$$\nu(\mathbf{r}_1 - \mathbf{r}_2) = \frac{1}{\bar{n}} \langle \delta n(\mathbf{r}_1) \delta n(\mathbf{r}_2) \rangle \quad (4.136)$$

where $\delta n(\mathbf{r}) = \bar{n} - n(\mathbf{r})$ is the density deviation from the average. The static structure factor $S(\mathbf{k})$ is the Fourier transform of the static correlation function

$$S(\mathbf{k}) = \int \nu(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r} \quad (4.137)$$

We may rearrange to express this as

$$S(\mathbf{k}) = \frac{1}{N} \langle |\delta n(\mathbf{k})|^2 \rangle \quad (4.138)$$

where

$$\delta n(\mathbf{k}) = \int \delta n(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r} \quad (4.139)$$

is the Fourier transform of the density deviation. The static structure factor is the ensemble average of the square of the Fourier transform of the density deviation. In the Bogoliobov approximation the static structure factor may be written

$$S(\mathbf{k}) = \langle \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} \rangle + \langle \hat{a}_{-\mathbf{k}} \hat{a}_{-\mathbf{k}}^\dagger \rangle + \langle \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}}^\dagger \rangle + \langle \hat{a}_{-\mathbf{k}} \hat{a}_{\mathbf{k}} \rangle \quad (4.140)$$

where the $\hat{a}_{\mathbf{k}}$ are the annihilation operators for real particles with wavenumber $\mathbf{k}$. We perform the Bogoliobov transformation

$$\hat{a}_{\mathbf{k}} = u_{\mathbf{k}} \hat{b}_{\mathbf{k}} + v_{-\mathbf{k}} \hat{b}_{-\mathbf{k}}^\dagger \quad \hat{a}_{\mathbf{k}}^\dagger = u_{\mathbf{k}} \hat{b}_{\mathbf{k}}^\dagger + v_{-\mathbf{k}} \hat{b}_{-\mathbf{k}} \quad (4.141)$$

where

$$u_k, v_{-k} = \pm \sqrt{\frac{\hbar^2 k^2 / 2m + gn}{2\epsilon(k)} \pm \frac{1}{2}} \quad (4.142)$$

and

$$\epsilon(k) = \sqrt{2gn \frac{\hbar^2 k^2}{2m} + \left(\frac{\hbar^2 k^2}{2m} \right)^2}. \quad (4.143)$$

The structure factor may now be expressed as

$$S(\mathbf{k}) = \frac{1}{\epsilon(k)} \frac{\hbar^2 k^2}{2m} \left(\langle \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} \rangle + \langle \hat{b}_{-\mathbf{k}} \hat{b}_{-\mathbf{k}}^\dagger \rangle + \langle \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{-\mathbf{k}}^\dagger \rangle + \langle \hat{b}_{-\mathbf{k}} \hat{b}_{\mathbf{k}} \rangle \right) \quad (4.144)$$

We proceed as usual with

$$\langle \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} \rangle = \langle \hat{b}_{-\mathbf{k}}^\dagger \hat{b}_{-\mathbf{k}} \rangle = \frac{1}{e^{\epsilon(k)/k_B T}} \quad (4.145)$$

$$\langle \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{-\mathbf{k}}^\dagger \rangle = \langle \hat{b}_{\mathbf{k}} \hat{b}_{-\mathbf{k}} \rangle = 0 \quad (4.146)$$

$$[\hat{b}_{\mathbf{k}}, \hat{b}_{\mathbf{k}}^\dagger] = 1 \quad (4.147)$$

which reduces to

$$S(\mathbf{k}) = \frac{1}{\epsilon(k)} \frac{\hbar^2 k^2}{2m} \coth \left(\frac{\epsilon(k)}{2k_B T} \right). \quad (4.148)$$

This is the structure factor for a uniform BEC. The use of the Bogoliobov approximation $\hat{a}_0 = \hat{a}_0^\dagger \approx \sqrt{N}$ in deriving Eq. 4.140 assumes a condensate contains most of the atoms.

4.6.2 Evolution of structure factor at $a < 0$

The details of the computation for the time evolution of the structure factor following a quench to $a < 0$ is beyond the scope of this thesis, which, despite the topics covered in the last thirty or so pages, is an experimentally focused work. Details may be found in the forthcoming thesis of our colleague Eli Halperin who performed the numerical simulations and theoretical work much of this thesis relies on [62]. The validity of Bogoliobov theory for systems with attractive interactions is not obvious *a priori*. It turns out that the Bogoliobov procedure is incapable of diagonalizing the Hamiltonian and producing Bosonic quasiparticles for all values of $\mathbf{k}$ [62]. Progress can still be

made within the Bogoliubov framework and the evolution of the structure factor may be expressed as

$$\begin{aligned}
S(\mathbf{k}, t) &= \frac{1}{\epsilon(k)} \frac{\hbar^2 k^2}{2m} \coth\left(\frac{\epsilon(k)}{2k_B T}\right) \left(1 + \frac{\hbar^2 k^2 n(g_i + |g_f|)}{m|\epsilon_f(k)|} \sin^2\left(\frac{\epsilon_f(k)t}{\hbar}\right)\right) \\
S(\mathbf{k}, t) &= S(\mathbf{k}, 0) \left(1 + \frac{\hbar^2 k^2 n(g_i + |g_f|)}{m|\epsilon_f(k)|^2} \sin^2\left(\frac{\epsilon_f(k)t}{\hbar}\right)\right) \quad (g_f < 0)
\end{aligned} \tag{4.149}$$

This is the prediction for the evolution of the structure factor following a quench to attractive interactions. The structure factor may be measured with *in-situ* density measurements of the cloud following a quench.

4.7 Related work in 2D

In two dimensions, fluctuations have been the subject of extensive experimental efforts. The group of Cheng Chin at the University of Chicago and more recently the group of Chen-Lung Hung³ at Purdue University have explored interacting BECs in 2D and measured the static structure factor in a number of experiments over more than a decade [72, 59, 71, 104, 29, 30]. In particular the work in [72] concerned the direct measurement of the structure factor in 2D for interacting condensates at various interactions strengths, all with $a > 0$. They place great emphasis on a comprehensive understanding of the imaging systems optical transfer function to accurately extract the density correlations from *in-situ* images of their 2D BEC. They used images of a non-interacting thermal cloud for which the expected structure factor is flat to characterize the aberrations in their system. This was the motivation for analyzing the structure factor of the thermal component away from the condensate in Section 3.6 and comparing the resulting profile with the corresponding analysis we use to determine the structure factor of the condensate.

In a later work, the oscillations predicted by Eq. 4.149 were observed following a quench between two positive values of a [71]. In the experiment most analogous to our own, the cloud was quenched from $g_i = 0.25$ to $g_f = 0.079$ where the interaction strength for the 2D superfluid is $g_{2D} = \sqrt{8\pi} a/l_z$ where a is the scattering length and l_z is the harmonic oscillator length in the z -direction which is frozen out the dynamics to make the system effectively 2D. Growth of the

³ Dr. Hung was a graduate student in Dr. Chin's lab

structure factor was observed immediately following the quench, which was performed by abruptly changing the magnetic field over 300 μs , which was sufficiently slow compared to all other relevant timescales. One should note that the form of Eq. 4.149 presumes the $g_f < 0$, we may rewrite the evolution for the structure factor following a quench between two values of a as

$$S(\mathbf{k}, t) = S(\mathbf{k}, 0) \left(1 + \frac{\hbar^2 k^2 n(g_i - g_f)}{m\epsilon_f(k)^2} \sin^2 \left(\frac{\epsilon_f(k)t}{\hbar} \right) \right). \quad (4.150)$$

This expression is valid for all quenches, and correctly predicts no dynamics for $g_i = g_f$, which Eq. 4.149 as written, does not as it presumes $g_f < 0$. The oscillations of the structure factor after the quench-down $g_i > g_f$ measured in [71] matched the predictions of Eq. 4.150. In our case, for which $g_f < 0$ (and $g = g_{3D} = 4\pi\hbar^2 a/m$) the $\epsilon(k)$ may be imaginary and since $\sin(ix) = \sinh(x)$, we do not expect oscillations for unstable mode but rather runaway growth. In the quench up experiments of [71], for which $g_f > g_i > 0$, the initial trajectory of the structure factor for a given k is downward, and the observed oscillation frequencies were 65% greater than predicted. Subsequent theoretical work [104] considered the influence on a thermal bath of atoms on the evolution of the static structure factor. Most recently the Hung group at Purdue quenched to attractive interactions and observed the growth of unstable modes followed by the fragmentation of the gas into 2D solitons in [29]. In a subsequent paper, the interactions were quenched to attractive interactions and held for up to 2 ms, and the growth of the structure factor was observed for stable and unstable modes, as predicted by Eq. 4.150, see Fig. 2 of [30]. However, the amplitude of the growth was lower than that predicted by Eq. 4.150, and they fit their data to

$$S(k, \delta\tau) = e^{-\Gamma_k \Delta\tau} S_{coh}(k) + S_{inc}(k) \quad (4.151)$$

where S_{coh} is given by Eq. 4.150 and

$$S_{inc}(k) = \frac{1}{2} \left[\eta_- \left[\frac{\Gamma_k^2}{\hbar^2(\Gamma_k^2 + 4\epsilon_f^2)} \right] \left[1 - e^{-\Gamma_k \Delta\tau} \left[\cos \left(2\epsilon_f \frac{\Delta\tau}{\hbar} \right) - \frac{2\epsilon_f}{\hbar\Gamma_k} \sin \left(2\epsilon_f \frac{\Delta\tau}{\hbar} \right) \right] \right] + \eta_+ [1 - e^{-\Gamma_k \Delta\tau}] \right] \quad (4.152)$$

with $\eta_{\pm} = 1 \pm \epsilon_i^2/\epsilon_f^2$. $S_{inc}(k)$ is an incoherent additive background which does not grow over time⁴.

The coupling between the the coherent and incoherent contribution is governed by the k -dependent

⁴ It is also the most annoying equation I've ever typeset in my life

damping rate Γ_k , which is a fit parameter of their model for which they find $\Gamma_k \sim 0.5\epsilon_i/\hbar$. They also emphasize the used of a high temperature thermal gas to calibrate their imaging transfer function.

Chapter 5

Controlled Demolition

5.1 Optical Lattice overview

The use of optical lattices to provide a well understood control case for implosions is an important stepping stone to understanding how intrinsic fluctuations are amplified. This chapter shall describe the geometry of the optical lattices used to write our imposed density perturbations, how they are calibrated, and how those density perturbations evolve following a quench to negative scattering length along with a comparison to numerical simulations of such a quench. We shall finish with a calibration of our resolution at the two wavevectors of the X-lattice and Y-lattice.

5.2 Lattice geometries

The optical lattices used as seed potentials in this work are formed by the interference of two running beams intersecting at shallow angles. Each lattice is referred to by the direction of its wavevector. Only the X-lattice and Y-lattice are used in this thesis, so discussion of the Z-lattice is omitted.

5.2.1 X-lattice geometry

The X-lattice geometry is shown in Fig. 5.1a. The X-lattice beams flank the V-beam of the ODT and enter the science cell from above; the +125 mm lens which focuses the VODT beam steers the X-lattice beams toward the atoms. Due to the small waist, and large corresponding divergence, of the X-lattice beams, each beam's individual focus is longitudinally shifted down-beam from the

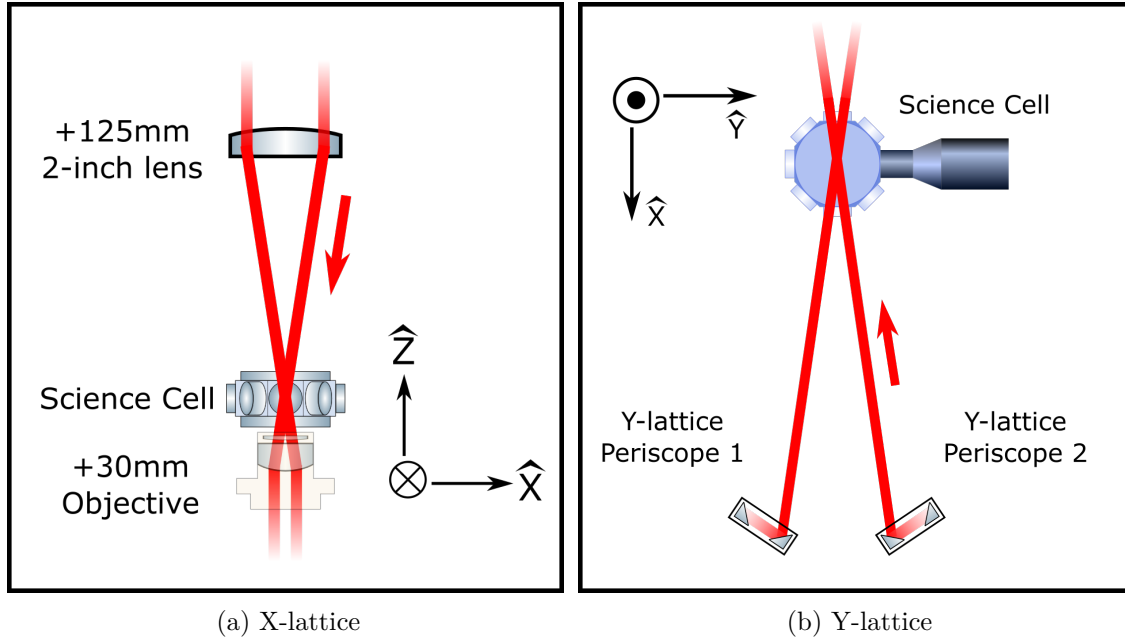


Figure 5.1: Geometry of X-lattice (a) and Y-lattice (b). Prior to the two-inch mirror which focuses the V-beam of the ODT, the X-lattice beams are parallel to the V-beam (not shown). The V ODT lens steers the X-lattice beams inward such they intersect at the atoms with a half-angle of ~ 8.5 degrees. The Y-lattice beams enter on either side of the H2-beam (not shown). The Y-lattice beams intersect at ~ 12 degrees.

atoms' position and thus the lattice beams do not provide a noticeable perturbation to the trapping potential for typical intensities used for seeding the density perturbation. The maximum attainable power of the X-lattice beams *is* sufficient to shift the cloud's equilibrium position, but this power is only used in the trap-frequency measurements described in 2.6.1. For the typical purpose of imposing a small seed potential the X-lattice beams do not perturb the cloud.

5.2.2 Y-lattice geometry

The Y-lattice beams enter the science cell on either side of the H2 ODT beam which provides the vertical confinement for the atoms. These beams, like the H2 beam, are directed to the science cell by mirrors approximately 45 cm away from the science cell to provide room for the cart which transfers the atoms from MOT2 into the science cell. This severely constrains the space available for the Y-lattice periscopes. As a result, proper Y-lattice alignment is much more difficult to achieve

since alignment must be performed using hex keys socketed into the adjustment knobs of the 1/2-inch kinematic mirror mounts atop the Y-lattice periscopes. This is in contrast to the alignment optics of the X-lattice which possess differential micrometers. Fortunately, the alignment of each lattices is quite stable, so once the optimum alignment is achieved the lattice intensity does not vary day to day. As mentioned in Chapter 2, the Y-lattice light is fiber coupled and routed from the upper optical breadboard of Figure 2.10 to the section of the optical table beneath the H1/H2 and side-imaging breadboards. The fiber limits the maximum power of the Y-lattice to substantially below that of the X-lattice. Unlike the X-lattice, the beams are not focused by any lens near the science cell, and this further reduces the maximum Y-lattice depth compared to the X-lattice, the beams of which are somewhat focused by the VODT lens, although the focus is down-beam of the atoms.

5.3 Optical lattice characterization

This section shall describe how the lattice's are characterized. We shall first describe the physics used for absolute measurements of the lattice depth using a short, powerful lattice pulse. In this thesis, a short laser pulse means $0.5 - 500\mu\text{s}$ timescale control of a CW laser as controlled by an AOM not the vastly faster timescales of pulsed lasers. A lattice pulse is simply both lattice beams being pulsed at the same time. The lattice pulse calibration method will be shown to be invalid for weak lattice of the sort used as seed potentials in this work. We shall then describe how the shallow-lattice regime is calibrated with respect to the absolute lattice depth measurements of deep lattices.

5.3.1 Absolute lattice depth measurements of deep lattices

Consider a uniform BEC with uniform phase at $t = 0$ subject to a lattice potential for the duration of a short laser pulse. We write the potential as

$$U(x) = -\frac{U_0}{2}(1 - \cos(2k_L x)). \quad (5.1)$$

If pulse is on for a short enough time that none of the atoms will have moved much, then each portion of the wavefunction will acquire a phase given by $U(x)$ in the normal manner $\psi(t) = \psi(0)e^{iEt/\hbar}$ [110, 109]. If we suppose $\psi(x, t = 0) = \psi_0$ then

$$\begin{aligned}\psi(x, t > 0) &= \psi_0 e^{iU(x)t/\hbar} \\ &= \psi_0 e^{i\frac{U_0 t \cos(2k_L x)}{2\hbar}}\end{aligned}\tag{5.2}$$

where we have ignored the global portion of the phase irrelevant to the dynamics. Now if we take a look at Appendix B we have the identity Eq. B.9 which allows us to write

$$\psi(x, t > 0) = \psi_0 \sum_{n=-\infty}^{\infty} i^n J_n \left(\frac{U_0 t}{2\hbar} \right) e^{i2nk_L x}.\tag{5.3}$$

where J_n is the Bessel Function of the first kind [1]. The pulse transforms the original wavefunction ψ_0 containing only a single component with momentum $p = 0$ into a superposition of components with $p = 2n\hbar k_L$ ($n \in \mathbb{Z}$) each with probability

$$|\psi_n|^2 = |J_n \left(\frac{U_0 t}{2\hbar} \right)|^2.\tag{5.4}$$

In the trapped case, the argument is identical differing only in the minor detail that ψ_0 has a finite momentum spread because of the finite spatial extent. The evolution of a trapped BEC subjected to a suitably short pulse and immediately released from the trap is characterized by a symmetric pattern of clouds exploding away from the central cloud with relative populations given by Eq. 5.4. We shall refer to the argument of the Bessel function J_n as the pulse parameter.

This effect, which we refer to as Bragg diffraction, provides a convenient method for characterizing the lattice depth U_0 when the pulse is sufficiently short. If the amplitude evolves substantially during the lattice pulse, Eq. 5.3 becomes invalid. Therefore, the pulse duration must be short compared to the timescales of the atomic motion. An obvious upper bound to the pulse duration is therefore the trap period of the ODT. The trap period of the ODT is on the order of 10 ms, and indeed the atoms can not be considered stationary for long pulses on this order. However, the lattice itself provides a timescale. In an optical lattice the atoms experience a sinusoidal potential due to their off-resonant interaction with the 1064 nm ODT beams:

$$U(y) = U_0 \cos^2(k_L x).\tag{5.5}$$

Where U_0 is proportional to the laser intensity and the lattice wavevector is $k_L = \pi/d$. Expanding and noting that in the harmonic approximation $U(y) = \frac{1}{2}m\omega_{ho}^2 x^2$ we see that

$$\omega_{ho}^2 = \frac{2U_0 k_L^2}{m} = \frac{4U_0 E_L}{\hbar^2} \quad (5.6)$$

and easily rearrange to show that [70]

$$T_{ho} = 2\pi/\omega_{ho} = \frac{\pi\hbar}{\sqrt{U_0 E_L}}. \quad (5.7)$$

The oscillation period here provides a characteristic timescale of the lattice relevant for the calibration method described above, and satisfies the physicist's biological requirement to express physical systems in terms of harmonic oscillators whenever possible. The pulse duration must be only a fraction of T_{ho} for the atoms to be considered stationary. In the lab the validity of Eq. 5.4 is evaluated using the linearity of the pulse parameter with respect to the pulse duration as described in the next section.

5.3.2 Deep Lattice calibration

The results of the previous section form the basis for absolute calibrations of the lattice potential. *A priori* consideration of the beam geometries provides an estimate of the lattice depth, but imperfect alignment of the beams with respect to each other and the atoms, uncertainties in the beam size and shape at the atoms, and non-ideal polarization make an entirely *a priori* evaluation of the lattice potential unwise. The diffraction of non-zero momentum sidebands due to a short pulse is immune to these uncertainties since the evolution according to Eq. 5.4 provides a measure of exactly how strong any potential of the form of Eq. 5.1 is at the location of the cloud. In other words, Bragg diffraction only measures the amplitude of the Fourier component of the potential corresponding to that of the lattice, and does so accurately and precisely where the atoms are, no calculation of beam powers required.

To characterize the lattices a small BEC is produced, then exposed to a short lattice pulse at the same moment the main ODT beams (H2 and V) are turned off. The cloud, or perhaps

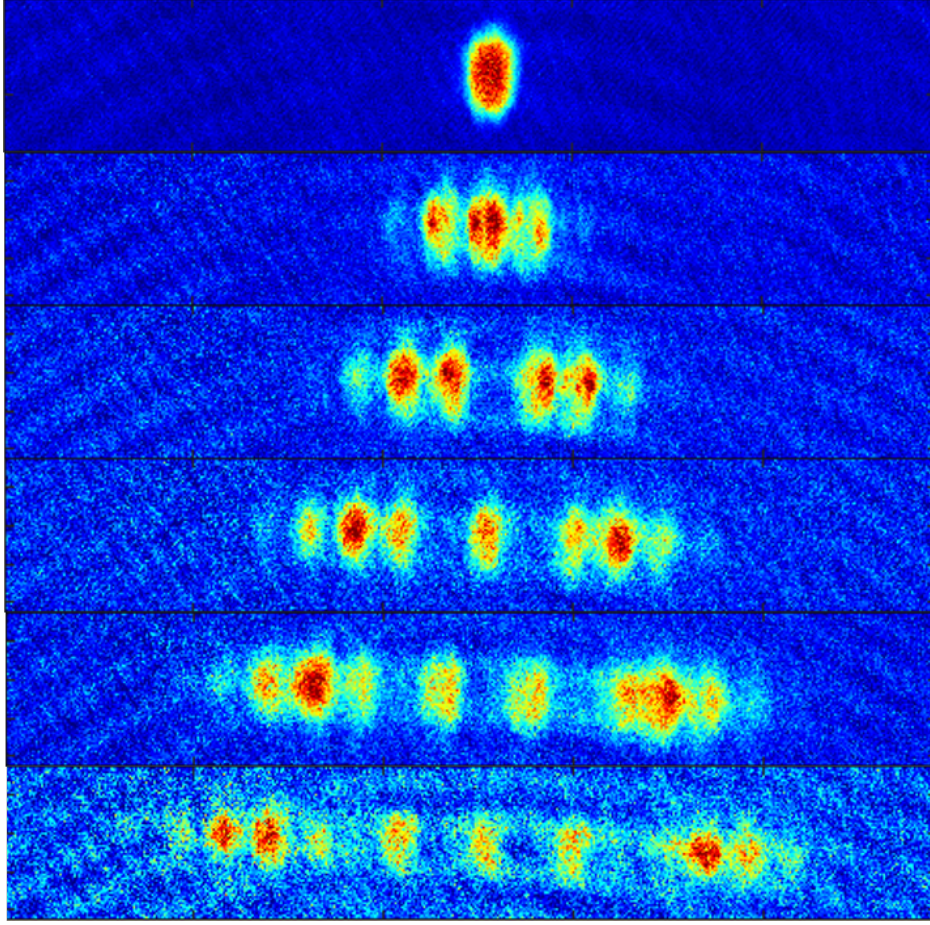


Figure 5.2: Time-of-flight absorption images of a condensate taken after variable-duration lattice pulses for fixed lattice power. Pulse duration for top cloud is zero (no lattice pulse) and increasing by ten microseconds each row. Bottom row pulse duration is 50 microseconds. Each row is the data from a single experimental cycle.

clouds, are imaged from the side after >20 ms of time-of-flight. The entire density distribution (of multiple clouds corresponding to different multiples of the lattice recoil momentum) is then fit with a single global condensate size and shape given by a Thomas-Fermi profile where the amplitude of each mode is given by Eq. 5.4. The separation between adjacent modes is simply $\Delta x = 2n\hbar kt_{TOF}$ assuming purely ballistic motion. Several images of clouds for different values of the lattice pulse parameter

$$\alpha(U_0, t) = \frac{U_0 t}{2\hbar} \quad (5.8)$$

are shown in Fig. 5.2, where the pulse parameter was measured for various times at a fixed lattice

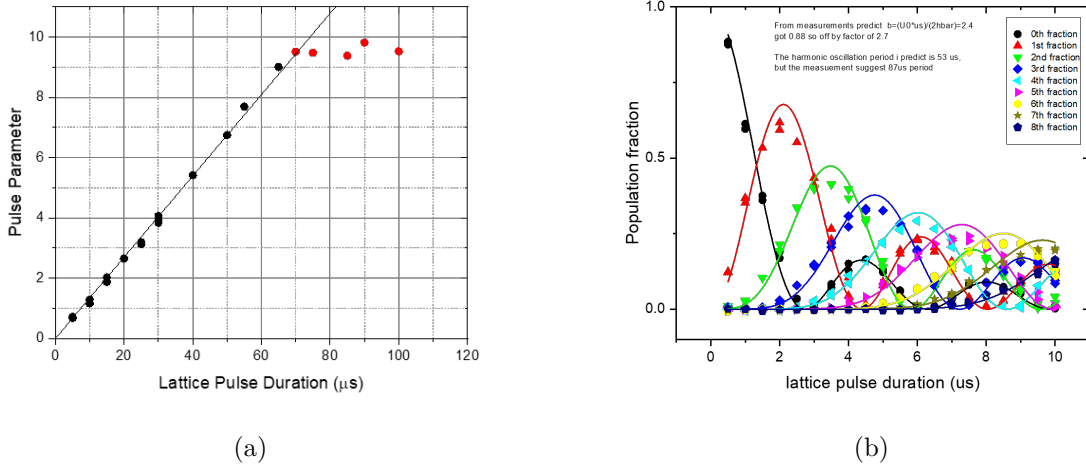


Figure 5.3: Lattice calibration using Bragg diffraction. In (a) the entire multi-component cloud is fit according to a single Thomas-Fermi profile where the amplitude of the several clouds is constrained according to Eq. 5.4 with a single pulse parameter. In (b) the populations of the first nine diffracted order from lattice pulses versus pulse duration. Each curve is an independent fit to the given mode from which the pulse parameter may be extracted. The pulse parameters for each order agree to better than 1%. We use the global pulse parameter from (a) to characterize the lattices, (b) is included for reference.

power. When the pulse duration becomes too long for Eq. 5.4 to be valid, the density distribution will no longer resemble the precise prediction of Eq. 5.4. This can be seen in Fig. 5.3a where the pulse parameter saturates beyond a certain pulse duration, indicating where the measurement is not providing an accurate measurement of the lattice depth. By checking that we remain in the linear regime of Fig. 5.3a we ensure accurate characterization of the lattice depth.

5.3.3 Shallow lattice calibration

The purpose of the lattice is to write a well understood density fluctuation on an *in-situ* cloud as an analogy to intrinsic fluctuations. Therefore, *in-situ* images of perturbed clouds must be translated into absolute units. Diffraction experiments *in situ* are certainly possible, but are ill suited for characterizing the lattice since presence of the trapping potential spoils the free expansion of the various momentum modes. Furthermore, the Bessel function distribution of Eq. 5.3 is difficult to fit until momentum populations are well separated, but the trap means they may never separate

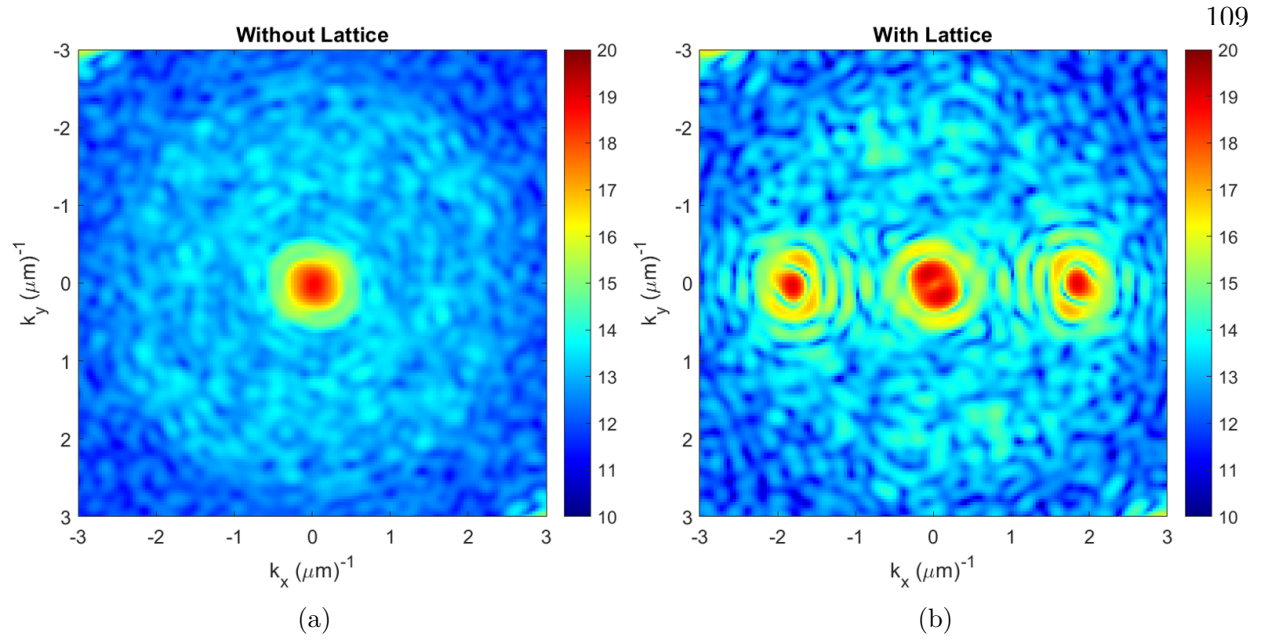


Figure 5.4: Averaged, squared Fourier transforms of the density with and without the X-lattice. The color scale is the same for both images, and the natural log of the squared Fourier transform is shown. Prior to computing the Fourier transform the average density profile in the absence of the lattice is subtracted from each individual cloud. On the left fifteen clouds without lattices were imaged, and no structure is apparent at $k_x = 1.73\mu\text{m}^{-1}$. In contrast, the presence of the lattice is apparent in (b). Only four density profiles are averaged in (b), making it slightly noisier.

at all, and even if they do separate, the field of view of the *in-situ* imaging system is far too small due to the large magnification. The only signature of the lattice available to us for high magnification, *in-situ* imaging is the density distribution itself; it is in the Fourier transformation of the data where the seed lattice perturbations are evident, as in Fig. 5.4. Extracting the lattice depth from the density profiles themselves turns out to be complicated by imperfect imaging as well, making a careful calibration chain between *in-situ* images and time-of-flight Bragg pulse measurements of the lattice depth indispensable. The first link of the calibration chain is the absolute measurement of the lattice depth possible for deep enough lattices, as described in the last section. A photodetector in the beamline of the unused X-lattice light (see Figure 2.10) simultaneously records the residual lattice power. This photodetector is directly proportional to the depth of the X-lattice and Y-lattice, with the proportionality constant dependent on attenuation and alignment. It is critical that the alignment of the lattice optics for both the X and Y lattices be robust enough that the

proportionality constant between the lattice PD and the lattice depth at the atoms be stable. Substantial work on the optomechanical stability of the lattice optics was required to achieve sufficient stability. Alignment and optimization of the lattices is performed by maximizing the lattice pulse parameter for fixed power while adjusting each of the four beams, two for each lattice.

When alignment is completed several neutral density (ND) filters are placed in the lattice beam path to attenuate the beam. Electronically, the lattice power may only be reliably controlled over a factor of about 20 using the H1 AOM which is insufficient dynamic range. The position of these filters is important as ND filters may be damaged and cause intensity dependent effects on the beam profile, pointing, and attenuation. The lattice PD conversion factors are measured using the most powerful lattice possible given the overall lattice attenuation, and so in practice the attenuation is empirically chosen that maximum lattice power is just sufficient to allow Bragg diffraction measurements to be validly performed, this is the reason that only one lattice depth is used to calibrate the lattice PD in Fig. 5.5a; if the lattice were weaker the diffraction method could not be used. The power must also be low enough that additional ND filters will operate in the linear regime.

Once the system is well characterized and robust such that one may be confident in inferring the true lattice depth at the atoms from the lattice PD, the *in-situ* signature of the lattice may be calibrated. In real space, the lattice is quite subtle, and relatively deep lattices are needed to directly see the perturbation in the density. In Fourier space the lattice is quite visible, as shown in Fig. 5.4, where on the left a BEC with no lattice applied exhibited no obvious feature at the X-lattice wavevector of $k_x = 2\pi/(3.63\mu m)$, and on the right a very clear peak is prominent. The region around the peak is integrated and plotted versus the known power for various lattice depths, as in Fig. 5.5b. The integrated quantity is then fit to the form

$$F(U_0) = F_0 + AU_0^p \quad (5.9)$$

where the exponent p should be approximately two since we are squaring the FFT of the density. Due to noise and imperfect imaging p is a floating parameter of the fit with the result $p = 1.90(11)$.

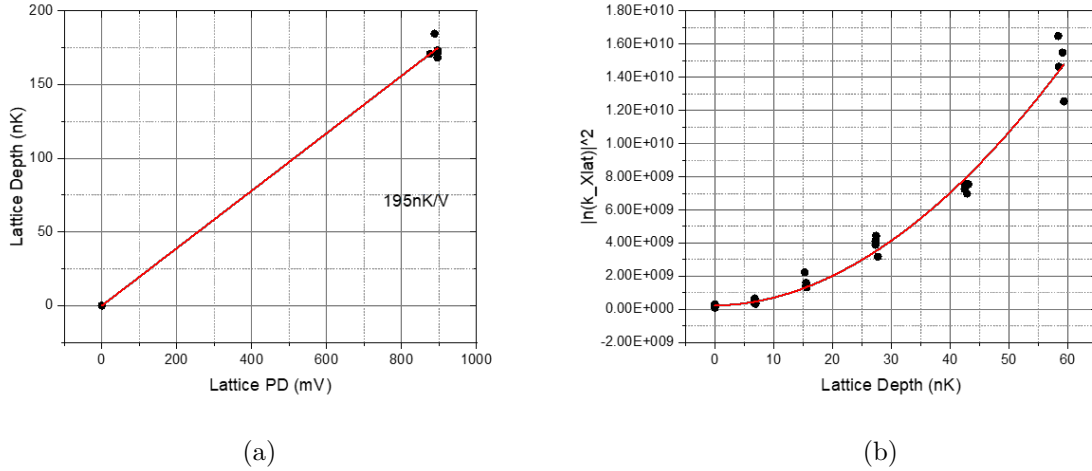


Figure 5.5: Calibration of the *in-situ* X-lattice. In (a) the lattice depth is determined in time-of-flight imaging following a short pulse and compared to a photodetector in the X-lattice beamline (see Fig. 2.10). The slope of the linear fit in (a) is the conversion factor which determines the lattice depth in (b) based on the measured PD voltage. For (b) the clouds are imaged *in situ* for various known lattice depths and the square of the Fourier transform of the density is computed and subsequently integrated in the region of Fourier space corresponding to the X-lattice.

If $p = 2$ is fixed, the intermediate lattice depths of 10-40 nK are systematically biased. Several images with no lattice at all are taken and used to fix the background signal F_0 so the fit of the integrated lattice peak only has the amplitude A and the exponent p as floating parameters. This provides the calibration of lattice depth vs *in-situ* measurement we require.

5.4 Lattice implosion experiments

In this section we discuss implosion experiments in the presence of a seed potential. For these experiments the cloud is cooled to sufficiently low temperatures that no thermal component was visible in time-of-flight measurements. The atoms undergo all-optical evaporation in the $|1, -1\rangle$ state. The density is determined by what value with which the scattering length the cloud equilibrates; larger values of a produce a larger, less-dense cloud. The lattice potential is then adiabatically applied over 200 ms and held for the same amount of time to ensure the system is in equilibrium at the time of the quench. The quench is performed by using a resonant microwave π -pulse to

transfer the cloud from the $|1, -1\rangle$ state to the $|2, -2\rangle$ state; the duration of the microwave pulse is ~ 7 microseconds. Following the quench the cloud evolves for a variable length of time we shall call the implosion time before the cloud is imaged in situ using the $|2, -2\rangle \rightarrow |3, -3\rangle$ cycling transition.

5.4.1 Lattice sanity checks

We performed some experiments with the lattice as sanity checks to ensure we had an accurate understanding of how the density perturbation behaves after the lattice potential is removed. The first such experiment was performed by turning the lattice potential off a variable amount of time before the imaging and observing the relaxation and revival of the lattice signal, as shown in Fig. 5.6a. The quench is always performed since $|2, -2\rangle$ is both the imaging state and the state with attractive interactions, but the time between quench and imaging is $5 \mu\text{s}$, negligible in comparison to the dynamics. Only the first few oscillations of the density perturbation are observable. From the timescale of the oscillation evident in Fig. 5.6a we performed implosion experiments where the lattice was turned off at a moment where the trajectory of the density oscillation was either positive, negative, or flat, see Fig. 5.6b. If the lattice remains on, the initial trajectory is flat but the perturbation begins to grow rapidly. If the lattice is turned off at the moment of quench the tendency for the perturbation to relax is in conflict with the instability of the mode.

5.4.2 Persistent lattice

The first implosion experiments with our control lattices were performed without removing the lattice seed-potential following the quench. A comparison between numerical simulation and experiment is given in Fig. 5.7 for an initial lattice depth of ~ 8 nK [62]. The numerical results have been adjusted with an overall scaling factor which accounts for imperfect contrast but which does not affect the time dependence. These data were taken with a cloud of $4.44 \times 10^5 (2.3\%)$ atoms and trap frequencies $[\omega_x, \omega_y, \omega_z] = 2\pi \times [74.8, 74.7, 72.7]$ giving a peak density $10.3 \times 10^{13} \text{ cm}^{-3}$ and chemical potential 95 nK. The agreement between the simulation and the prediction is excellent, and even reflects the drop off in the data at long times. The drop-off observed in Fig. 5.7 is caused

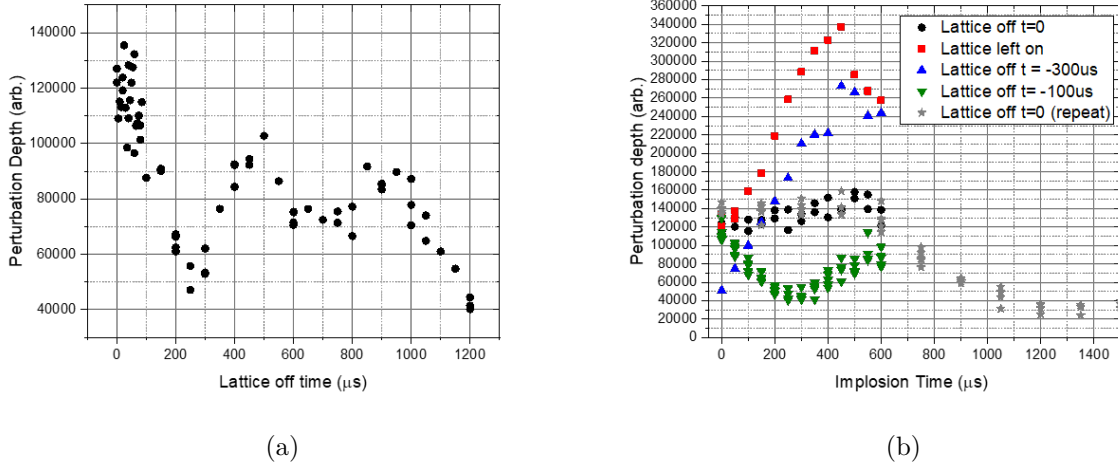


Figure 5.6: Perturbation response to turning off the lattice. In (a) the lattice is turned off a variable time before imaging and the perturbation relaxes before reviving with opposite phase. If the system were an infinite uniform (except the perturbation) superfluid we would expect the oscillation of the perturbation amplitude to last indefinitely. In (b) we see the influence of this transient response depicted in (a). When the lattice is turned off 100 μs before the quench (green) we see the perturbation continuing to shrink. The initial trajectory of the amplitude is downward. When the lattice is turned off 300 μs before the quench (blue), the perturbation has just begun to grow again, and we see the perturbation grow from a smaller initial amplitude and continue to grow. The initial trajectory here is upward. If the lattice is left on during implosions, we see the behavior depicted by the red squares. The initial trajectory is flat in this case. If the lattice is turned off at the moment of quench, the initial trajectory remains flat (black circle, gray stars) but the lattice does not grow very much.

by both number loss through three-body recombination and the increasing deviation of the density perturbation from a perfect sinusoid.

We fit the initial growth also to the function

$$\tilde{n}_{\mathbf{k}_x}(t) = \tilde{n}_{\mathbf{k}_x}(0) \cosh \frac{t}{\tau} \quad (5.10)$$

where $\tilde{n}_{\mathbf{k}_x}(0)$ is the initial size of the perturbation and τ is an exponential growth constant. The growth time constant τ does depend on the time range to be included in the fit, so to capture the early times a cutoff time for the fit domain is chosen. We select 300 μs to be our cutoff time and find that the perturbation growth time constant is 176(7) microseconds. Fitting the simulation results to Eq. 5.10 over the same domain as the experimental data gives consistent results, which

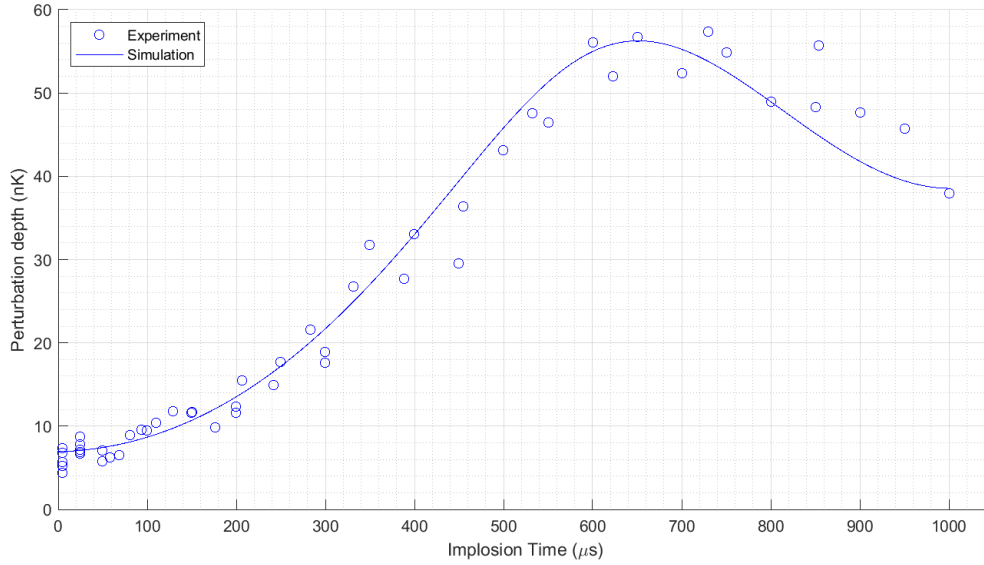


Figure 5.7: Growth of the X-lattice seed perturbation at $k_x = 1.73$ for the $3.63\mu\text{m}$ X-lattice. The solid line indicates numerical simulations using the GPE. Open circles correspond to individual experimental cycles. The simulation is fit to the experimental data with a single overall scaling factor.

is unsurprising given the good agreement apparent in Fig. 5.7.

In the introduction to this thesis the amplification of fluctuations at a given wavevector was motivated through the dispersion relation

$$\epsilon(\mathbf{k}) = \left[\frac{gn}{m} \hbar^2 k^2 + \left(\frac{\hbar^2 k^2}{2m} \right)^2 \right]^{1/2}, \quad (5.11)$$

which becomes imaginary for negative scattering lengths. We argued that the timescale for the implosions would grow with a time constant $\tau = \hbar/\epsilon(k)$, how has this prediction been borne out? In Fig. 5.8a the dispersion relation for the peak and average density of the cloud is given and in Fig. 5.8b are the expected growth timescales $\hbar/\epsilon$. The timescales predicted by $\tau = \hbar/\epsilon_f$ are significantly slower than the observed timescales and the simulation results. The observed timescales were independent of the initial lattice depth as indicated by Fig. 5.9, as expected.

5.4.3 Vanishing lattice

To better reflect implosions seeded by intrinsic fluctuations of the BEC, the seed potential should not persist during the implosion process. After all, the fluctuations we wish to magnify are

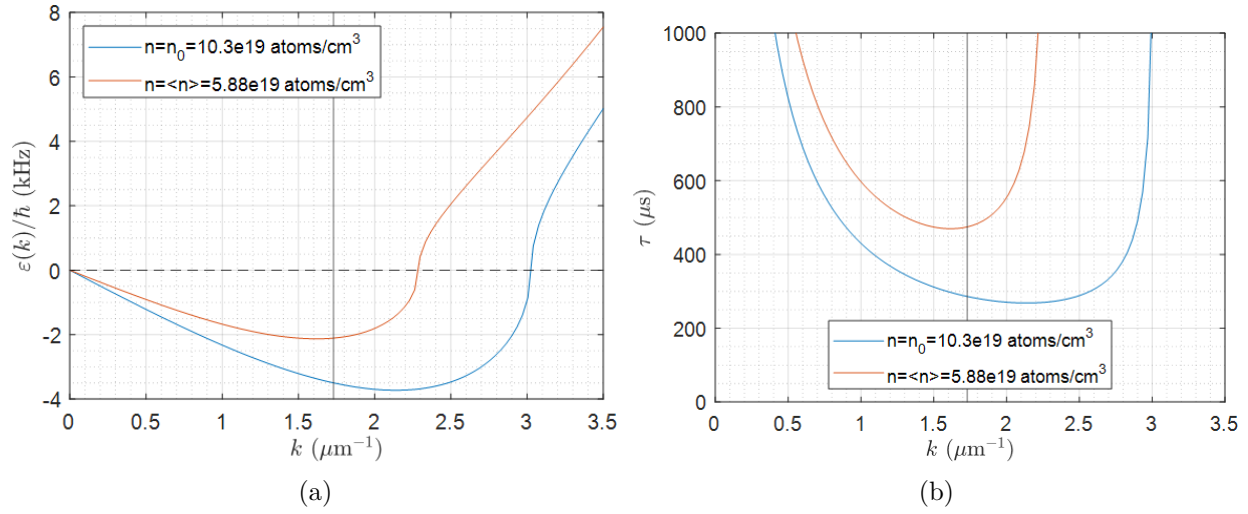


Figure 5.8: Dispersion relation $\epsilon(k)$ and corresponding timescales $\tau = \hbar/\epsilon(k)$ expected for the peak and average density of the condensate. The wavevector of the X-lattice is $1.73 \mu\text{m}^{-1}$ and is indicated with a vertical black line. The timescale predicted by the dispersion relation alone are substantially slower than indicated by Fig. 5.7. Note the change in the y-axis labels of (a) above and below the $\epsilon = 0$ reference line, where below the line the energy is imaginary. The blue (orange) densities indicated are the initial peak (average) densities of the data of Fig. 5.7.

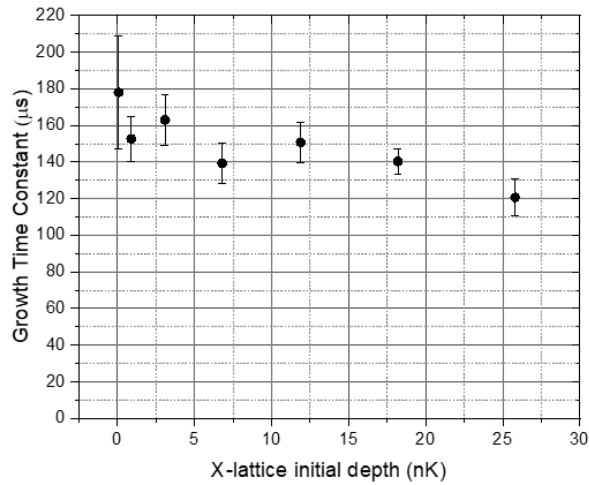


Figure 5.9: Growth of the X-lattice seed perturbation at $k_x = 1.73$ for the $3.63\mu\text{m}$ X-lattice vs initial lattice depth. For deeper lattices, the local density may be sufficiently high as to change the growth rate, but no dependence is observed for shallow lattices.

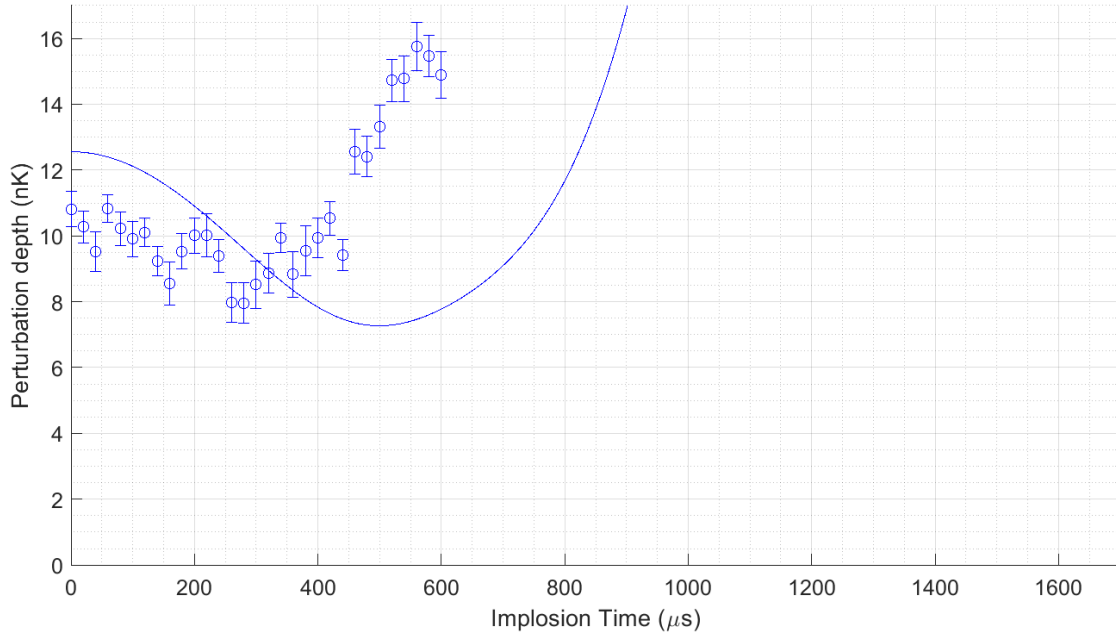
Dataset Name	λ_L (nK)	k_L (μm^{-1})	Seed Depth (nK)	Peak Density (10^{13} cm^{-3})	Average Density (10^{13} cm^{-3})	Chemical Potential (nK)
YHD	2.45	2.56	11.3	10.3	5.89	95.3
YLD	2.45	2.56	11.3	5.42	3.10	118.3
XHD	3.63	1.73	6.2	8.98	5.13	83.1
XLD	3.63	1.73	11.3	4.81	2.75	105.1

Table 5.1: Conditions for the four experiments performed with the lattice potential removed at the moment of the quench.

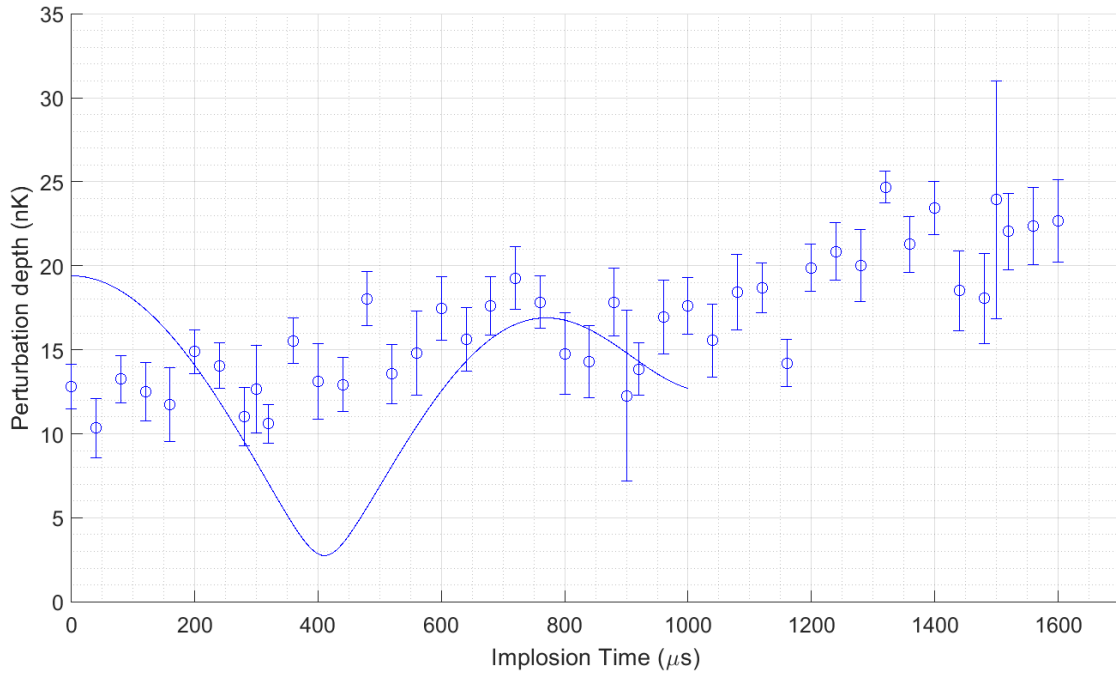
not reinforced by any external potential. Therefore, we performed several implosion experiments with a seed potential which vanishes at the time of the quench. Implosions seeded by either the X-lattice or Y-lattice alone were performed for high density and low density clouds. The temperature of the cloud was low enough that a precise measurement could not be made as the condensate did not show a thermal component which could be fit, suggesting the temperature to be below 80 nK, as temperatures above this contain a fitable thermal component. The conditions for these experiments are given in Table 5.1.

The evolution of the $k_y = 2.5 \mu\text{m}^{-1}$ perturbations of the Y-lattice is shown in Fig. 5.10 for both high and low densities. Results for the X-lattice with $k_x = 1.73 \mu\text{m}^{-1}$ are shown in Fig. 5.11. Numerical simulations for each scenario are plotted alongside the experimental data. The Y-lattice data do not agree with simulations well. The reasons for this are complex and perhaps not fully understood. One possibility is a systematic error in determining the density. This possibility is explored for The Y-lattice implosions in Fig. 5.12 by comparing simulations performed for a range of densities. The high density implosion data can be reasonably well accounted for by a 13% underestimation of the density, but the low density data cannot be explained in this way.

A separate contributing factor is the noise floor of the lattice depth measurement. The Y-lattice wavenumber is near the edge of our resolution, and the seed depth of 11.3 nK was selected to be deep enough to be detectable, but shallow enough to remain a small perturbation on the chemical potential, which is on the order of 100 nK. Therefore, the relaxation predicted by simulations will not be visible as the density perturbation shrinks below the noise floor. This is certain to impact

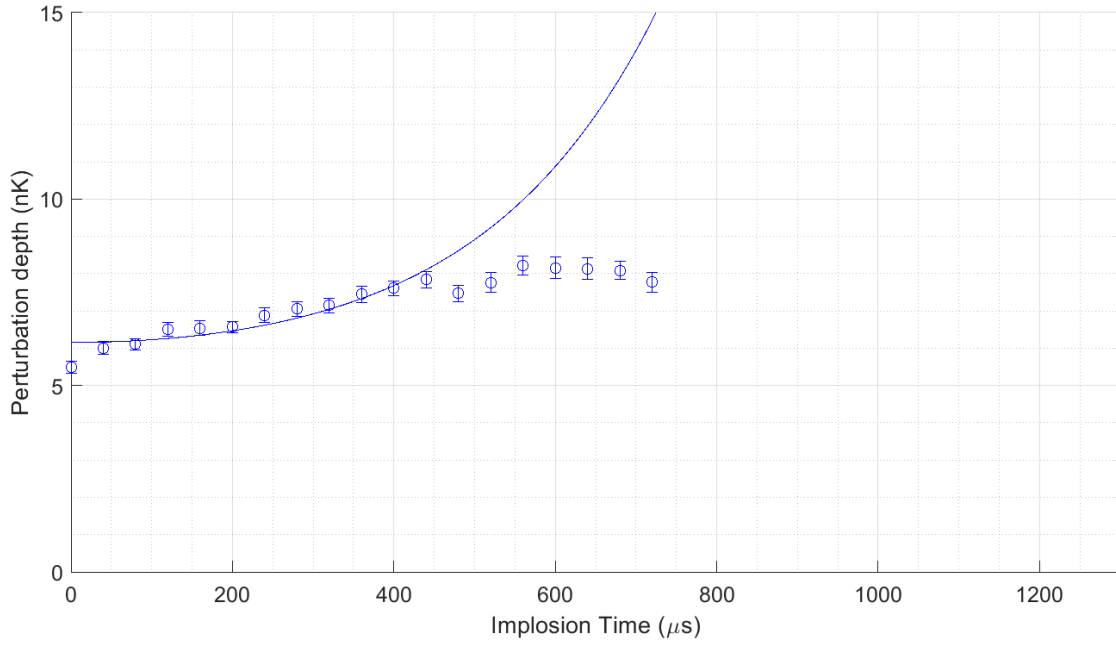


(a)

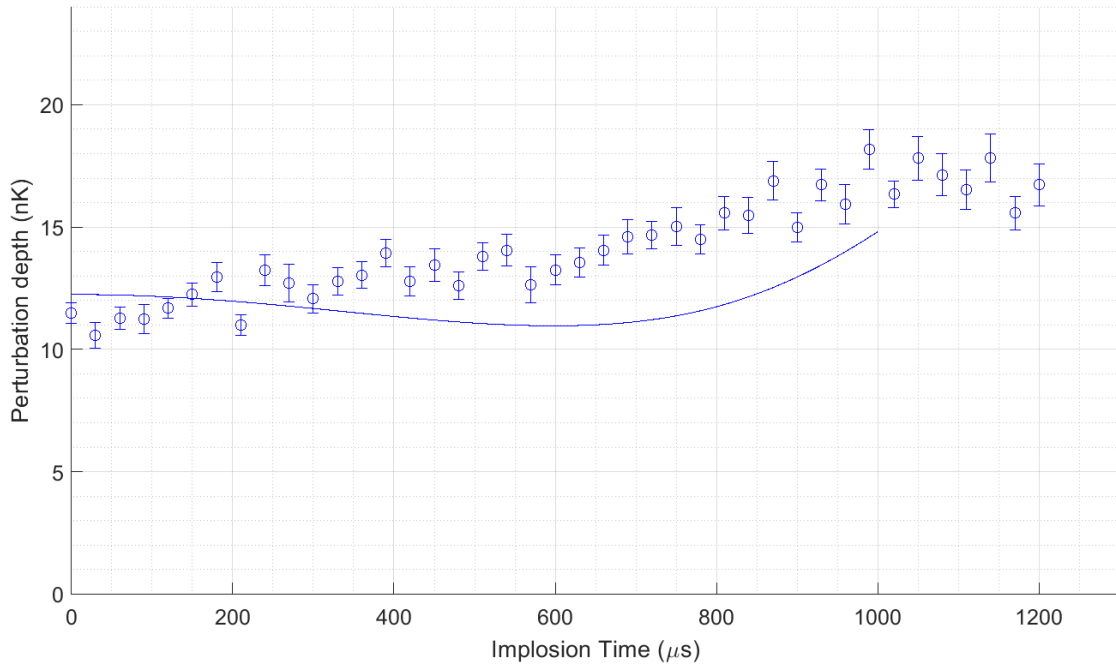


(b)

Figure 5.10: Perturbation depth vs implosion time for clouds seeded with a 11.3 nK perturbation from the Y-lattice where $k_y = 2.5 \mu\text{m}^{-1}$. The initial density-weighted density is $5.89 \times 10^{13} \text{ cm}^{-3}$ in (a) and $3.10 \times 10^{13} \text{ cm}^{-3}$ in (b). Solid lines are numerical simulations of the first 1000 microseconds after the quench [62]. In the high density case (a) the k_y mode is unstable only near the center of the cloud, and we see initial decrease of the perturbation, before it begins to grow rapidly at later times. In the low density case (b) the k_y mode is stable throughout the cloud

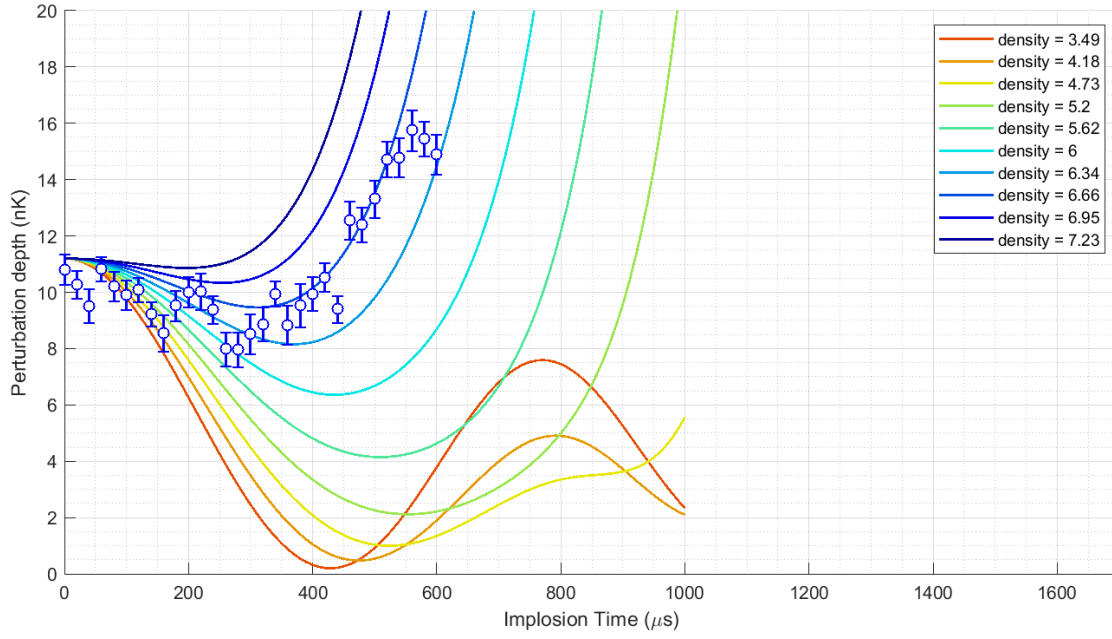


(a)

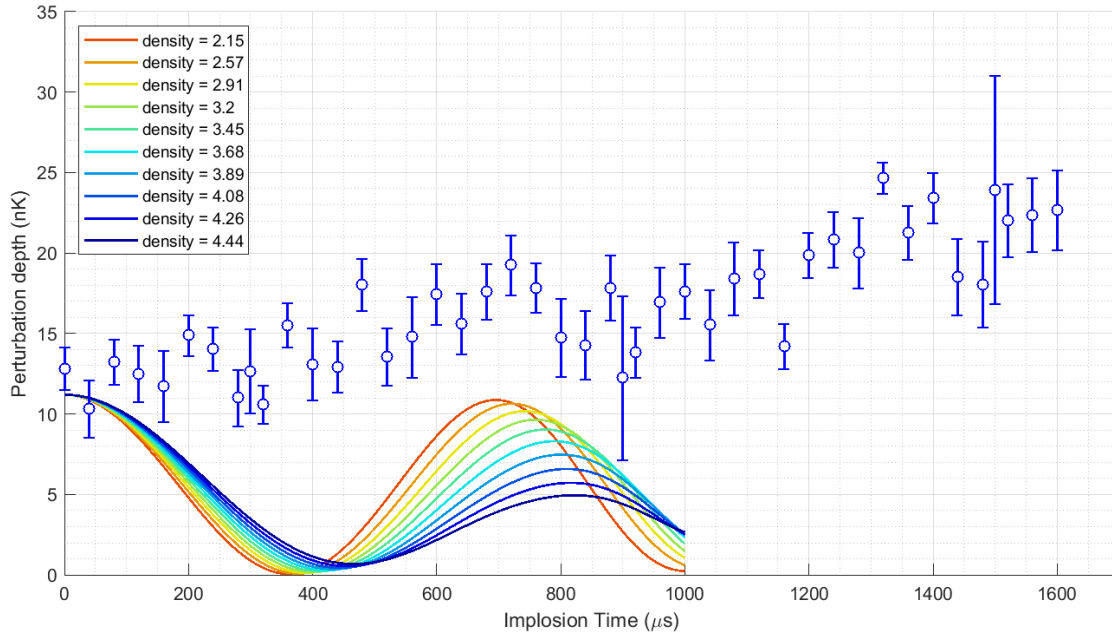


(b)

Figure 5.11: Perturbation depth vs implosion time for clouds seeded with a perturbation from the X-lattice where $k_x = 1.73 \mu\text{m}^{-1}$. Solid lines are numerical simulations of the first 1000 microseconds after the quench [62]. The initial density-weighted density is $5.13 \times 10^{13} \text{ cm}^{-3}$ in (a) and $2.75 \times 10^{13} \text{ cm}^{-3}$ in (b). In the high density case (a) the seed perturbation is 6.2 nK and the k_x mode is unstable throughout most of the cloud and immediate growth follows. In the low density case (b) the seed perturbation is 11 nK and k_x mode is unstable only near the center of the cloud, and the perturbation was observed to grow more slowly than in the higher density cloud. Numerical simulations indicate an initial decrease of the perturbations amplitude in (b) prior to growth at later times; this is not evident in the experimental data



(a)



(b)

Figure 5.12: Perturbation depth vs implosion time for clouds seeded with a 11.3 nK perturbation from the Y-lattice where $k_y = 2.5 \mu\text{m}^{-1}$. To illustrate the possibility of a density calibration error numerical simulations for a range of densities is plotted with the initial depth fixed to 11.3 nK (solid curves) [62]. The solid curves have no free parameters. In (a), we see that if the actual density is $6.66 \times 10^{13} \text{ cm}^{-3}$ the simulation better reflects the observed growth. This would require a systematic error of 13%, a realistic possibility. However, the experiments with lower density shown in (b) cannot be explained through density miscalibrations alone. One factor guaranteed to be present is the noise floor near 10 nK; this prevents the initial decrease of the perturbation amplitude from being clearly observed. The legend gives the density-weighted density in cm^{-3} for the simulation results.

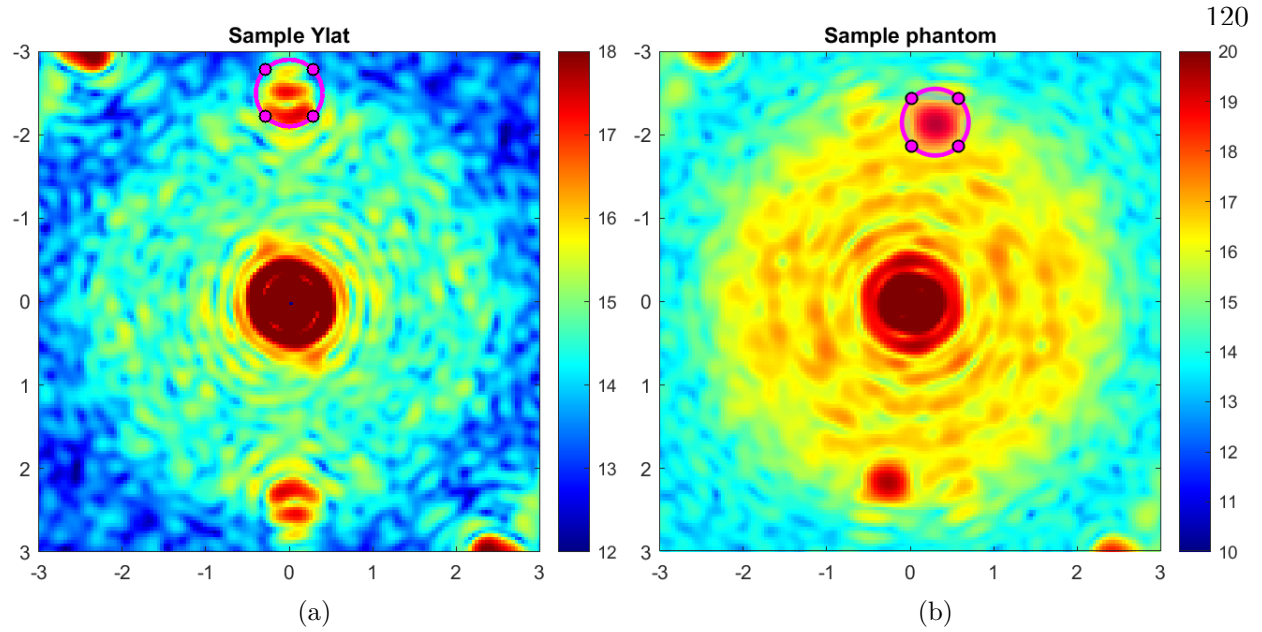


Figure 5.13: Fourier space images of in-situ data. In (a) the peak is due to the Y-lattice, in (b) it is due to the phantom lattice. A magenta circle indicates the region used to integrate the peaks. The Y-lattice is very near the phantom in Fourier space, and since the phantom cannot be removed, it effectively behaves similar to the persistent X-lattice of Fig. 5.7. This can contaminate the Y-lattice signal.

the low density Y-lattice data of Fig. 5.10b and 5.12b, since for any plausible density error, the perturbation is predicted to relax in the first few hundred microseconds.

A third contributing factor to the tension between numerics and observations exemplified in Fig. 5.10 is due to what we call the phantom lattice, see Fig. 5.13b. This is a lattice presumably caused by reflections of the H2 ODT beam which interfere to create a subtle lattice potential which cannot be removed without turning off the H2 beam, which is required to hold the atoms against gravity. The phantom lattice is very near the Y-lattice in Fourier space, as shown in Fig. 5.13. If the contribution from the phantom is not sufficiently masked out in Fourier space, effects like the earlier than expected growth of the Y-lattice seen in Fig. 5.10a may occur.

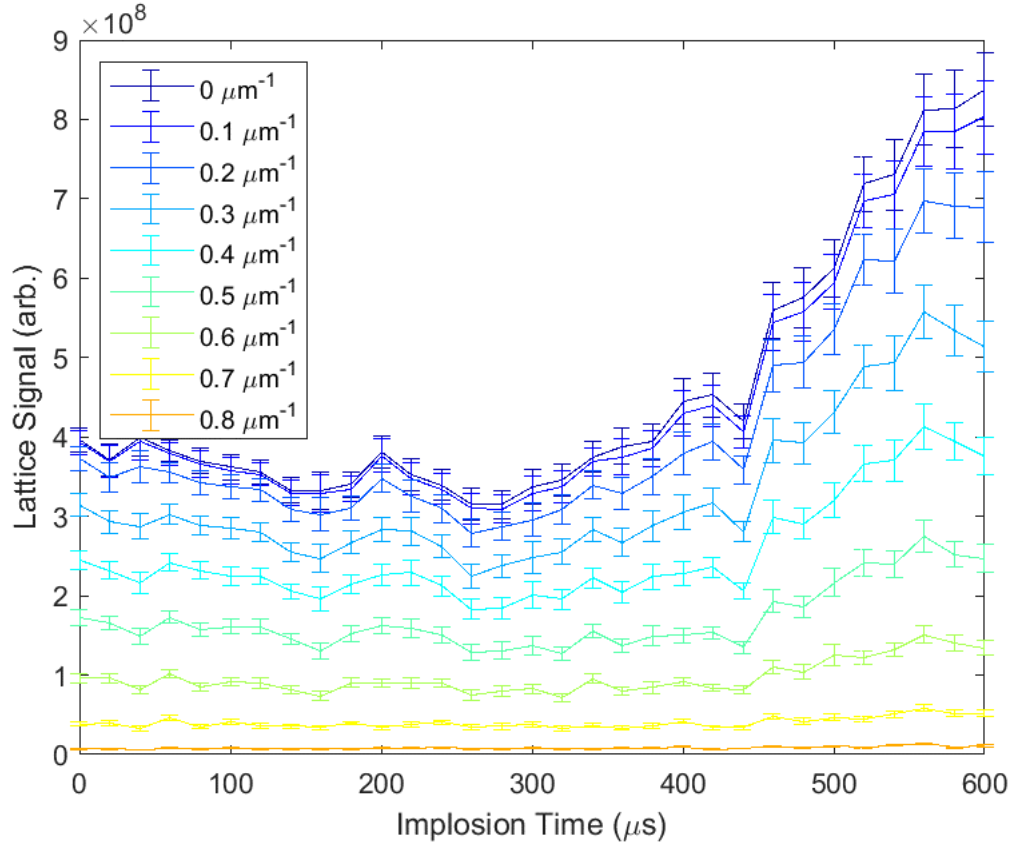


Figure 5.14: Influence of the phantom lattice on the integrated Y-lattice signal. Each curve corresponds to a different size mask (in Fourier space) applied to mask the phantom lattice prior to integrating the Y-lattice Fourier peak. Unlike Figs. 5.10b and 5.12b, the lattice signal is not converted to perturbation amplitude. It is clear that as the mask on the phantom lattice peak in Fourier space decreases, more of the phantom lattice signal leaks into the Y-lattice data. For Figs. 5.10b and 5.12b, a mask of $0.4 \mu\text{m}^{-1}$ was used.

5.5 Contrast correction

As mentioned at the close of Chapter 3, one of the major challenges faced in this experiment is limited knowledge of the transfer function of our *in-situ* imaging. In particular, we are concerned that the fidelity with which we image the density fluctuations is worse than expected. Even for extremely deep lattices for which the cloud is divided into several non-overlapping local condensates, we were unable to directly see the density drop to zero between lattice sites. The impact of this contrast suppression on the data analysis of unseeded implosions will be discussed in Section 6.4. To attempt to compensate for this problem we returned to our lattice experiments to evaluate

for $k_x = 1.73$ and $k_y = 2.5$ our efficiency in detecting density structure at these two values of k . To this end, we created synthetic density distributions numerically by defining a 3D trap potential $V_0(\mathbf{r})$ with similar trap frequencies to our experiments, defined a value of the chemical potential, defined a lattice potential $V_x(\mathbf{r})$, and filled in the density according to the Thomas-Fermi prescription $n(\mathbf{r}) = \Theta(\mu - V_0(\mathbf{r}) - V_x(\mathbf{r}))$ where Θ is the Heaviside function. These 3D density profiles were summed along the z -direction to mimic the profiles observed in the experiment. The square modulus of the two-dimensional FFT was calculated for each density profile. In Fig. 5.15 the density profiles and cross section in real space and frequency space are shown for $V_x = 0$ while in Fig. 5.16 the lattice depth is 20%. The lattice potentials $V_x(\mathbf{r})$ are strictly nonnegative, so the density was uniformly renormalized to ensure constant N between clouds of differing lattice depths.

To quantify the strength of the lattice for different fractional lattice depths, the integrated volume of the region indicated in magenta in Fig. 5.16 is divided by the integrated volume of the central peak indicated by the green circle of the same figure. In Fig. 5.17 this ratio is shown for various lattice depths as a fraction of μ . Let us call $V_x/\mu = \tilde{V}_x$ and the ratios between the lattice peak and the bulk peak $R_N(\tilde{V}_x)$ where the subscript N indicates it is computed numerically. We then reanalyzed our *in-situ* lattice calibration curves and calculated the corresponding ratio we shall dub $R_E(\tilde{V}_x)$ where the E indicates experimental data. The experimental data were fit to a curve of the form

$$R_E(\tilde{V}_x) = \sqrt{c_0^2 + \left(A \frac{V_x}{\mu}\right)^2} \quad (5.12)$$

where the constant c_0 is fixed by reference shots, see Fig. 5.18. We then compute the ratio $R_N(\tilde{V}_x)/R_E(\tilde{V}_x)|_{c_0=0}$ to determine by what factor the density fluctuation is suppressed in our measurement. The quantity $R_E(\tilde{V}_x)|_{c_0=0}$ indicates we are only comparing the lattice contribution of our calibration curve, i.e. we set $c_0 = 0$ but do not modify A from its fitted value. We see from Fig. 5.19 that the suppression factor we infer from this procedure depends on what normalized lattice depth $\tilde{V}_x$ is chosen as the reference. For the data in Fig. 5.18 the unperturbed chemical

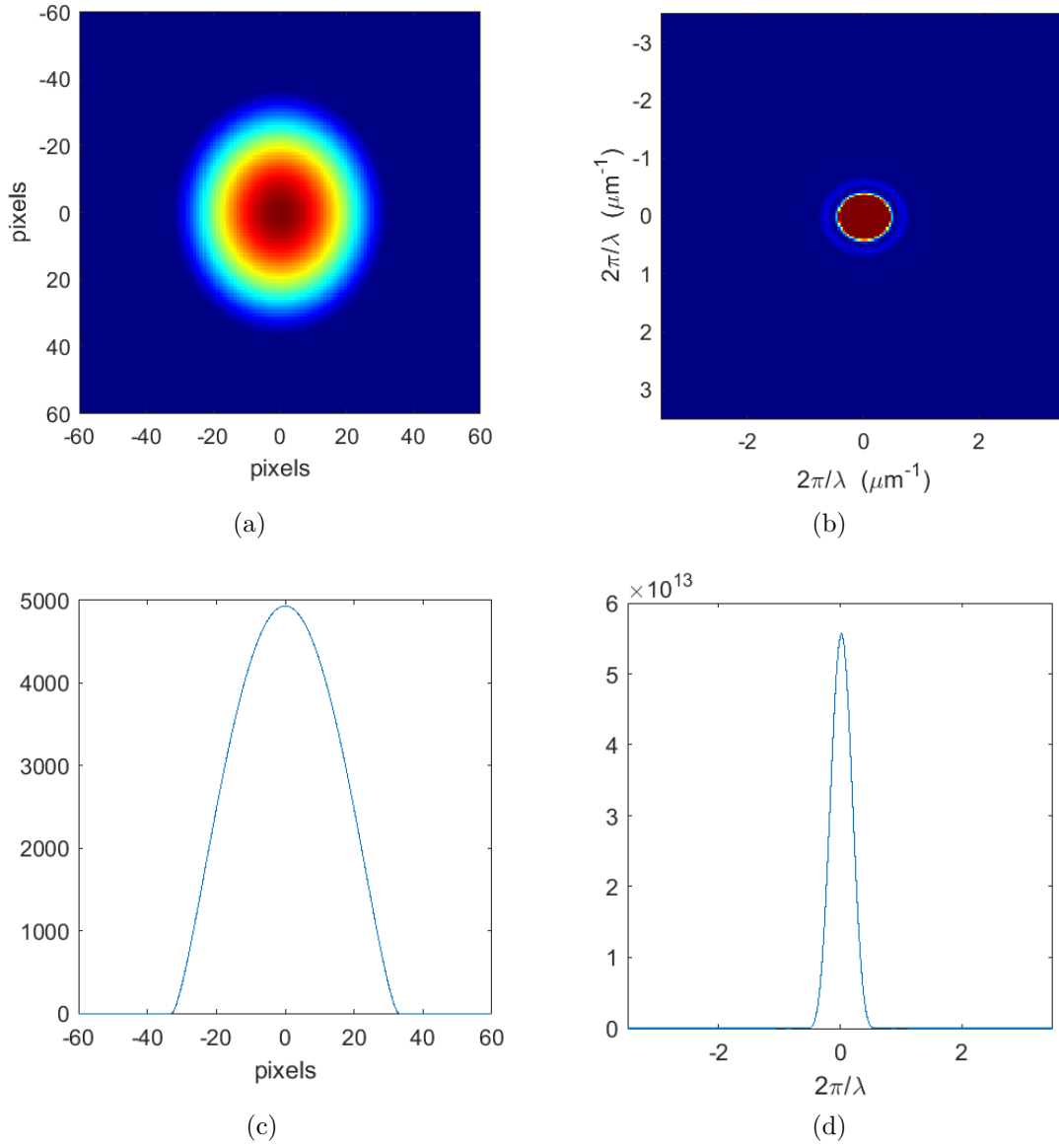


Figure 5.15: Synthetic Thomas-Fermi profiles without any lattice potential in (a) and its cross section in (c). the square modulus of the FFT is shown in (b), and its cross section in (d). the color scale for (b) is chosen to match Fig. 5.16

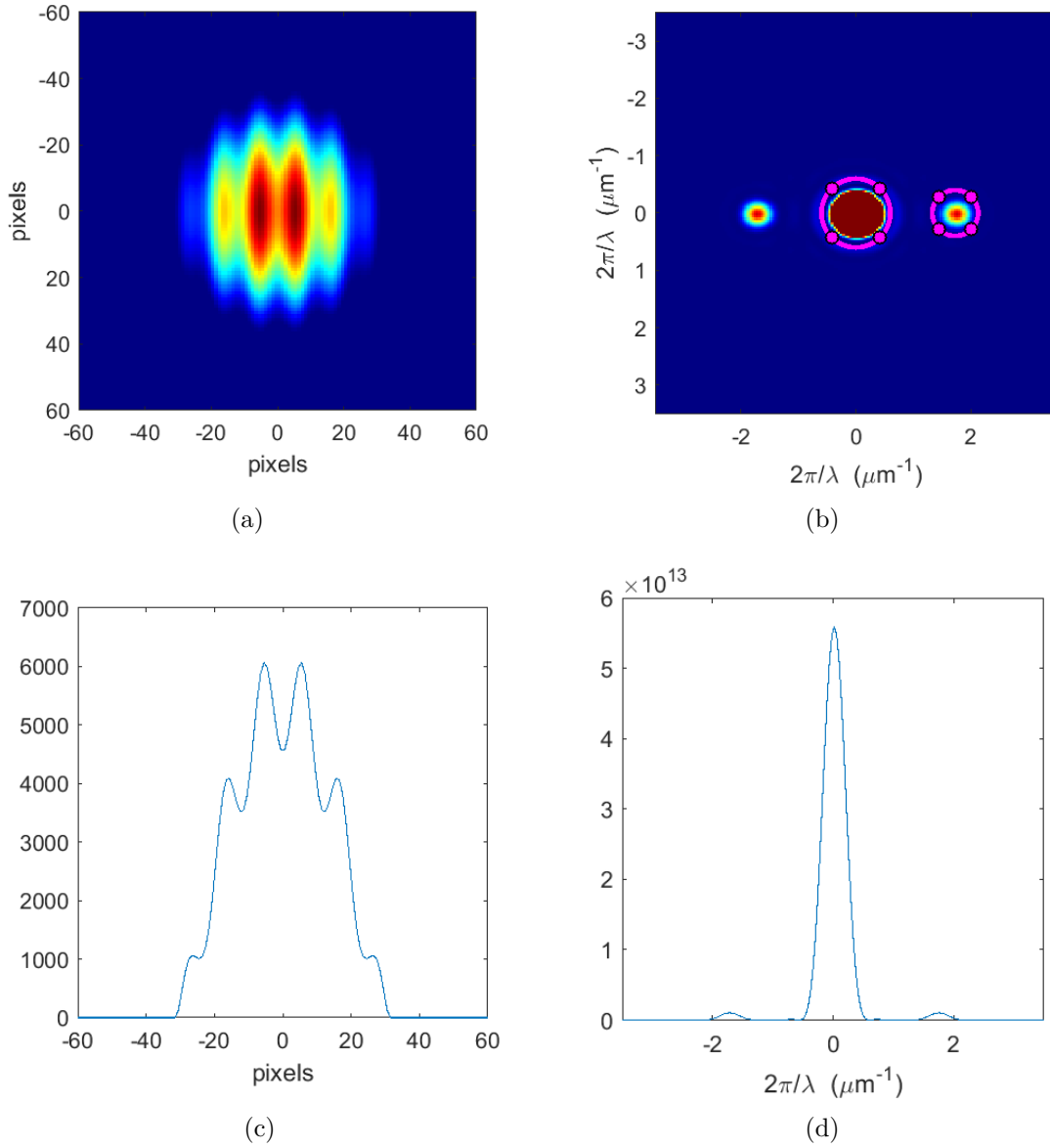


Figure 5.16: Synthetic Thomas-Fermi profiles with a lattice potential equal to 20% of the chemical potential in (a) and its cross section in (c). the square modulus of the FFT is shown in (b), and its cross section in (d).

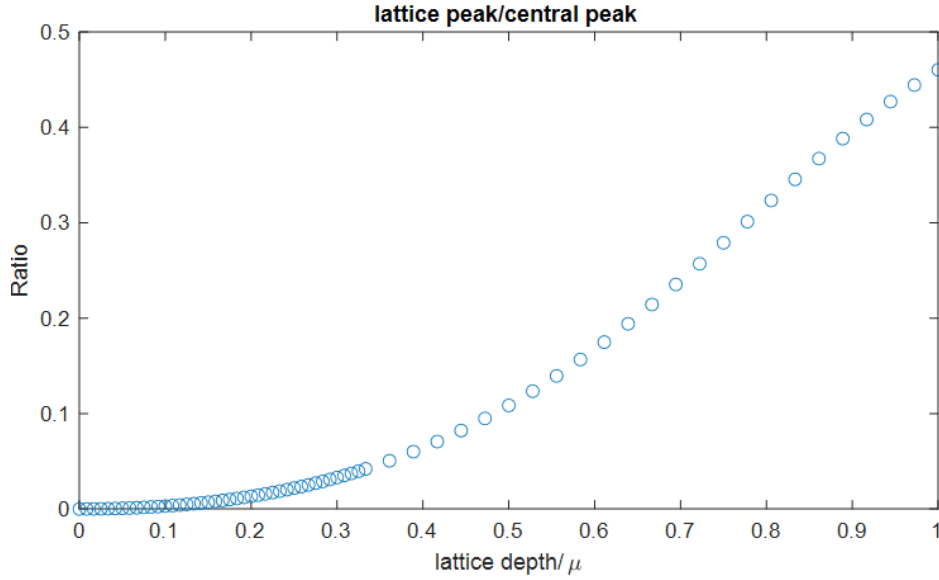


Figure 5.17: Ratio between the volume of the lattice peak and central peak in Fourier space.

potential was 82 nK, and we can see that at a lattice depth of 16 nK is sufficiently deep to have a clearly resolved lattice signal compared to the unseeded cloud. We therefore select 20% as our reference depth to determine the suppression factor from Fig. 5.19, with the conclusion that at $k_x = 1.73$ our sensitivity is suppressed by a factor of $\sqrt{27}$ compared to a perfect imaging system. The data of Fig. 5.19 are derived from the squared magnitude of the FFT, so the suppression factor in terms of the density is the square root of the quantity plotted.

Repeating this procedure for the other three data sets of Table 5.1 yielded interesting results. The suppression factor for the low-density X-lattice data set was found to be $\sqrt{43}$, over 50% greater than the high-density X lattice case. The Y-lattice high-density suppression factor was found to be $\sqrt{155}$ while the low-density suppression factor was $\sqrt{135}$. The Y-lattice wavenumber lies at the edge of our resolution, so the suppression factor was expected to be much larger than for the X-lattice wavenumber. The density dependence at $k_x = 1.73 \mu\text{m}^{-1}$ was not expected, but may be the result of a slight misalignment of the X-lattice along the line of sight and the fact that the low-density cloud is larger than the high-density cloud. Imaging through a larger cloud with a slightly misaligned density corrugation will result in lower contrast. That this density dependence was much

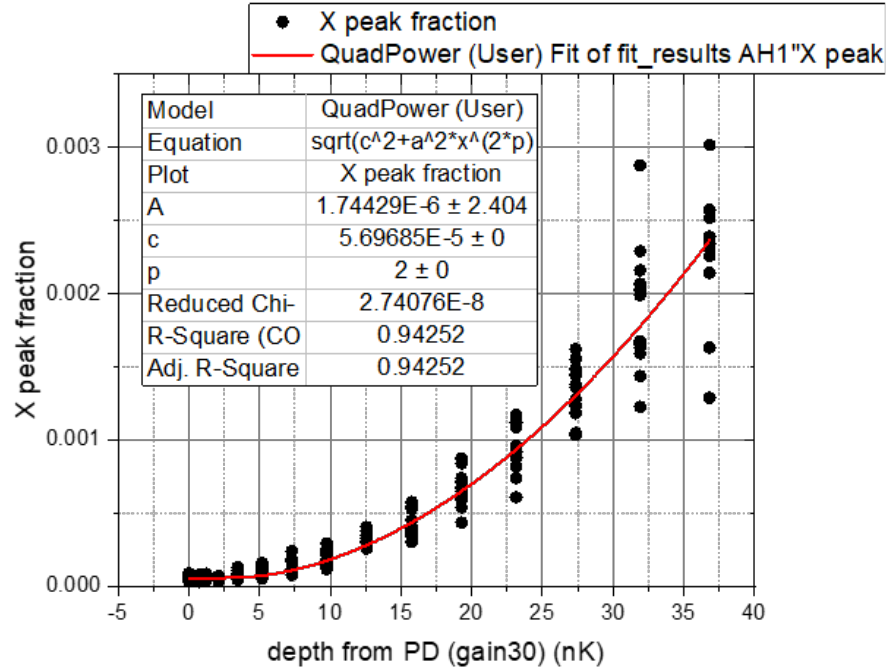


Figure 5.18: Calibration data for the high density implosion experiments with a X-lattice seed potential discussed in the previous chapter.

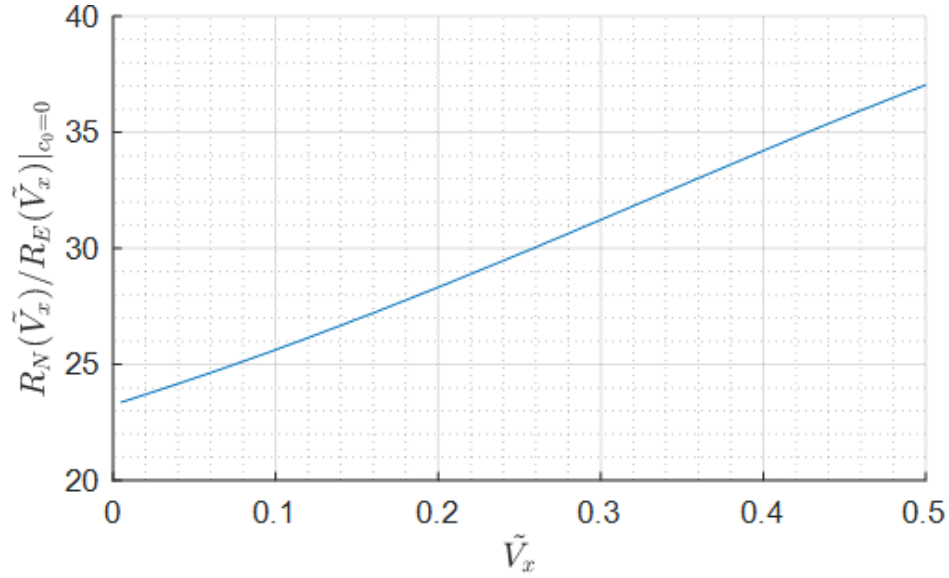


Figure 5.19: Ratio of ideal sensitivity to observed sensitivity for the X-lattice Fourier component. Depending on which normalized lattice depth fraction $\tilde{V}_x$ is chosen as the reference, our inferred value of the suppression factor for our observations varies from 23 to 37. We select a 20% lattice depth ($\tilde{V}_x = 0.2$) to balance between sufficient signal to noise with the desire to investigate the small perturbation limit (see Fig. 5.18).

weaker for the Y-lattice may be because the Y-lattice slices are better aligned to the imaging axis. However, the fact that the Y-lattice suppression factor is actually lower for the low density case seems inconsistent with this hypothesis, although the closer agreement in the Y-lattice suppression factors may indicate that they are essentially the same, and the difference in suppression factors is simply a statistical fluctuation.

Chapter 6

Intrinsic Fluctuations

This chapter concerns our use of implosions to amplify and measure intrinsic fluctuations in bulk 3D BECs. We sought to test the theoretical predictions of the structure factor $S(k, t)$ and its evolution following the quench to negative scattering length. We were unable to clearly observe many of the effects predicted. Based on the forthcoming discussions and those of the previous chapters, particularly in regard to the challenges in imaging a bulk 3D BEC, we shall approach our analysis assuming as correct the time dependence for the growth of the structure factor predicted by the theoretical model introduced at the end of Chapter 4 in order to test the model's prediction for the pre-quench structure factor. We shall begin with a discussion of the challenges we faced in our data collection and analysis and potential sources of error. We shall then proceed to a description of the data collection process followed by a review of the results for four data sets covering both high and low regimes of temperature and density. We shall focus on the wavenumbers for which we have calibration data.

6.1 Discussion of sources of error

We begin our discussion by highlighting the difficulties we encountered in the experiment. Before we begin, it is useful to be explicit about what sources of noise exist in the system. First and foremost, we are seeking to measure the density fluctuations of BEC, and density fluctuations may arise in several ways. An ideal BEC in an perfect harmonic potential, completely isolated from the

surrounding environment, including a measurement apparatus,¹ will exhibit density noise even at zero temperature due to the discrete nature of atoms and the associated shot-noise. An interacting BEC will exhibit interaction effects which deplete the single particle ground state and modify the density fluctuation spectrum. The effects of the interactions is not necessarily to increase the density fluctuations for all wavelengths, and indeed the repulsive interactions used in this thesis have been shown to suppress the structure factor at small k [72]. Figure 6.1 shows the predicted suppression for our densities at zero temperature. Let us call the total density noise which exists at $T = 0$ quantum density noise. The zero temperature BEC corresponds to the quasiparticle vacuum state in the Bogoliobov picture, as discussed in Chapter 4. At any finite temperature $T > 0$ some of these quasiparticle states will be excited and thermal density fluctuations will exist. Let us call these density fluctuations thermal density noise. The contributions of both quantum density noise and thermal density noise are included in the expression for the structure factor which, for a BEC in equilibrium at positive scattering length, is given by

$$S_0(\mathbf{k}) = \frac{1}{\epsilon(k)} \frac{\hbar^2 k^2}{2m} \coth\left(\frac{\epsilon(k)}{2k_B T}\right). \quad (6.1)$$

The cloud may also have density noise which we shall call ‘superthermal’. If one taps their knuckle on a table, the table will vibrate with some frequency spectrum, but these excitations are not thermally excited. If one were to compute the temperature required to thermally populate the vibrational states the table occupies following the knuckle tap the resulting temperature would be many orders of magnitude greater than the actual temperature. Eventually, dissipative processes like turbulence and friction will distribute the energy as heat and the noise spectrum would become thermal (to the extent that one may neglect the quantum noise of classical object like a table). Superthermal density noise in our condensate may arise in several ways, such as sloshing or stirring. Uncharacterized contributions to the confining potential may also cause superthermal density noise, such as the phantom lattice mentioned in Chapter 5. We shall include quantum density noise, thermal density noise, and superthermal density noise in the broader group of real density noise,

¹ We are ignoring the measurement problem; possibly the concept of a physical system in the absence of a measuring apparatus is incoherent, but we aren’t making metaphysical claims

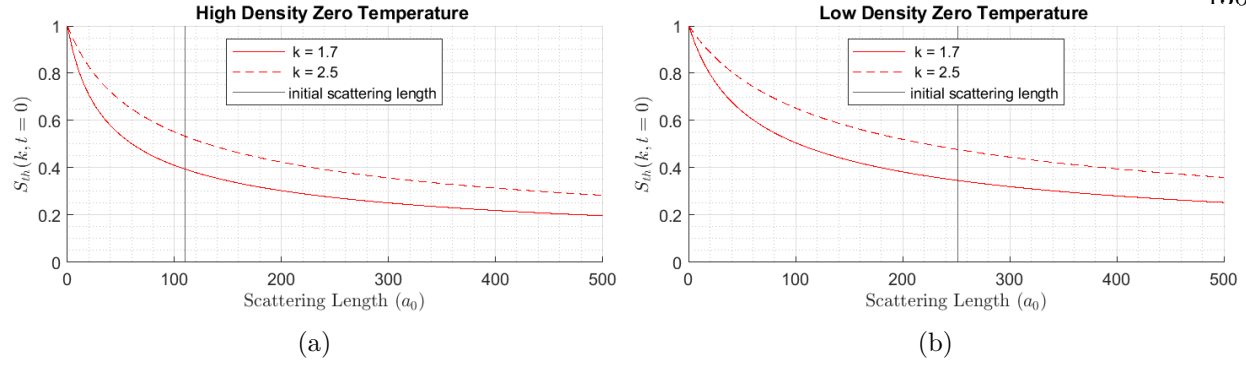


Figure 6.1: Structure factor $S_{0_{th}}$ vs scattering length for our data sets. The longer wavelength fluctuations are more strongly suppressed than those at shorter wavelengths. The densities used are $\langle n \rangle = 5.5e13 \text{ cm}^{-3}$ for the high-density data and $\langle n \rangle = 3.2e13 \text{ cm}^{-3}$ for the low-density data. The fact that $S_{0_{th}}$ for various densities and wavenumbers all converge to 1.0 in the limit of vanishing interactions clarifies the normalization of $S(k)$. $S(k) = 1.0$ is the result for naïve, poissonian shot-noise in the density.

because they reflect the actual density configuration of the condensate.

Additionally we have the effects of imperfect resolution and knowledge of the imaging point spread function. These include the contrast fidelity discussed at the end of the last chapter and may stem from aberrations in the imaging system. We shall refer to these as imaging calibration errors.

Finally we will have noise contributions from the measurement process. These include photon shot noise on the detector, imaging artifacts like interference fringes, and anything which does not represent actual density structure of the atomic cloud. Any contribution to the measured density fluctuations that does not arise from the real density noise or imaging calibration errors we shall call imaging noise. In other words, imaging noise refers to sources of inferred density structure which are not due to the actual density distribution of the atoms or are unrelated to the imaging point-spread function. Imaging noise itself may be divided into two categories, cloud-related imaging noise and cloud-unrelated imaging noise. Cloud-related imaging noise contributions are those which only appear in the presence of the atomic cloud, such as certain types of fringes and probe saturation related noise. In the following discussion we shall consider in turn imaging calibration error, then imaging noise, and finally real density noise. First, we shall elucidate the strategy we take in

analyzing the data in the presence of these sources of noise.

6.1.0.1 Strategy for coping with noise

In Chapter 4 we discussed how the static structure factor is predicted to evolve following a quench to negative a . The structure factor evolves according to

$$S(\mathbf{k}, t) = \frac{1}{\epsilon(k)} \frac{\hbar^2 k^2}{2m} \coth\left(\frac{\epsilon(k)}{2k_B T}\right) \left(1 + \frac{\hbar^2 k^2 n(g_i + |g_f|)}{m|\epsilon_f(k)|^2} \sin^2\left(\frac{\epsilon_f(k)t}{\hbar}\right)\right) \quad (6.2)$$

$$S(\mathbf{k}, t) = S_0(k)(1 + S_{rel}(k, t))$$

If we had perfect quantitative understanding of both our imaging transfer function (resolution fidelity as a function of k) and the imaging noise contributions to our images (such as photon shot noise, or unsubtracted probe beam structure), and we were confident that superthermal density noise is a negligible contribution to the real density noise of the condensate, we would be able to robustly test the predictions for both the structure factor S_0 of the pre-quench cloud and the fractional growth $S_{rel}(k, t)$. Unfortunately, our understanding of our resolution is limited as is that of the imaging noise introduced by the imaging procedure. We have quantitative understanding of our resolution only at the two lattice wavenumbers, and have very little quantitative understanding of the imaging noise. Therefore, we adopt the strategy of assuming the accuracy of the relative growth factor $S_{rel}(k, t)$ and use this to extract a value for the noise background and the initial structure factor $S_0(k)$. This value of $S_0(k)$ may then be compared with theory. We therefore fit the data to

$$S_{raw}(k, t) = c_0(k) + S_{exp}(k, t=0)(1 + S_{rel}(k, t)) \quad (6.3)$$

where $c_0(k)$ and $S_{0_{exp}}(k)$ are the fitting parameters. Time-independent imaging noise is characterized by $c_0(k)$ and $S_{0_{exp}}(k)$ is the experimentally determined initial structure factor. The ‘raw’ signal $S_{raw}(k, t)$ is² the squared Fourier amplitude normalized by the atom number, as discussed in Section 4.6; the details of computing $S_{raw}(k, t)$ from our *in-situ* images will be discussed in Section 6.3. In terms of real density noise, imaging noise, and the resolution correction discussed in Section

² Since the raw signal $S_{raw}(k, t)$ is comprised of both real density noise contributions and imaging noise, it is not technically correct to call $S_{raw}(k, t)$ the ‘raw structure factor,’ although this is an easy detail to forget.

5.5, the raw signal is

$$S_{raw}(k, t) \propto \frac{RealDensityNoise(k, t)}{Resolution(k)} + ImagingNoise_{Cloud}(k) + ImagingNoise_{NoCloud}(k). \quad (6.4)$$

6.1.0.2 Imaging calibration error

The top imaging system was designed and intended to yield a diffraction limited resolution of $1.1 \mu\text{m}$, but we were unable to achieve this performance and estimate the actual resolution to be $2.5 \mu\text{m}$. Additionally, the fidelity of our imaging structure well within the resolution limit seemed to be compromised. We calculated the contrast suppression factor for our two lattice wavevectors in the previous chapter. In Section 6.4 we will discuss in more detail how we implement this correction in our analysis and its influence on our results.

6.1.0.3 Imaging noise

The imaging noise is dominated by a few effects which may influence the inferred density profile for reasons unrelated to the calibration of the optical transfer function of our *in-situ* imaging, which we addressed in the last section, or due to the real density noise to be discussed in the next section. Sources of imaging noise include fringes and photon shot noise on the detector. In Chapter 3 we discussed fringe removal from absorption images. This procedure works well when the fringes themselves do not depend on the atomic cloud. A fringe may appear due to a speck of dust drifting through the side of the probe beam as it propagates from the atoms to the camera, and typically this will not be problematic. However, in some situations the fringes depend strongly on the atomic cloud. In my early years at JILA, while I was working with rotating gases and Bose polarons, we had a particularly pathological fringe which plagued our imaging for several days, but only appeared when the atoms were present. The culprit turned out to be a speck of dust which sat down-beam from the atoms and lay within the shadow of a large atomic cloud such that when atoms were there, the speck did not scatter any light as it was in the shadow; but for the light frame, taken with no atoms, the speck scattered a great deal of light and produced rings on the light frame which were absent in the shadow frame.

It was only when our laser became unlocked and several images were accidentally taken with no atoms did we notice the fringe didn't appear in the OD analysis, and the rings from the scattered light suddenly appeared in the "shadow" image as well, allowing the fringe to be perfectly subtracted away in the computation of the OD, but only when the atoms were absent. That frustrating experience taught me the valuable lesson that imaging noise can manifest in unexpected ways, and the value that absorption images with no atoms present may provide the experimenter in understanding noise. In the limit of small pixel-by-pixel differences between the light and shadow frame the effect on the optical depth is linear as structure subtracts nicely, but when the shadow frame deviates substantially (fractionally speaking) the effect of noise becomes increasingly nonlinear.

We analyzed the Fourier spectrum of a set of images taken in the absence of atoms during the experimental runs for the main data. Occasionally during the data scans a laser would become unlocked or the cart would become stuck and the experiment would proceed for hours without producing any atoms, but with each OD image taken faithfully by the machine. One such set of over 600 images were taken during collection of the lowest temperature data set. We compute the square of the FFT for the same region of real-space as we do for images with atoms. In Fig. 6.2 we analyze these images both with and without subtracting the mean OD profile for all 600 images, and the fractional impact on the amplitude (squared) in Fourier space is shown in Fig. 6.2c. Structure from the probe beam is apparent, but this structure is most readily observed in the presence of atoms. In Fig. 6.3 the 51 images taken for the $t_{Imp} = 0$ time step of the same data set are analyzed in the same manner as Fig. 6.2. Structure from the probe beam is written onto the atoms when computing the OD through the saturation intensity correction as described in Section 3.1.3, see Eq. 3.23. This structure is subtracted out when the mean density profile is subtracted from each individual image, as shown by Fig. 6.3b which does not show any apparent structure for $k > 1$.

It remains possible that in subtracted the mean OD profile in computing the structure factor we fail to fully eliminate the noise written onto the atoms by the saturation intensity correction. Indeed, if we attempt to compensate for shot-to-shot changes in the cloud position by post-processing

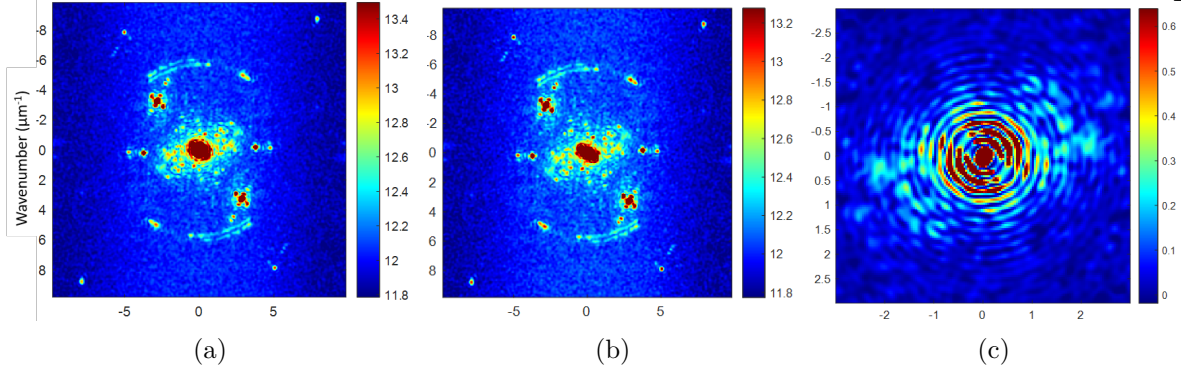


Figure 6.2: Squared FFT in the absence of atoms, x and y axes are wavenumbers $k = 2\pi/\lambda$ in inverse microns. The color axis in (a,b) is $\ln(S_{0_{raw}})$. The same region of real space is analyzed for each panel. In (a,b) no atoms were present and the logarithm is display for increased visibility. In (a) the OD image for each individual experimental cycle was analyzed with no subtraction of the average. In (b) we do perform the subtraction of the mean OD prior to computing the FFT. In (c) the effect of subtracting the average is shown for the central region of Fourier space. To compute (c), the array values (without taking the log) in (b) are subtracted from (a) (also without taking the log) and divided (pixel-wise) by the values shown in (a). Therefore, (c) indicates the fractional increase in noise for each pixel in Fourier space if the average is not subtracted; despite the visual similarity of (a) and (b), subtracting the mean OD suppresses noise on the order of 25% for $k > 1$. In (a,b) the entire Fourier profile is shown; features at $k > 3$ are imaging artifacts well beyond our resolution.

the density profiles to align them to a common center, these shifts, typically only a few pixels, reintroduce a large amount of noise to the structure factor calculation.

For each data set in Table 6.1 we evaluated a number of images taken during data collection for which there were no atoms in the same manner as to be described in detail in Section 6.3 without normalizing by the observed atom number. This provides a quantitative estimate of how much noise the images exhibit from noise contributions present even when atoms are not present. In Figure 6.4 the unnormalized structure factor evaluated for images both with an without atoms present is shown for one of the high-density data sets in Fig. 6.4a and for one of the low-density data sets in Fig. 6.4b. The data indicate that from $k = 1 \mu\text{m}^{-1}$ to $k = 2.3 \mu\text{m}^{-1}$ cloud-unrelated imaging noise accounts for nearly all of the signal in the low density data, while it accounts for about half of the noise in the high-density data. This trend was consistent across all high density and low density data. Near the edge of the resolution limit $k_{res} = 2.5 \mu\text{m}^{-1}$ cloud-unrelated noise

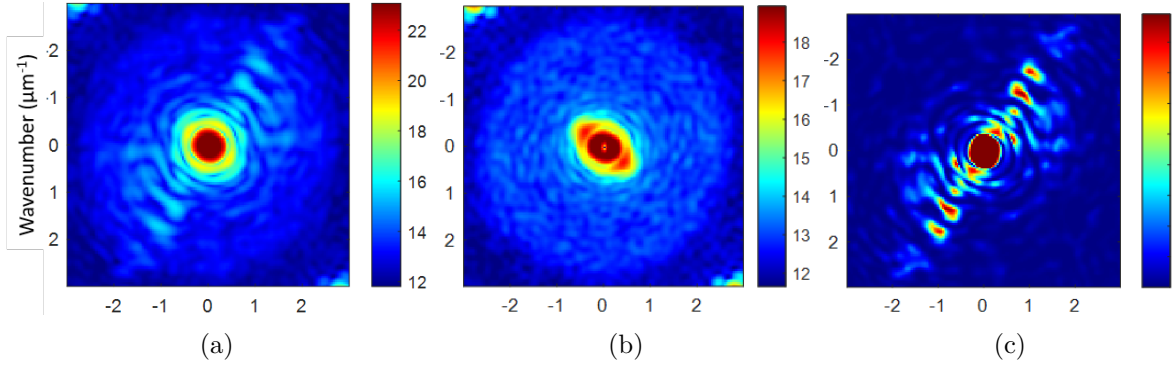


Figure 6.3: Squared FFT in the presence of atoms, x and y axes are wavenumbers $k = 2\pi/\lambda$ in inverse microns. The color axis in (a,b) is $\ln(S_{0_{raw}})$. The same region of real space is analyzed for each panel. In (a) the OD image for each individual experimental cycle (51 total images) was analyzed with no subtraction of the average. In (b) we do perform the subtraction of the mean OD prior to computing the FFT. In (c) the effect of subtracting the average is shown for the central region of Fourier space. To compute (c), the array values (without taking the log) in (b) are subtracted from (a) (also without taking the log) and divided (pixel-wise) by the values shown in (b). Therefore, (c) indicates the fractional increase in noise for each pixel in Fourier space if the average is not subtracted. Contrary to the case where no atoms were present shown in Fig. 6.2, subtraction of the mean density profile is required, as otherwise noise from the probe beam is written onto the atoms. In some regions of k -space the noise from probe beam may be more than 10x the atomic signal. Unlike in Fig. 6.2, we only show the resolved region, indicated clearly by the plateau reaching out to nearly $k = 3 \mu\text{m}^{-1}$ in (b).

begins to dominate for all cases.

6.1.0.4 Real density noise

Of course, some of the density noise we see is due to actual structure on the cloud. The fluctuations we wish to amplify and measure by imploding the BEC are the quantum density noise, which is present even at $T = 0$, and the thermal density noise. The sum of these two contributions is given by Eq. 6.2. However, density structure may exist on the cloud for other reasons and these density fluctuations are not included in Eq. 6.2. We refer to these fluctuations as superthermal density noise. As we saw in the previous chapter, there appeared to be what we described as a ‘phantom’ lattice, which is a type of superthermal density noise which is well correlated shot to shot, a ‘static’ source of superthermal density noise. This lattice seemed to be caused by self interference of the H2 ODT beam as it remained visible when the atoms were confined by only that beam and

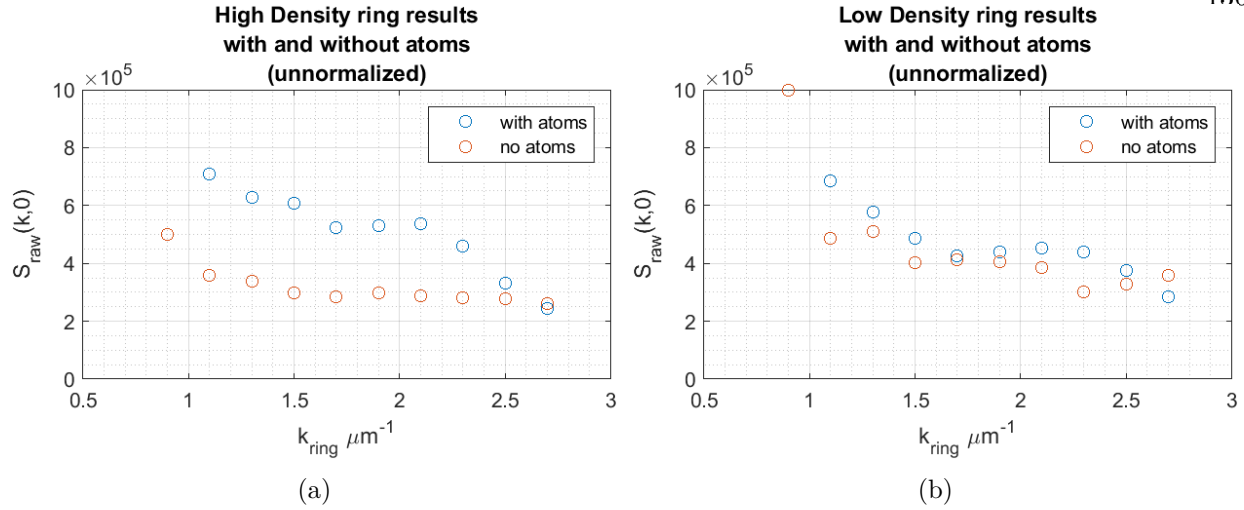


Figure 6.4: The unnormalized structure factor evaluated for images both with and without atoms present. In (a) the low-temperature, high-density images with atoms yield nearly twice the signal obtained in the absence of atoms. In contrast, (b) indicates the low-temperature, low-density images with atoms yield very similar S_{raw} as images without atoms. In the language of Section 6.1 the orange circles represent the cloud-unrelated imaging noise, while the difference between the blue and orange markers is due to a combination of cloud-related imaging noise and real density noise, which itself is comprised of quantum and thermal density noise and superthermal density noise. We shall see that imaging noise dominates over real density noise.

all others were physically blocked from leaking into the science cell. We were able to mitigate the phantom lattice with a carefully constructed aperture, but other phantoms may exist of which we are unaware. That the phantom is visible is already a piece of luck, since if the phantom wave vector possessed a large enough z component, then the density corrugation would be washed out by the geometry of our imaging. If such a phantom exists due to back-reflection of the V ODT beam, it would be entirely invisible to the top imaging system. Locally, real density structure may exist from phantom lattices or stray reflections and thus perturb the density from our expectation. These perturbations to the confining potential cannot be turned off as the calibration lattices could be, and it was shown in the previous chapter how influential such a persistent structure may be on the implosion process.

If there are unknown density features in the confining potential, then the impact of any initial velocity the condensate possesses at the time of quench may be to generate waves as the

condensate sloshes over the perturbation. We saw in the last chapter how the initial velocity of the lattice ripples had a profound effect on the subsequent evolution, as shown in Figure 5.6b. This is a plausible explanation for the inconsistent temperature dependence we shall observe in forthcoming sections. Colder clouds will actually be more sensitive to roughness of the optical potential, as (non-static) superthermal density ripples will take longer to damp away in colder clouds. During preparation of the initial conditions for each data set, measurements were performed to ensure the cloud is at rest and in equilibrium at the moment of the quench, however we saw in Chapter 4 how fluctuations in the phase are far more important to the behavior of a Bose superfluid, and so the possibility that minute phase or velocity fluctuations might be magnified and surpass the effect of the density fluctuations we seek to amplify cannot be discounted. Density fluctuations arising in this way are a form of superthermal density noise which may not be correlated shot to shot as opposed to the static superthermal noise sources mentioned above.

6.1.1 Shall I compare BEC to summer's day?

At the beginning of this section (6.1) the example was used of tapping one's knuckles on a table to describe superthermal density noise. A better analogy would be the surface of a pond on a pleasant summer's day. A perfectly calm pond³ will have fluctuations of the local water level due to thermal excitations. These are not waves or ripples that one can see by eye, but rather the same fluctuations which cause Brownian motion. They are microscopic, but they do exist. Only at zero temperature would these fluctuations vanish. If a perfectly stationary boat were floating on the perfectly calm pond, the water level would contain a static dip where the boat displaces the water. This would be most obviously seen if the pond froze and the boat were then removed; a depression would exist in the otherwise flat surface of the frozen pond. But suppose the boat and the (liquid) pond were struck by a summer's breeze; the boat would begin to rock and the surface of the pond would develop waves. In our experiment, the phantom lattice is like the boat, and the BEC is

³ The pond is classical, we are not considering quantum effects in this analogy, don't @ me.

like the pond.⁴ As the water flows around the boat, ripples will form but these ripples have no relationship to the thermally excited fluctuations which are microscopic. Eventually, turbulence will redistribute all the energy of these macroscopic, superthermal waves as heat and the pond will appear still once more, but still have the static dip in water level where the boat floats.

In our experiment, we know we have at least one boat on our pond, the phantom lattice. If this phantom lattice (or others) is moving, density and velocity fluctuations will be generated like waves on the pond. How can we distinguish waves of this sort from the thermal fluctuations of the pond? Let's consider a different question: how could we determine the temperature of the water from a video of a pollen grain, or the molecular mass of an unknown liquid at a known temperature?⁵ If one were to perform such an experiment, perhaps in a small dish under a microscope, held by an undergraduate with too much coffee in their system, the challenge would be to separate the thermal, Brownian motion of the pollen from the superthermal macromotion of the sloshing liquid. If one wished to determine the molecular mass of an unknown liquid, one could record the motion of the pollen at a range of temperatures, and analyze its motion in frequency space. Based on the principles of atomic theory and the mass of the pollen, one could fit⁶ a model of the power spectral density of the pollen containing two free parameters, the molar mass of the liquid, and a background noise profile reflecting the trembling hands of the student. We embrace a similar approach, where the validity of $S_{rel}(k, t)$ in Eq. 6.2 is assumed *a priori* and a fit to Eq. 6.3 is used to isolate the portion of our observed density which evolves with the time dependence predicted by $S_{rel}(t)$ from a noise background which does not.

Of course, if our input parameters to the theoretical predictions are faulty, or if other unaccounted for phenomena are present then the theoretical prediction of Eq. 6.2 cannot be relied upon. Such errors may arise from the typical types of experimental error in BEC experiments, like density calibration errors or the presence of spin impurities. Discussion of these more mundane sources of error will be deferred to Section 6.6.

⁴ and background gas collisions are when 'rough winds do shake the darling buds of May' into the pond

⁵ This is apparently the Comps 2 portion of the thesis.

⁶ It is left as an exercise to the reader to do this.

data set Name	Temperature (nK)	Peak Density (10^{13} cm^{-3})	Average Density (10^{13} cm^{-3})	Chemical Potential (nK)
LTHD	100	10.3	5.89	93
HTHD	226	9.39	5.37	84
LTLT	91	5.82	3.32	121
HTLD	210	5.54	3.17	115

Table 6.1: Conditions for unseeded implosions. The data sets are labeled with HT (LT) indicating high (low) temperature and HD (LD) indicating high (low) density.

6.2 Sample preparation

Clouds of a known density and temperature are prepared for four different combinations of temperature and density, with the conditions for each data set given in Table 6.1. The system is then quenched to a final scattering length of $-33.4 a_0$ by way of a 6-8 μs microwave pulse resonant with the $|1, -1\rangle \rightarrow |2, -2\rangle$ transition. We then wait up to 1000 μs , in steps of 40 μs , and image the atoms using the $|2, -2\rangle \rightarrow |3, -3\rangle$ cycling transition. The imaging is done on resonance in the $I \gg I_{\text{sat}}$ regime for the probe intensity. In all cases, a five microsecond gap between the quench and the start of image acquisition is inserted which we shall ignore in our treatment. We shall refer to the shortest implosion time, which technically takes place beginning five microseconds after the completion of the microwave pulse, as the $t_{\text{Imp}} = 0$ time step, and similarly ignore this short time gap for all other time steps. The high temperature (HT) and low temperature (LT) data for a given density (high density (HD) and low density (LD)) were taken during the same data run. So 26 experimental cycles were taken with an HTHD cloud, first with zero μs implosion time ($t_{\text{Imp}} = 0$), then $t_{\text{Imp}} = 40 \mu\text{s}$, and proceeding to the final implosion time of $t_{\text{Imp}} = 1000 \mu\text{s}$. The conditions are then changed to prepare a LTHD cloud and 26 experimental cycles, one for each time step, are performed. This procedure is repeated back and forth until a few dozen images for each time step are collected for each temperature. The HTHD and LTHD data sets were taken together this way over three days in early November 2021. The HTLD and LTLT data sets were taken together in late November 2021. The primary way the densities of the LD data set are reduced compared to

the HD data sets is by adiabatically increasing the scattering length of the cloud to $250 a_0$ over several dozen microseconds.

6.3 Computation of the structure factor

In Chapter 4 we introduced the structure factor which quantifies the fluctuation spectrum as a function of temperature, density, and scattering length. Recall that the structure factor is the ensemble average of the squared Fourier transform of the real-space density deviations normalized by the total number N

$$S(\mathbf{k}) = \frac{1}{N} \langle |\delta n(\mathbf{k})|^2 \rangle. \quad (6.5)$$

The quantity $\delta n(\mathbf{k})$ is computed via

$$\delta n(\mathbf{k}) = \int \delta n(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r} \quad (6.6)$$

where $\delta n(\mathbf{r}) = n(\mathbf{r}) - \langle n(\mathbf{r}) \rangle$ and $\langle n(\mathbf{r}) \rangle$ is the ensemble average density. Let us go through the details of the calculation for a few data points of the HTLD data set by considering Fig. 6.5.

We first compute the two-dimensional density profile of each image for a given time step. The mean density profile is then computed for the specific t_{Imp} , such as the $t_{Imp} = 0$ time step of Fig. 6.5a. For each of the individual images with the implosion time (Fig. 6.5b) a mask is applied to both the mean ($\langle n(x, y) \rangle$) and individual density profiles $n_i(x, y)$ and the total atom number for the mean (individual) density $\langle N_W \rangle$ (N_{iW}) within the unmasked region is computed by summing the pixel values and multiplying by the effective pixel area. The subscript on N_W stands for window to indicate we only care about atoms within the unmasked region of real space. The mask is constructed to isolate only the portion of the image containing the condensate, and the same mask is used for all the images for a given t_{Imp} . The density deviation $\delta n_i(x, y)$ for the individual profile $n_i(x, y)$ is computed as $\delta n_i(x, y) = n_i(x, y) - C \langle n(x, y) \rangle$ where $C = N_{iW} / \langle N_W \rangle$ is a scaling factor to account for total number fluctuations. An example $\delta n_i(x, y)$ is displayed in Fig. 6.5c. The structure factor $S_i(k) = |\delta n_i(x, y)|^2 / N_{iW}$ is then computed by performing a two-dimensional fast Fourier transform (FFT), squaring the magnitude, and dividing by N_{iW} . The resulting structure

factor is shown in Fig. 6.5d. The structure factor is symmetric about the origin, but we display the entire plot for aesthetic reasons.

Unlike the last chapter, the condensate is not seeded with a well defined density perturbation, and so we extract the fluctuation amplitudes from an annulus in Fourier space. We divide the structure factor $S_i(k)$ into rings of constant width in k -space as shown in Fig. 6.5d and compute the mean value for each ring. We chose a ring width of $0.2 \mu\text{m}^{-1}$ as this reflects the characteristic size of the features in k -space; if the rings were much wider, we would lose resolution in our determination of $S(k)$ and if the rings were smaller, neighboring rings would become increasingly correlated and signal-to-noise would suffer due to the decrease in the number of pixels in k -space. It is evident in Fig. 6.5d that the innermost rings are influenced by the low- k peak which reflects the bulk size, shape and position of the cloud. Number fluctuations in the absence of size or position fluctuations would manifest only at $k = 0$ if the scaling factor C were fixed to unity, but since the mean density is scaled before computing the density deviation the $k = 0$ term is near zero by construction. It is not identically zero due to machine precision. Additionally the phrase “number fluctuations in the absence of size fluctuations” does not reflect reality because the size of the cloud is a function of total number. Since we compute the density deviation from the average density distribution, shot-to-shot changes in the position are actually the largest contributing factor for $k < 1$. In principle these can be compensated for by shifting the optical densities of each image to center them to within a pixel of the common center, but this cannot be performed when the saturation intensity correction to the absorption imaging is included in the computation of the optical depth or density profile. If the saturation correction is used, spatial structure from the probe beam is written onto the density profile; when $\delta n(x, y) = n(x, y) - C \langle n(x, y) \rangle$ is computed without shifting the images, this structure subtracts out very well, to the point of being negligible. However, if the images are aligned to a common center, the pixel-by-pixel subtraction will actually magnify the probe beam’s structure.

As the cloud evolves following the quench, we expect to see features develop in k -space as density fluctuations are amplified. We present in Fig. 6.6 a $t_{Imp} = 800 \mu\text{s}$ rendition of the $t_{Imp} = 0$

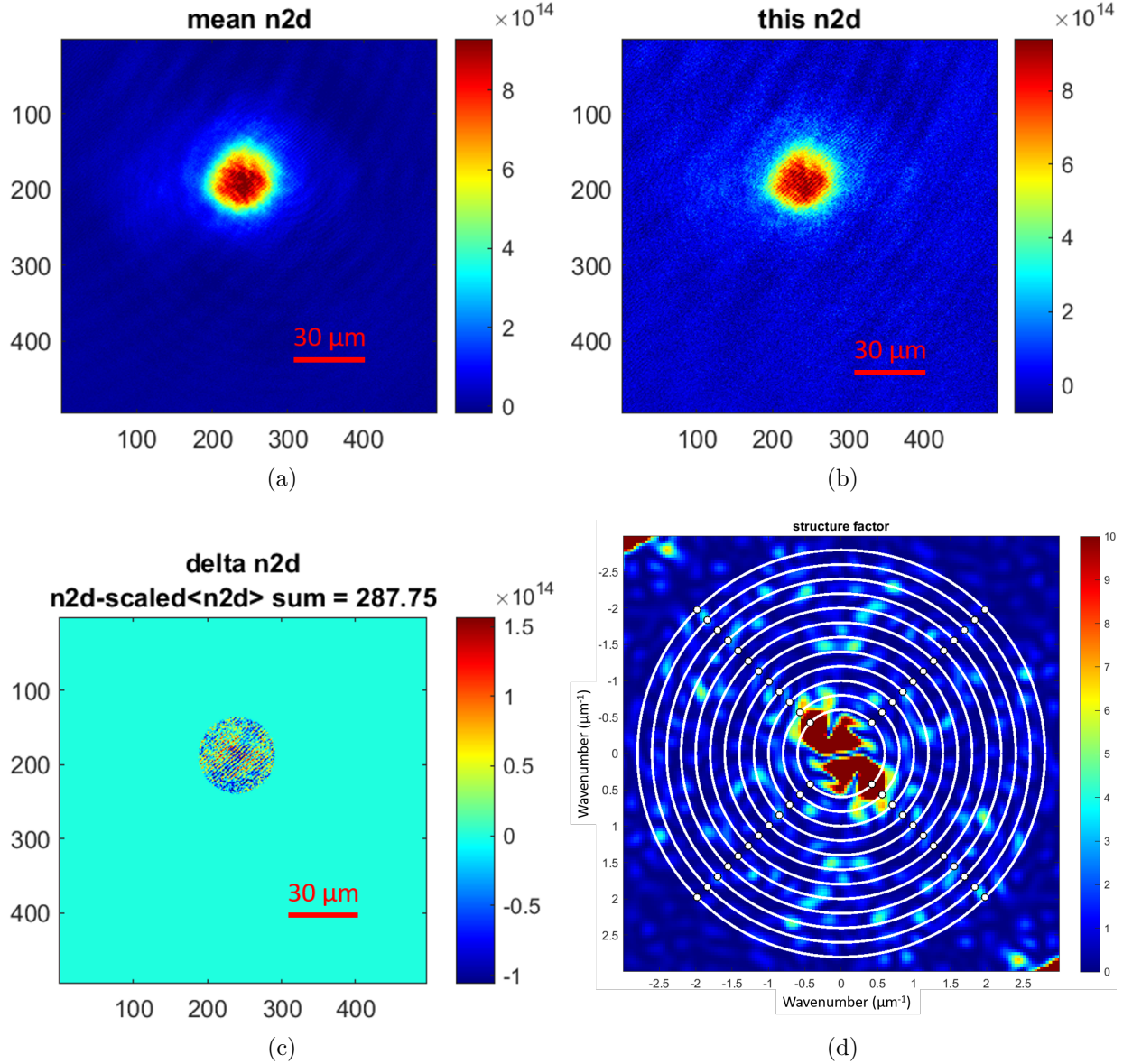


Figure 6.5: Example data for calculation of the structure factor for the $t_{Imp} = 0$. Panels (a,b,c) are in real space, with the x , and y axes given in pixels; the effective pixel size is $0.32 \mu\text{m}$, a red scale bar is shown for reference. The color axes of (a,b,c) are column densities in m^{-2} . The average two-dimensional density profile, displayed in (a) is calculated from 33 individual experimental cycles. In (b) one of those 33 density profiles is displayed. A mask is applied to both density arrays and the density deviation $\delta n(x, y) = n(x, y) - C \langle n(x, y) \rangle$ is computed where C is the scaling factor described in the main text to ensure that $\int \delta n(x, y) = 0$ within the unmasked region; the resulting density deviation array, with mask applied, is shown in (c). The structure factor $S_i(k)$ is computed from the FFT of (c) and displayed in (d) along with the eleven annuli over which we average $S_i(k)$. The innermost ring extends from $k = 0.6 \mu\text{m}^{-1}$ to $k = 0.8 \mu\text{m}^{-1}$, while the outermost ring extends from $k = 2.6 \mu\text{m}^{-1}$ to $k = 2.8 \mu\text{m}^{-1}$. The first couple rings are influenced by the bulk structure of the cloud as a whole, particularly from shot-to-shot changes in cloud position.

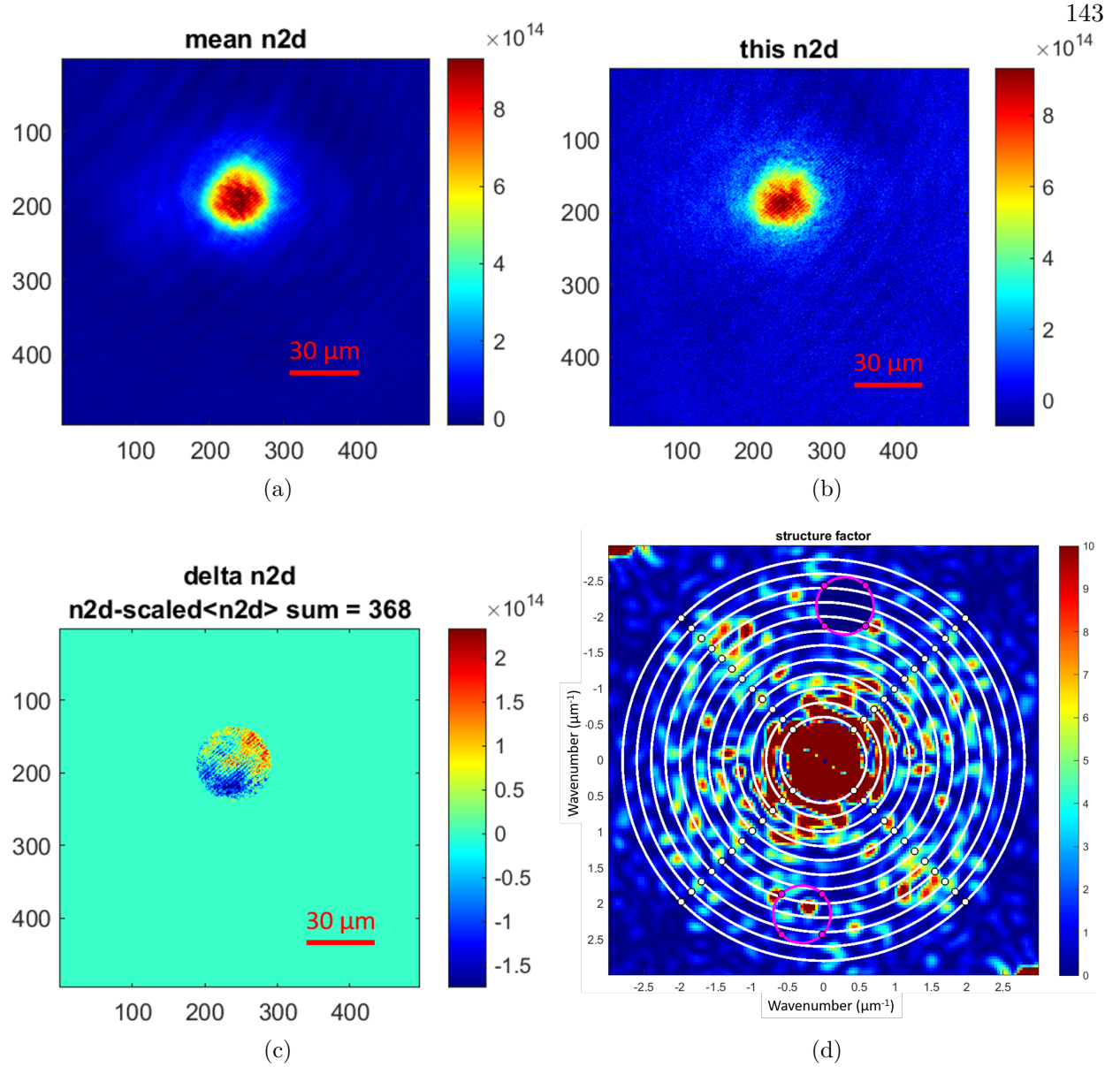


Figure 6.6: Example data for calculation of the structure factor for the $t_{Imp} = 800 \mu\text{s}$. Panels (a,b,c) are in real space, with the x , and y axes given in pixels; the effective pixel size is $0.32 \mu\text{m}$, a red scale bar is shown for reference. The color axes of (a,b,c) are column densities in m^{-2} . The density distributions at 800 microseconds of implosion time do not look much different from the $t = 0$ case presented in Fig. 6.5. For the individual experimental cycle shown here in (b), the cloud position is offset slightly from the mean profile in (a). In Figs. 6.5a and 6.5b the individual profile was well aligned to the mean. The offset is visible in the density deviation (c) and contributes to the low- k portion of the structure factor shown in (d). At larger k we see more fluctuations in (d) than was evident in Fig. 6.5d due to the growth of fluctuations over 800 microseconds. Note the mask at $[k_x, k_y] = [0.3, -2.15]$ (mind the flipped y -axis) bounded by the upper magenta circle which is introduced to mask the phantom lattice, which could not be perfectly eliminated. The lower magenta circle shows the unmasked signal at the phantom wavevector; the prominent feature within this region may be the signature of the phantom lattice growing, and so it is omitted in computing the mean $S(k)$ for the rings over which the phantom region overlaps.

μs data of Fig. 6.5 which illustrates this growth. The phantom lattice discussed in the last chapter may be responsible for the feature within the lower magenta circle. For this reason a mask is applied, indicated by the upper magenta circle, although for computing the ring results both regions are masked.

6.4 Contrast correction

One of the challenges we were confronted with in our experiment was the poor contrast of density perturbations in our *in-situ* images. This was the problem considered in Section 5.5, and can be understood by comparing the predicted growth at a given k compared to the observations. Figure 6.7 shows the raw signal $S_{raw}(k, t)$ Figure 6.7 shows how, under the presumption that the prediction is accurate, but our detection may be inefficient, the dominant contribution to the observations at $t_{Imp} = 0$ must be noise which is not growing over time, which is to say it is not real density noise. If the noise offset is subtracted uniformly from the blue data points in Fig. 6.7, then the remaining contribution grows with the same *relative* behavior as the prediction, but with an amplitude given by the fitting parameter $S_{0_{exp}}$ in Eq. 6.3 such that $S_{0_{exp}}/S_{0_{th}} = 0.04$, suggesting that our sensitivity at this wavenumber ($k = 1.7$) is only about 4%. We multiply the observed structure factor by a factor of 27, the contrast suppression factor we calculated for the high-density, X-lattice wavenumber $k_x = 1.73 \mu\text{m}^{-1}$ and repeat the fit to Eq. 6.3, with the result shown in Fig. 6.8. The growing component is well captured by the fit model and our inferred structure factor of $S_{0_{exp}}(1.7) = 4.37$ is consistent with the prediction of $S_{0_{th}}(1.7) = 4.12$. Unfortunately, applying the contrast suppression correction to the low-density $k = 1.7 \mu\text{m}^{-1}$ rings as well as the rings at $k = 2.5 \mu\text{m}^{-1}$ wavenumber (high density and low density) did not yield the same agreement with theory.

6.5 Results

Here we present the results of the implosion experiments investigating the structure factor. A direct fit to the functional form with a scaling factor and background offset was performed. The

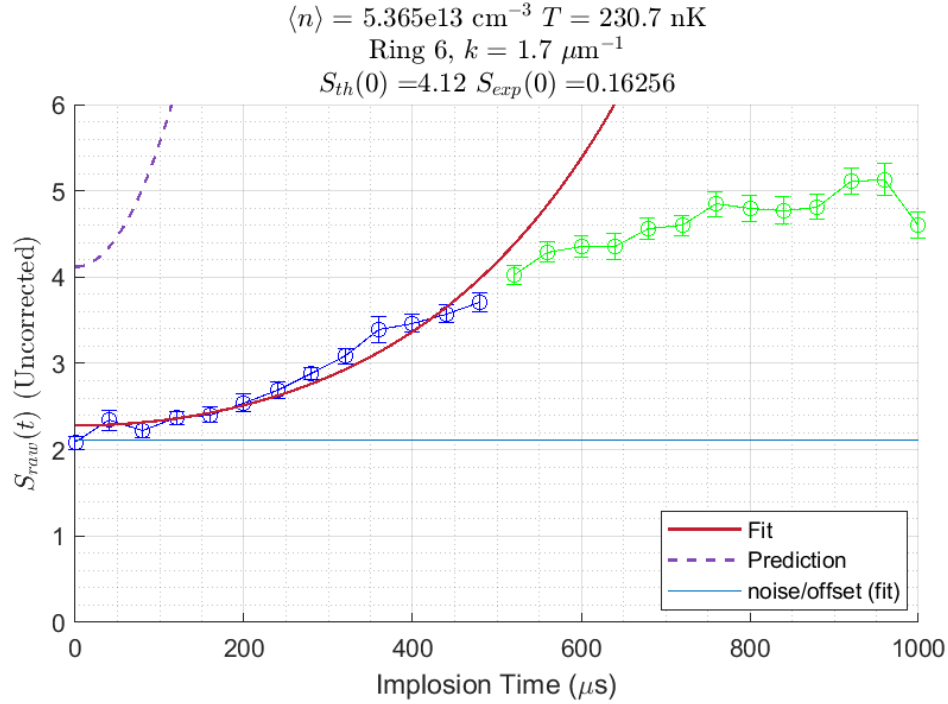


Figure 6.7: Observed structure factor vs implosion time for the $k = 1.7$ ring in the high temperature, high density data set. We fit the data to Eq. 6.3 which has two free parameters, an overall scaling factor and an offset to account for sources of imaging noise. The prediction is given by the dotted purple line and the fit by the solid maroon line. Clearly, the predicted growth is much more rapid than was observed; after 170 μs the prediction doubles from 4.12 to 8.32, while the observations go from 2.28 to 2.45, an increase of only 7%. The blue line gives the value of the imaging noise fit parameter, 2.12 for these data. If the background is subtracted out, then the fit indicates the non-noise contribution grows by a factor of $(2.45 - 2.12)/(2.28 - 2.12) = 2$ as constructed.

experimental data, along with the analytic prediction of Eq. 6.2 and is shown in Figs 6.12, 6.13, 6.14, and 6.15. In those figures the correction has *not* been applied to any of the rings. In the next section the contrast suppression correction factor discussed in section 5.5 is applied to the $k = 1.7 \text{ } \mu\text{m}^{-1}$ and $k = 2.5 \text{ } \mu\text{m}^{-1}$ rings, and in section 6.5.2 we give the results without this correction.

6.5.1 Calibrated wavenumbers

We first present results using the contrast correction factor discussed in the previous section. Only two values of k could be calibrated in this manner. We display the inferred structure factor along with the fit parameters in Fig. 6.9; where we have included the results for the other values of k for which we lack knowledge of the contrast suppression factor to indicate the influence of the

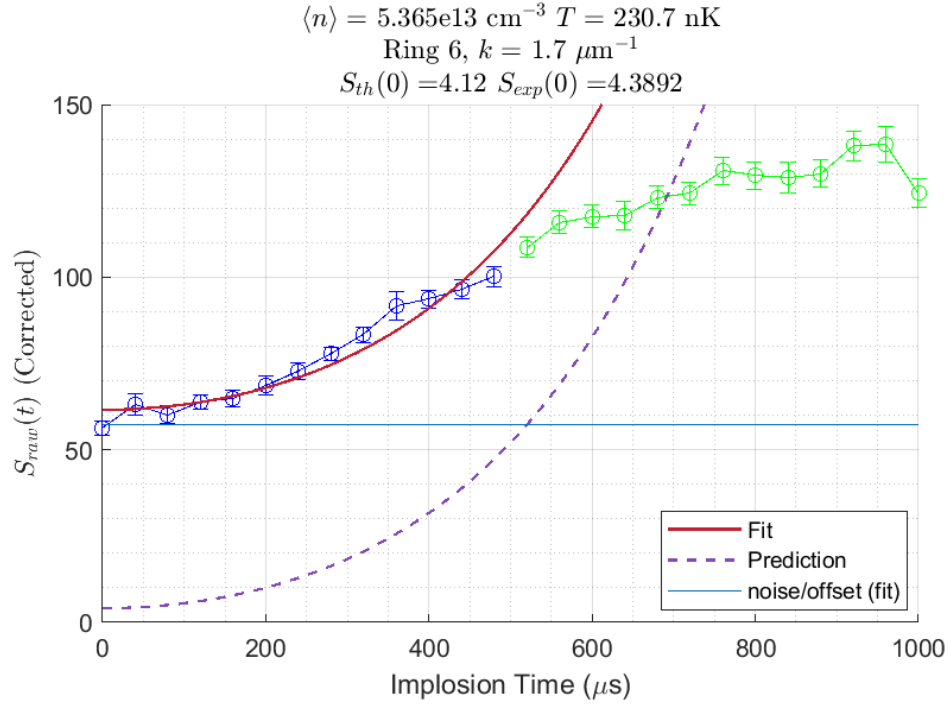


Figure 6.8: Refit to the model of Eq. 6.3 after applying the contrast correction factor calculated for the high-density X-lattice wavenumber. While the noise is substantial, the growing component matches the prediction of Eq. 6.2 very well, with the $S_{0_{exp}}/S_{0_{th}}$ equal to 1.06.

contrast suppression. Only for the high-density $k = 1.7 \text{ } \mu\text{m}^{-1}$ ring do we clearly see the expected temperature trend, and only the HTHD data are quantitatively consistent with the prediction. The LTHD data lie below the high temperature data, but above and inconsistent with the prediction. No temperature dependence is evident in the low-density $k = 1.7 \text{ } \mu\text{m}^{-1}$ ring, and the inferred structure factor is much higher than expected. For both densities, the $k = 2.5 \text{ } \mu\text{m}^{-1}$ ring was observed to be much greater than the expected value. The temperature dependence of the LT and HT high-density sets has the correct trend. With the previous mentioned exception of the HTHD $k = 1.7 \text{ } \mu\text{m}^{-1}$ ring, the ratios $S_{0_{exp}}(k)/S_{0_{th}}(k)$ lie well above one as shown in Figs 6.9c and 6.9d. The imaging noise, shown in 6.9e and 6.9f is quite large, as expected.

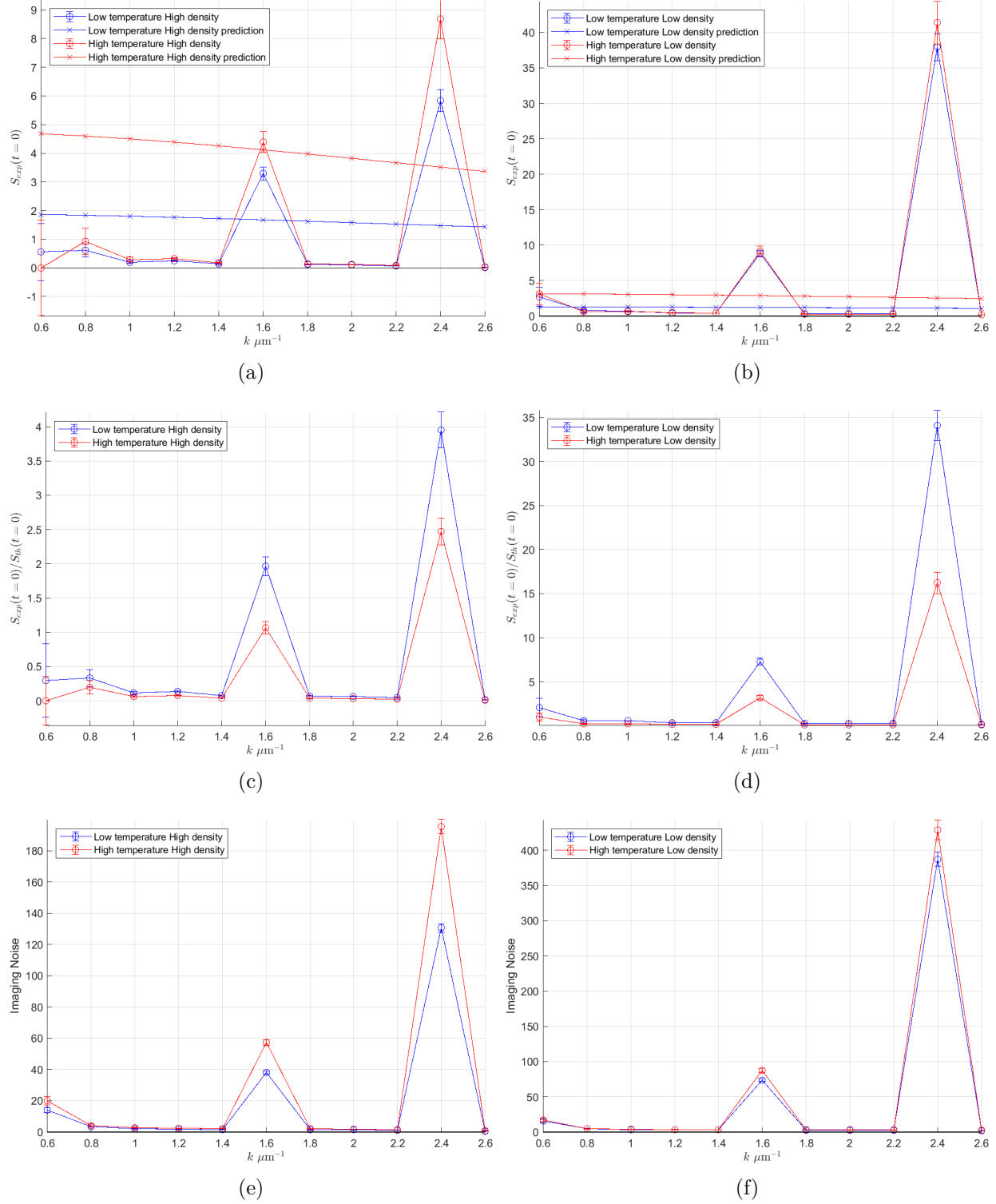


Figure 6.9: Results with the contrast correction applied according to Section 5.5. The correction is only applied to the $k = 1.7 \mu\text{m}^{-1}$ and $k = 2.5 \mu\text{m}^{-1}$ ring, the other values are displayed with no correction to illustrate the influence of the contrast suppression. Figures (a,c,e) are high-density while (b,d,f) are low density. The measured structure factor (circles) along with the prediction (x's) is shown in (a,b), the scaling parameter is shown in (c,d); if the growth were perfectly described by the model, the scaling amplitude would be one. The noise offset is shown in (e,f).

6.5.2 Uncorrected results

In Fig. 6.10 are the results for all rings without the contrast suppression taken into account for any of the rings. With the exceptions mentioned in the previous paragraph, the data are identical between Figures 6.9 and 6.10, but are plotted again in Fig. 6.10 for visibility. The small ratio $S_{0_{exp}}/S_{0_{th}}$ of Fig. 6.10c and 6.10d are an order of magnitude below unity, highlighting how little growth is apparent if the contrast suppression factor is not accounted for. If the simplistic approach of simply evaluating the signal at $t_{Imp} = 0$, without regard to the subsequent evolution and without attempting to characterize the signal as a growing component on top of a noise component, we do find temperature behavior which qualitatively resemble the predicted behavior for majority of the data, as shown in Fig. 6.11.

As we have seen, the observed growth of the fluctuations is difficult to reconcile with theoretical predictions for the majority of our data. Only the high-density data are in qualitative agreement with the expected temperature dependence, and of those only the high-temperature data are in quantitative agreement. The raw data (without any consideration of the contrast suppression) for $t_{Imp} = 0$ are in qualitative agreement, but the growth of the fluctuations is the signature we rely upon to distinguish “signal noise” from “noise noise” and only a small fraction of the $t_{Imp} = 0$ signal appears to grow according to the predictions of Eq. 6.2. The contrast correction initially seemed quite promising, as indicated by the good agreement between the HTHD data at $k = 1.7 \mu\text{m}^{-1}$ and shown in Fig. 6.7 and Fig. 6.8, but applying the same method to the other data set for which we have the calibration data did not provide the same level of agreement. After accounting for the contrast suppression, the $k = 2.5 \mu\text{m}^{-1}$ appears to disagree with the prediction by a similar factor as when the correction is omitted, but in the opposite direction. In this section we discuss various sources of error beyond those discussed in Section 6.1 which may have affected the experiment and how we attempted to account for them.

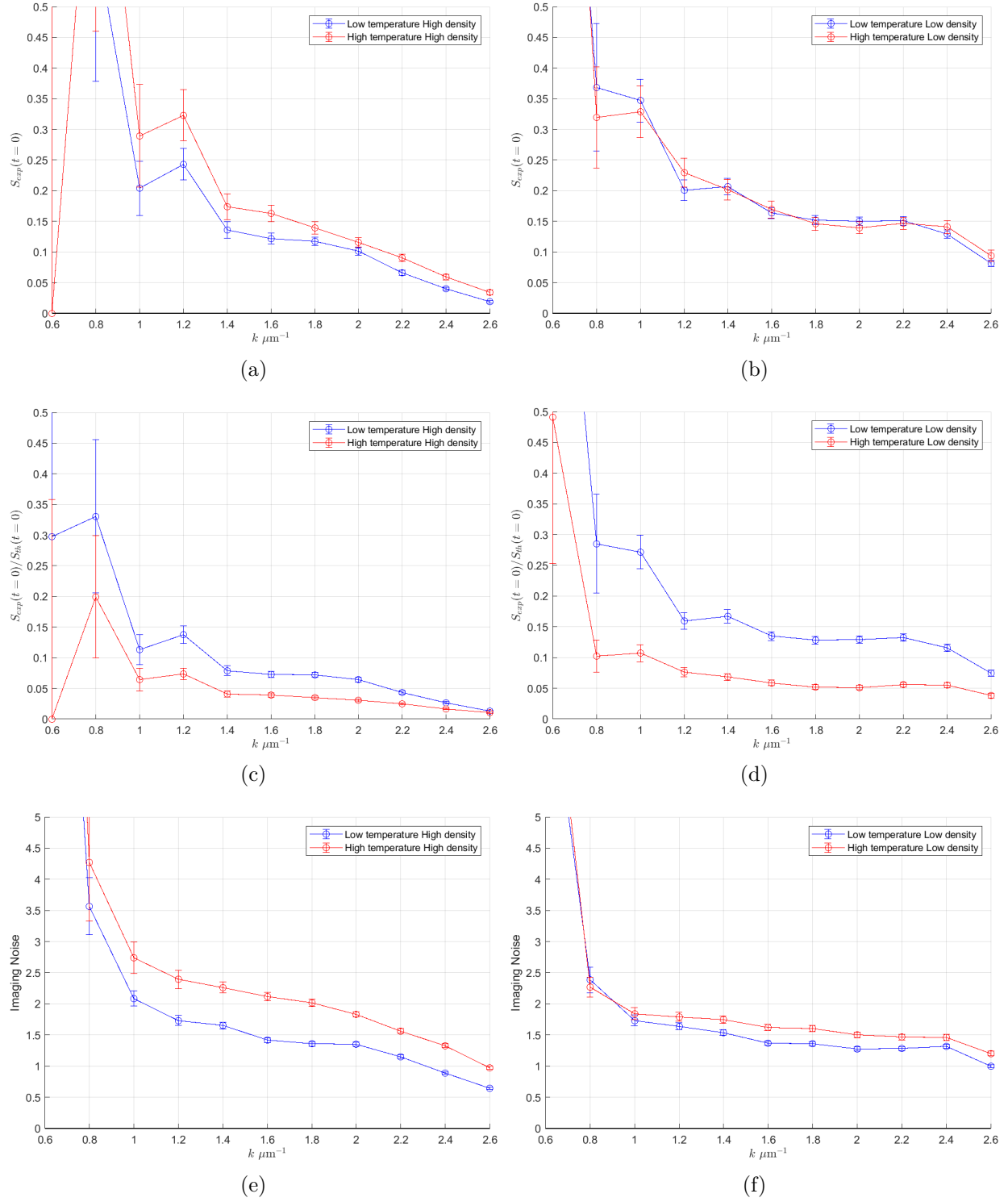


Figure 6.10: Results without the correction applied to any of the wavenumbers. With the exception of the $k = 1.7 \mu\text{m}^{-1}$ and $k = 2.5 \mu\text{m}^{-1}$ ring, these values are identical to Fig. 6.9 but are provided here with more visibility.

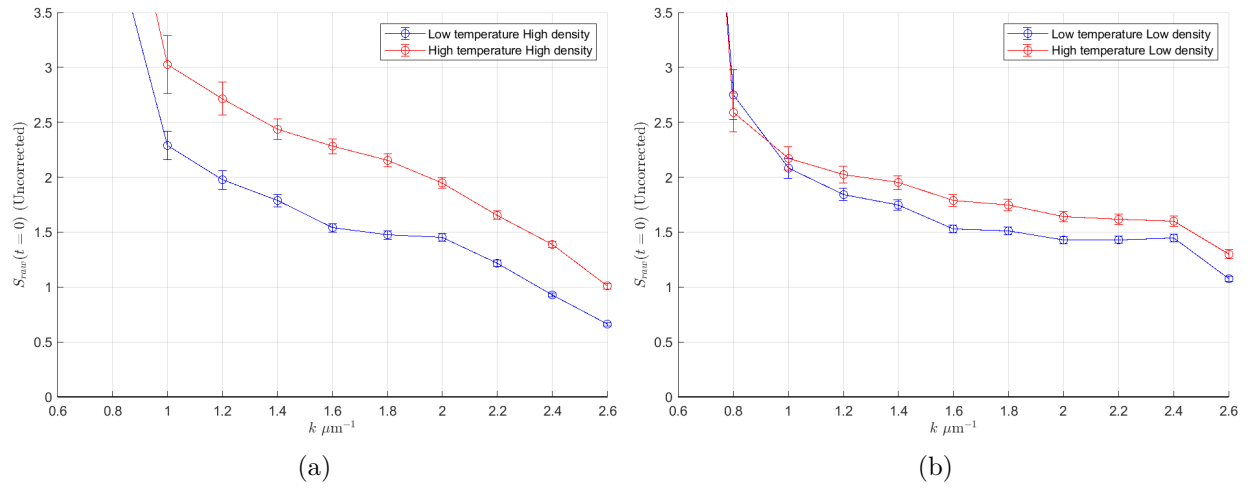


Figure 6.11: The initial value of the structure factor signal evaluated from the fit to Eq. 6.3 without distinguishing between the noise component and the growing component. For most values of k the temperature dependence is qualitatively in agreement with predictions.

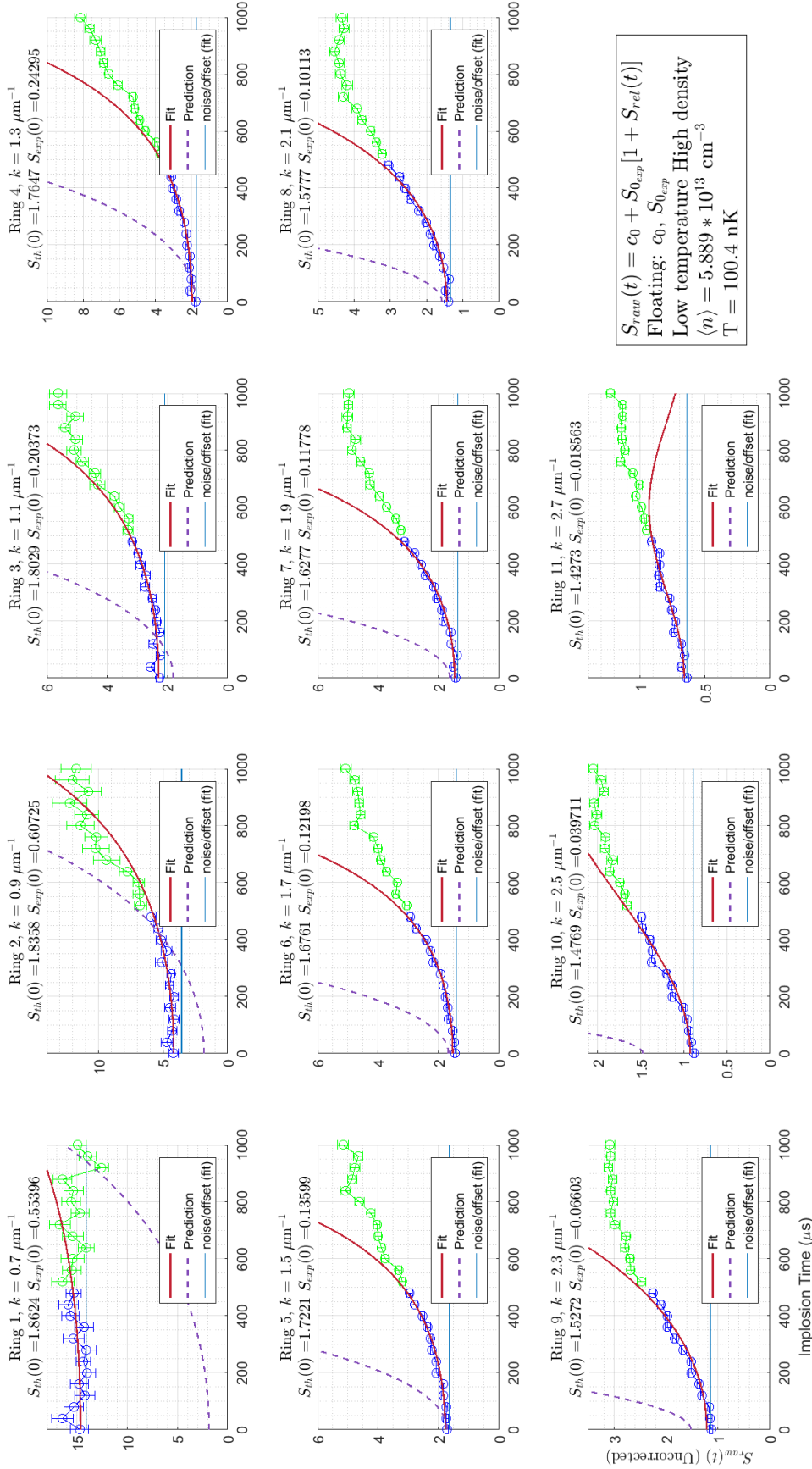


Figure 6.12: Low temperature High density implosions. Resolution corrections have not been applied to the signal $S_{raw}(t)$. Everywhere in this figure $S_{exp,th}(0)$ indicates $t_{mp} = 0 \mu s$, the k dependence is implied. The bottom left panel's axis labels apply to all plots, but the vertical scale differs between plots. Conditions are given in the bottom right, which is identical to Eq. 6.3. The fit parameter $c_0(k)$ is time independent and represents the imaging noise contribution to S_{raw} .

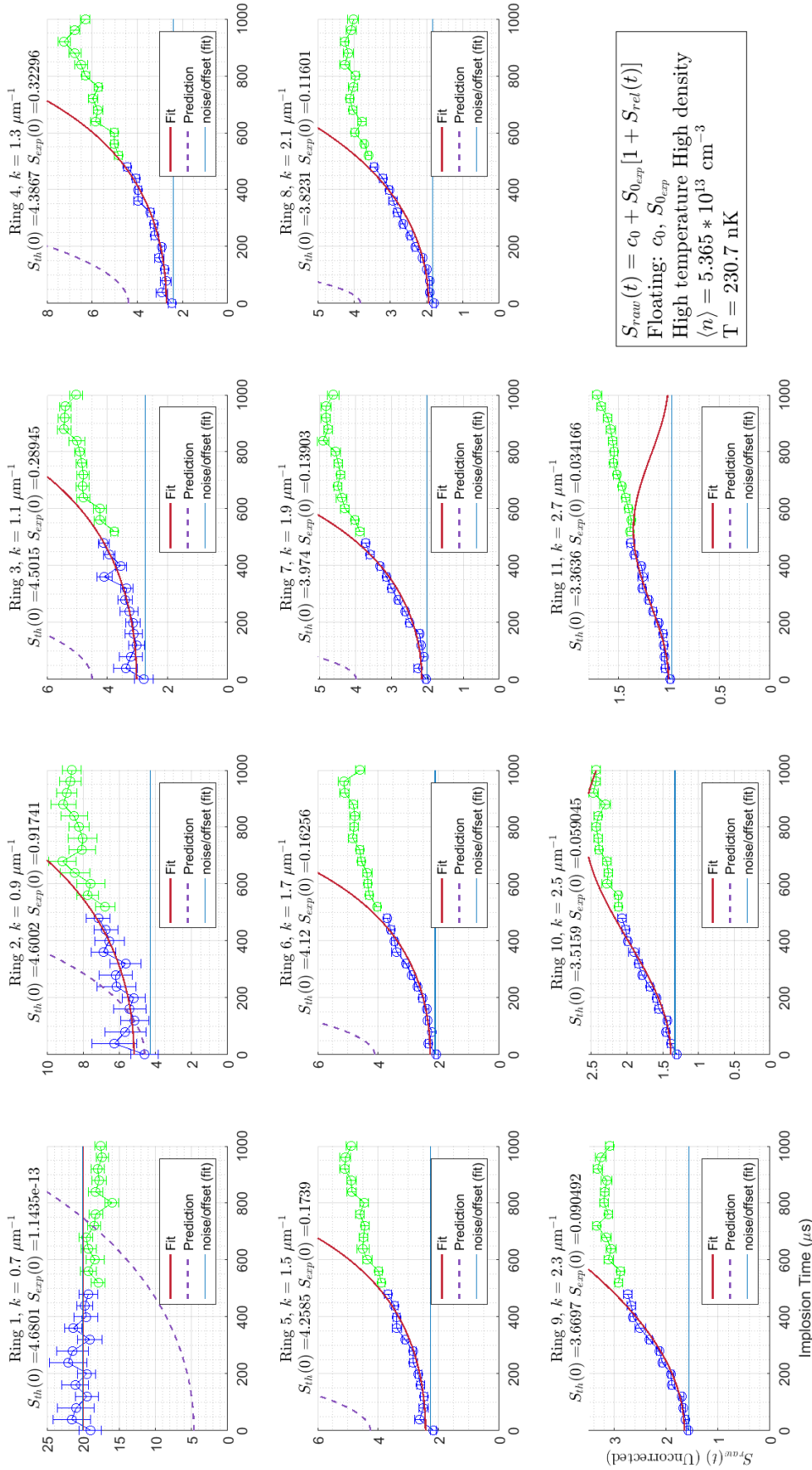


Figure 6.13: High temperature High density. Resolution corrections have not been applied to the signal $S_{raw}(t)$. Everywhere in this figure $S_{exp,th}(0)$ indicates $t_{imp} = 0 \mu s$, the k dependence is implied. The bottom left panel's axis labels apply to all plots, but the vertical scale differs between plots. Conditions are given in the bottom right, as is the fit model, which is identical to Eq. 6.3. The fit parameter $c_0(k)$ is time independent and represents the imaging noise contribution to S_{raw} .

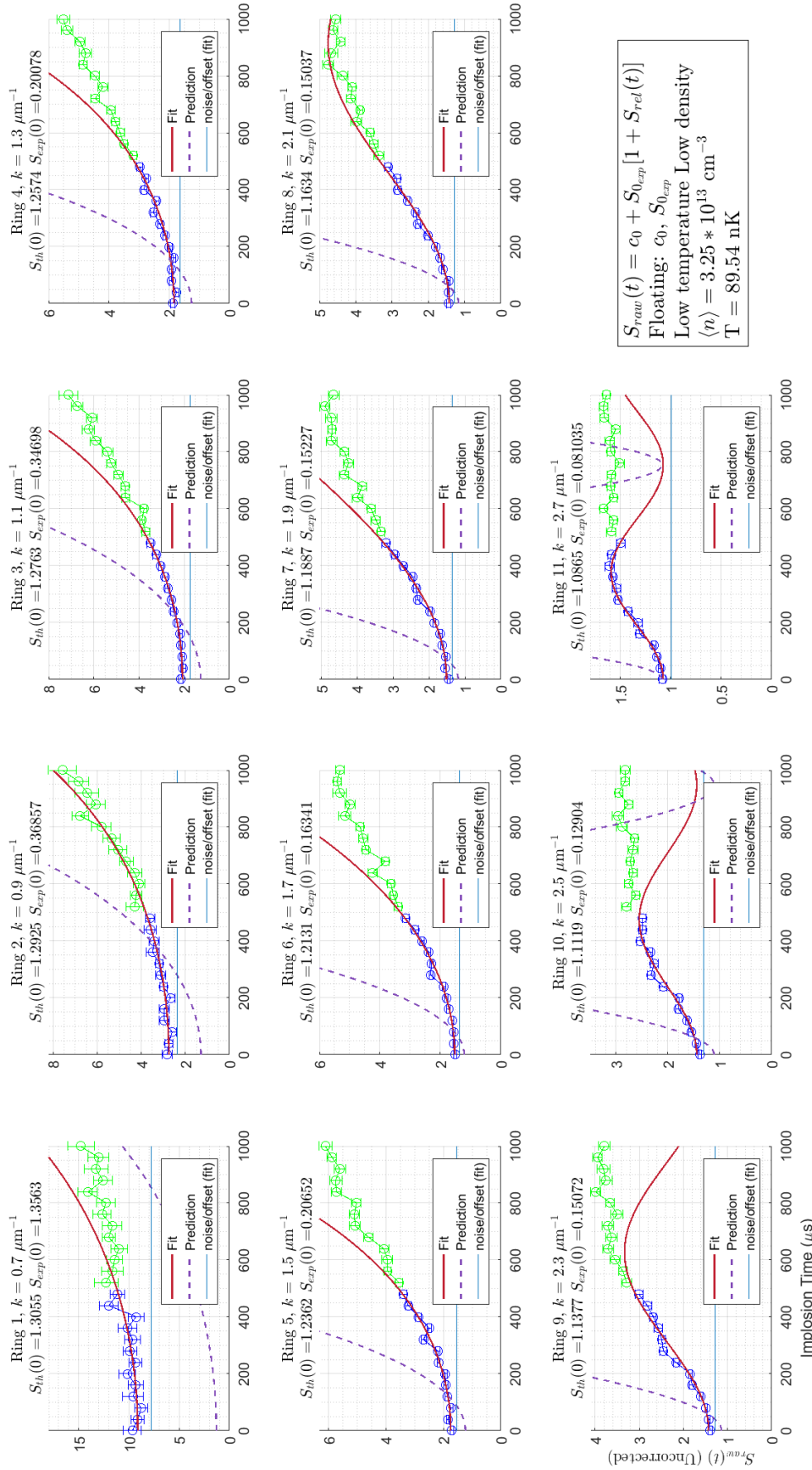
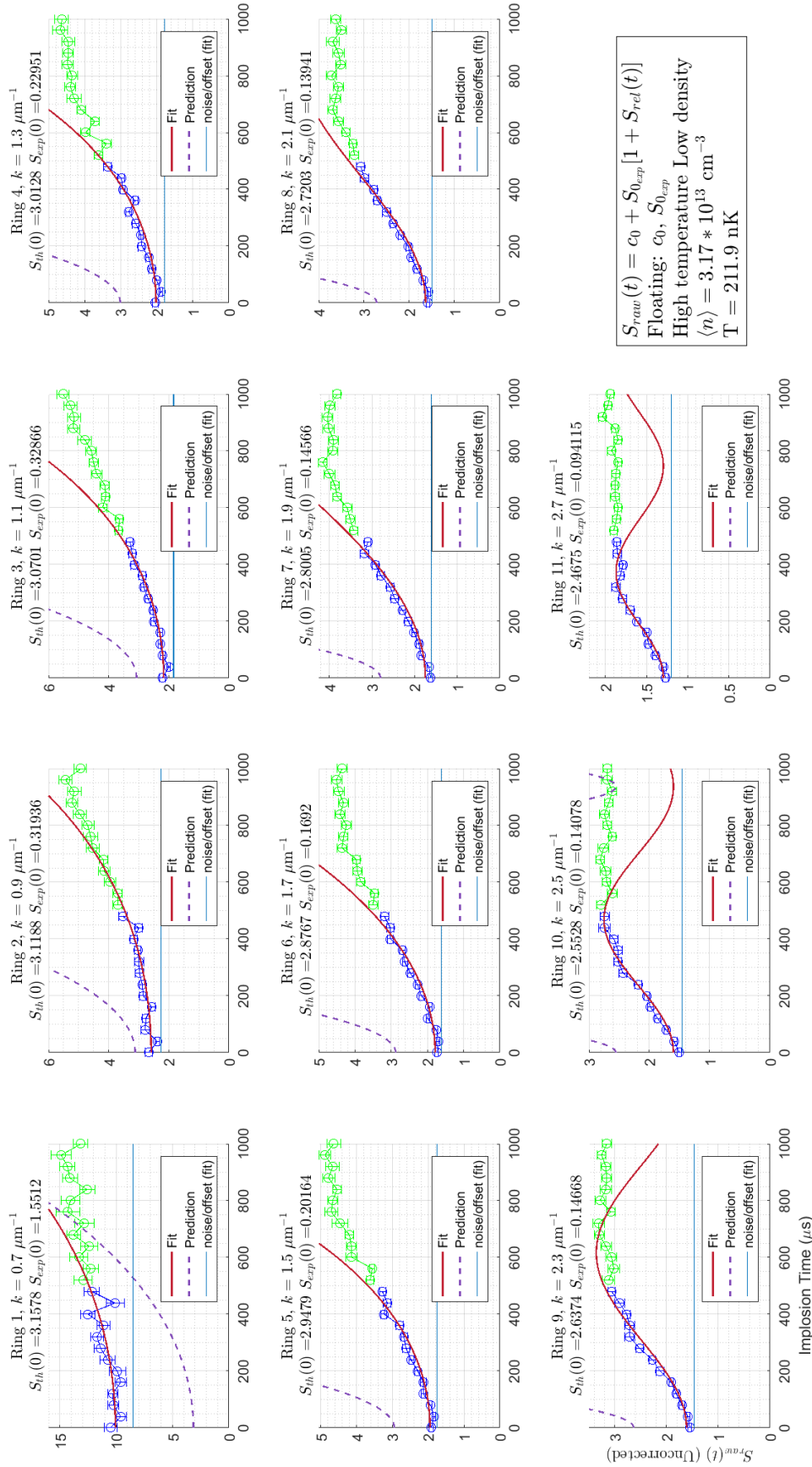


Figure 6.14: Low temperature Low density. Resolution corrections have not been applied to the signal $S_{raw}(t)$. Everywhere in this figure $S_{exp,th}(0)$ indicates $t_{Imp} = 0 \mu s$, the k dependence is implied. The bottom left panel's axis labels apply to all plots, but the vertical scale differs between plots. Conditions are given in the bottom right, as is the fit model, which is identical to Eq. 6.3. The fit parameter $c_0(k)$ is time independent and represents the imaging noise contribution to S_{raw} .



6.6 Other potential sources of error

The factors discussed in Section 6.1 are particularly salient for the experiments of this chapter because they represent ways the fluctuation signal seek is entangled⁷ with various sources of noise or imaging limitations. The theoretical predictions were then leveraged to aid in untangling said signal, with limited success. The theoretical prediction can only be trusted to the degree that the calculation is performed with the correct parameters. Furthermore, if unaccounted for phenomena, such as an unexpected impurity atoms causing inelastic collisions, are present, then the theory cannot be leveraged as described in Section 6.1.1. Such problems may arise if the conditions we use as the inputs to our theoretical predictions are in error.

6.6.1 Miscalibrated conditions

The predictions for the growth of the structure factor depend on the density of the system, and the value at $t_{Imp} = 0$ depends on temperature. The number and the temperature are extracted from time-of-flight imaging using the side imaging system, and this was checked before and after data collection, as were the trapping frequencies and the magnetic field which determines the initial scattering length. There are thus six quantities which determine the density profile of the cloud $(N, a(B), T, \omega_x, \omega_y, \omega_z)$. Of these, a and ω_{xyz} are most precisely known and are unlikely to contribute to miscalculating the density. The number and temperature are more prone to error, and as we saw in section 5.4.3 a density error of 20% may have a significant impact. It is also possible that the system was not in equilibrium at the time of the quench. Care was taken to ensure that the cloud was not breathing or sloshing at the time of the quench, and the cloud was allowed to equilibrate for as long as possible to ensure the condensate was in equilibrium with the thermal component. However, the thermalization time, particularly for the two low-density data sets, may have been insufficient. Since the low-density conditions were generated by preparing the cloud at $a = 250 a_0$ compared to $a = 110 a_0$, the three-body recombination was significantly worse

⁷ in the colloquial sense

for those two data sets and the equilibration time was limited to 50 ms which may not provide enough time for superthermal density fluctuations to relax.

6.6.2 Spin purity

The spin purity of the initial or final state may also influence the dynamics. If the initial state were pure but five percent of the atoms were not transferred to the $|2, -2\rangle$ state, interspecies interactions between the $|1, -1\rangle$ and $|2, -2\rangle$ state may have had unforeseen consequences. The pulse frequency and duration were checked before after and during each period of data collection, but if these parameters changed during data collection, the spin purity of the final state could be compromised. Time-of-flight imaging from the side showed no detectable population remaining in the $|1, -1\rangle$ state following our π pulses, suggesting the fidelity of the pulse to be $>99\%$. If inelastic collisions were to take place after the quench, presumably the effects would be limited by the number of the impurity species. No systematic trend as a function of time in the data was observed for any of the data sets, although individual experimental cycles were occasional outliers and were removed from the analysis. Impurities in the initial state would also cause these complications, but are even less likely to go unnoticed while operating the experiment as this is checked periodically with Stern-Gerlach and spectroscopic measurements and the spin purity is known to be indistinguishable from 100% in earlier stages of the evaporation.

6.6.3 Revisiting $S_{0_{exp}}(k)/S_{0_{th}}(k)$

Consider Figure 6.9c and Figure 6.9d which represent a bottom-line proportional comparison of experiment versus theory for the pre-quench static structure function $S_0(k)$. It is worth noting that $S(k)$ goes as the square of the amplitude of a density fluctuation. If we consider only calibrated wavenumbers $k = 1.6 \mu\text{m}^{-1}$ and $k = 2.6 \mu\text{m}^{-1}$ of Figure 6.9c and Figure 6.9d, there are eight distinct experiment-theory comparisons, for high/low temperature, high/low density and high/low wavenumber. Six of those ratios are in the range 1-7, so that the agreement in density amplitude is good to about a factor of 2.6. Only on the low- n , high- k points are the amplitudes off by as

much as a factor of size. In the ratio plots shown in Figure 6.9c and Figure 6.9d, the temperature dependencies seem in some cases to be very far from prediction, far in excess of error bars. In Figure 6.16a and Figure 6.16b we replot the bottom-line comparison but: (i) presenting only the calibrated wavelengths for clarity (ii) putting the high and low density on the same vertical scale, and (iii) making the comparison on an absolute scale, not as ratios. For clarity, in four places we include a black bar showing the theoretically expected difference (not ratio) between high and low temperatures, translated vertically to allow comparison with the experimental data. In this picture the deviation from observed temperature dependence is within error bars in two cases and not so starkly out of range for the other two. The overwhelming discrepancy is not in the temperature dependence but that the experimental points are displaced upwards from theory by an amount

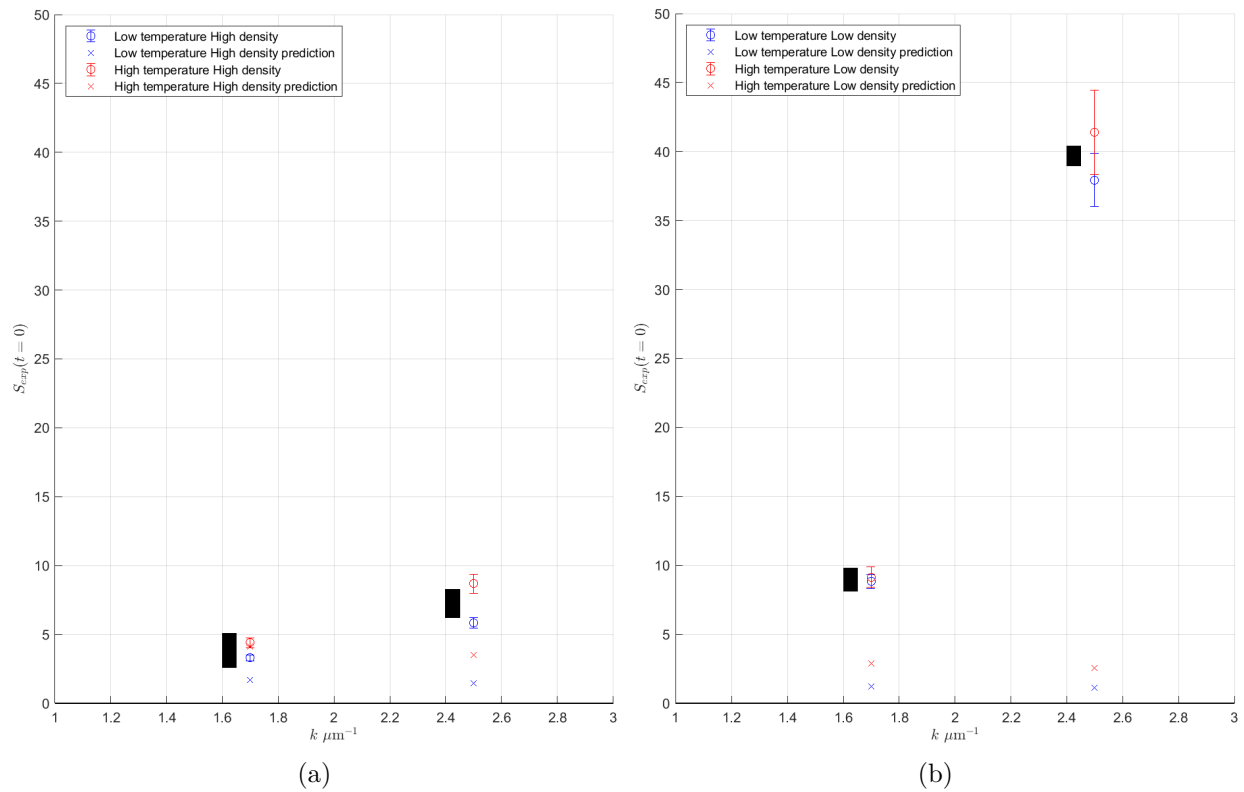


Figure 6.16: Direct comparison between experiment and theory for high-density (a) and low-density (b) conditions. The two plots share the same vertical axis. A black bar with representing the difference between the high and low density predictions has been placed alongside the experimental observations as a visual aid to comparing between experiment and theory.

which depends on the initial density of the cloud and on the wavenumber observed.

Plotting the theory-experiment comparison on an absolute scale makes more sense if we want to understand the discrepancy as a possible additive offset. We suspect most of the difference is due to superthermal density noise of various kinds, whether due to laser speckle in the confining optical potential, or to as-yet not thermalized ripples leftover from the preparation. It particularly makes sense that the low density points show greater additional noise than high density points, because, as discussed in Section 6.6.1, the low-density points undergo an additional “last-minute” preparation step, wherein the scattering length is made more positive so as to expand the cloud. As the cloud expands it moves over hypothetical imperfections in the trapping potential at speeds approaching the speed of sound. As for the k -dependence of the discrepancy, this may reflect the structure of trap imperfections. Certainly the imperfection we are explicitly aware of has a k -vector of magnitude $2.3 \mu\text{m}^{-1}$, quite similar to the magnitude of our “high- k ” ring.

Chapter 7

Summary and Outlook

The explosion of research that has followed in the wake of physicists' ability to cool and trap atoms has been truly remarkable, and the work done here at JILA has been a big part of that story. In writing this thesis and in working in the lab over the last several years, I have been impressed, and somewhat intimidated, by the astonishing amount of knowledge that has been acquired by the scientific community and by how much remains unknown. Over the years, many perplexing phenomena in ultracold atomic physics, particularly those involving strongly interacting systems, have been explained, or sometimes explained away, by appealing to the mysterious role of fluctuations. I am reminded of the role turbulence plays in classical fluid dynamics, incredibly important, but extremely difficult to understand. It is the goal of our work to tackle the difficult problem of testing our models of quantum fluctuations in bulk 3D gases to shed light on this murky topic. It is our hope that these efforts will provide insight into these phenomena and prompt future work which may improve upon our own.

In this thesis, we presented a vision for a fluctuation microscope which uses the instability of a Bose-Einstein condensate quenched to attractive interactions and the subsequent implosion process to amplify fluctuations which are otherwise too small and subtle to see. The challenges and uncertainties which come with *in-situ* imaging a bulk 3D cloud, particularly when resolving fine density structure is the goal, prevented the thorough, independent testing of the both fluctuation spectrum of the initial cloud and the growth characteristics following the quench. Fundamentally, we lack the precise quantitative understanding of the imaging resolution, technical noise, and

unexpected contributions to the actual density profile to provide a thorough comparison to theory. However, it was always understood by our group that these issues would be challenging, and we developed a strategy to calibrate our measurements using a well known density perturbation supplied by an optical lattice. This turned out to be incredibly valuable, as the imaging difficulties were numerous and extremely time consuming. Only with the optical lattice data was a quantitative test to the theoretical predictions of the structure factor possible.

The lattice experiments demonstrated quantitatively that our detection of density fluctuations was significantly less efficient than anticipated, and accounting for this phenomenon for the two wavenumbers for which our lattice data permitted a retroactive calibration brought clarity to our understanding. At these wavenumbers, $k = 1.7 \mu\text{m}^{-1}$ and $k = 2.5 \mu\text{m}^{-1}$, we were able to see an effect of temperature on the static structure factor which qualitatively agrees with the theoretical prediction that structure factor grows with temperature for our high density experiments. To arrive at this conclusion, we leveraged the assumption for the magnitude of the relative growth to extract the initial structure factor as a free parameter. While the result agreed within error only for the high density, high temperature data, the dependence on temperature seen for both calibrated wavenumbers provides support for the predictions of the density fluctuations of the initial sample. Without these results, one may be tempted to conclude that our observations falsified the predictions for the structure factor.

The utility of the lattice experiments in the calibration of our imaging supports the general notion that fluctuation measurements *in-situ* of a bulk 3D condensate require a thorough, quantitative understanding of one's imaging transfer function and sources of technical noise. Subsequent experimental work must emphasize this in order to place the experimenter in the position to robustly test each prediction for the static structure factor. In a hypothetical alternate reality where another year of lab work were possible, we would likely perform additional lattice experiments and attempt to develop a quantitative model of imaging resolution and noise, and certainly perform the systematic characterization of the high resolution objective which time constraints prevented.

Theoretically, our understanding has matured from the vision presented in the introduction.

The fact that the structure factor is predicted to grow for all wavenumbers, even those for which the mode is stable, was surprising to our group. The importance of the velocity/phase of the order parameter at the time of the quench was also not appreciated until after data collection was concluded. The phase fluctuations are larger than density fluctuations, as we determined in Chapter 4. The role of phase fluctuations was not definitively experimentally established by this work. It is my hope that future work will shed light on this and related questions, and that this work will help others in their efforts studying these subtle quantum systems.

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Appendix A

Density of States

A.1 Density of states for a free particle

the volume of an d -ball and the surface area of the corresponding d -sphere in d dimensions is given by

$$V_d(R) = \frac{\pi^{d/2}}{\Gamma(d/2 + 1)} R^d \quad S_d(R) = \frac{2\pi^{d/2}}{\Gamma(d/2)} R^{d-1} \quad (\text{A.1})$$

A free particle has energy $\epsilon_p = \frac{p^2}{2m}$ hence

$$\frac{dp}{d\epsilon} = \sqrt{\frac{m}{2\epsilon}}.$$

In general the density of states for a system is

$$g(\epsilon) \equiv \frac{dd_\epsilon}{d\epsilon}$$

where d_ϵ is the total number of states with energy less than ϵ . The phase space associated with a particle with d degrees of motional freedom confined to a box volume V is

$$\mathcal{V} = \int_V d^d \mathbf{r} \int_0^\infty d^d \mathbf{p}.$$

One could explicitly solve the Schrodinger equation in d dimensions to proceed, but here we will use the fact that there is on average one quantum state per volume $(2\pi\hbar)^d$ in phase space.

As a brief aside, I learned recently that this value did not require quantum mechanics in its modern (i.e. post 1925) form but was in fact determined by Otto Sackur and Hugo Tetrode in 1912 [107, 119, 118, 58]. Their method relied on deriving the entropy of a monoatomic ideal gas

under the assumption that elementary volume of phase space was zh^d for a system with d degrees of freedom. Classical physics does not provide an a priori justification for this ansatz, but in their comparison to experimental data then available for mercury vapor, they found agreement with the quantization of phase space. The discretization of phase space into elementary cells is a top-down method of calculating the actual number of microstates available to a system; later methods using standard quantum mechanics provides a bottom-up approach. Tetrode actually fit the constant z and determined it to be consistent with unity, prompting him to propose it may be precisely unity. I find it interesting how much this approach reflected Planck and Einstein's own approaches in phenomenologically determining the necessity, utility, and numerical value of Planck's constant h , particularly considering Sackur and Tetrode applied h in the problem of massive particles as opposed to photons. In a paper celebrating the 100th anniversary of the Sackur-Tetrode equation for the absolute entropy, Grimus repeats Tetrode's fit to mercury data and finds it accurately determines h to within 1%.

The total number of states N_ϵ with energy $E < \epsilon$ and thus with momentum $p < p_\epsilon = \sqrt{2m\epsilon}$ is thus

$$N_\epsilon = \frac{\mathcal{V}(\epsilon)}{(2\pi\hbar)^d} = \frac{V}{(2\pi\hbar)^d} \int_0^{p_\epsilon} d^d \mathbf{p} = \frac{V}{(2\pi\hbar)^d} \int_0^\epsilon d^d \epsilon \sqrt{\frac{m}{2\epsilon}} \quad (\text{A.2})$$

We compute the integral using Eq. ?? for the volume in p -space.

$$N_\epsilon = \frac{V}{(2\pi\hbar)^d} V_d(\sqrt{2m\epsilon}) = \frac{V(2\pi m\epsilon)^{d/2}}{(2\pi\hbar)^d \Gamma(d/2 + 1)} \quad (\text{A.3})$$

and thus the density of states (per unit volume) is

$$D(\epsilon) = \frac{1}{(2\pi\hbar)^d} V_d(\sqrt{2m\epsilon}) = \frac{d(2\pi m\epsilon)^{d/2}}{2\epsilon(2\pi\hbar)^d \Gamma(d/2 + 1)} \quad (\text{A.4})$$

and using

$$\Gamma(m + 1/2) = \frac{(2m)!}{4^m m!} \sqrt{\pi}$$

we can see that

$$\begin{aligned} D_{3U}(\epsilon) &= \frac{m^{3/2}}{\sqrt{2}\pi^2\hbar^3}\sqrt{\epsilon} \\ D_{2U}(\epsilon) &= \frac{m}{2\pi\hbar^2} \\ D_{1U}(\epsilon) &= \frac{\sqrt{m}}{\sqrt{2}\pi\hbar}\frac{1}{\sqrt{\epsilon}} \end{aligned} \tag{A.5}$$

where we have used the subscript U to denote the system is uniform.

A.2 Density of States for harmonic confinement

For the harmonic oscillator in d dimensions, the eigenstates have energies

$$\epsilon_{\{n\}} = \hbar \sum \omega_i n_i$$

where $\{n\}$ denotes the set of occupation numbers $\{n_1, \dots, n_d\}$ for each dimension and we are ignoring the zero-point energy. We make the assumption that all the ω_i are equal to proceed under the simplifying relation $n_T = \sum n_i$ is the total occupation of all modes. We determine the number of states N_ϵ with energy $E < \epsilon$ by counting the available combinations of the n_i . If $d = 1$ then $N_{\epsilon 1D} = n_T + 1$ since n_1 may vary from 0 to n_T . Then for $d = 2$ and fixed $n \leq n_T$ we have $n + 1$ options for n_1 and $n + 1 - n_1$ options for n_2 , making the multiplicity

$$N_{\epsilon 2D} = \sum_{n=0}^{n=n_T} \sum_{n_1=0}^{n_1=n} n + 1 - n_1 = \sum_{n_1=0}^{n_1=n_T} n_2$$

Since n_1 and n_2 have exactly the same set of options (as we could have fixed n_2 instead) we can replace $n_2 \rightarrow n_1$ in $\sum_{n_1=0}^{n_1=n_T} n_2$ and thus $N_{\epsilon 2D} = T_n$ where T_n is the n^{th} triangular number. Adding a third dimension and considering how for each unique choice of n_3 the multiplicity of states is $T_{n_T - n_3}$, and recognizing our sums for each dimension are identical, the multiplicity of the 3D QHO with total occupancy less than or equal to n_T is the sum of the first n_T triangular numbers, also known as the tetrahedral number Te_n . This can be generalized to high dimensions.

We are interested in $d = 1, 2, 3$ only. For these cases the density of states in a harmonic

oscillator is

$$\begin{aligned} D_{1H}(\epsilon) &= \frac{1}{\hbar\omega} \\ D_{2H}(\epsilon) &= \frac{\epsilon}{\hbar^2\omega^2} \\ D_{3H}(\epsilon) &= \frac{\epsilon^2}{2\hbar^3\omega^3} \end{aligned} \tag{A.6}$$

where the subscript H indicates harmonic confinement. Although these results were derived for isotropic potentials, they generalize to anisotropic traps with the substitution $\omega^2 \rightarrow \omega_x\omega_y\omega_z$.

Appendix B

Lattice potentials

B.1 Interference of two laser fields

Optical lattices are the result of interference of optical fields. While optical interference is a general phenomenon, in the context of optical lattices as used in AMO physics typically the interfering fields are coherent. In our laboratory each lattice is produced by two laser fields if the same wavelength. We may therefore write the two fields as

$$\begin{aligned}\mathbf{E}_1 &= \mathbf{E}_{01} \cos(\mathbf{k}_1 \cdot \mathbf{r} - \omega t) \\ \mathbf{E}_2 &= \mathbf{E}_{02} \cos(\mathbf{k}_2 \cdot \mathbf{r} - \omega t)\end{aligned}\tag{B.1}$$

where ω is the frequency of the laser and $|\mathbf{k}_1| = |\mathbf{k}_2| = \omega c$ are the lasers' wavevectors of equal magnitude which differ in direction. The polarization and magnitude of the fields are determined by $\mathbf{E}_{0,i}$. When the polarization are identical, we will get an intensity of the form [65]:

$$I = I_1 + I_2 + 2\sqrt{I_1 I_2} \cos \delta\tag{B.2}$$

Where $\delta = (\mathbf{k}_1 - \mathbf{k}_2) \cdot \mathbf{r}$ and we have switched from electric vector fields to scalar intensities. In the case of equal intensities $I_1 = I_2 = I_0$ we get

$$I = 2I_0(1 + \cos \delta) = 4I_0 \cos^2 \frac{\delta}{2}\tag{B.3}$$

and we can see that we have maxima whenever $\delta = 2\pi n$ for integer n . Defining the lattice spacing as d , the maxima occur when $2\pi n = \frac{2\pi}{d}r$. So if $\mathbf{k}_1 = \frac{2\pi}{\lambda}(\cos \theta \hat{\mathbf{x}} + \sin \theta \hat{\mathbf{y}})$ and $\mathbf{k}_2 = \frac{2\pi}{\lambda}(-\cos \theta \hat{\mathbf{x}} + \sin \theta \hat{\mathbf{y}})$

in terms of the laser wavelength λ then we see that

$$\delta = (\mathbf{k}_1 - \mathbf{k}_2) \cdot \mathbf{r} = \frac{4\pi}{\lambda} x \cos(\theta).$$

Hence we can see that the lattice spacing is given by

$$d = \frac{\lambda}{2 \cos \theta}. \quad (\text{B.4})$$

Now let us define the lattice wavevector $\mathbf{k}_L$ as the half the magnitude of the reciprocal lattice wavevector:

$$\mathbf{k}_L = \frac{\delta}{2} = \frac{\pi}{d} \hat{\mathbf{y}} = \frac{2\pi \sin(\theta)}{\lambda}. \quad (\text{B.5})$$

The single photon recoil (such as from an off-resonant excitation of the atom by the lattice beams) is determined by $E_{\gamma_{rec}} = \hbar^2 k_0^2 / 2m$ where $k_0 = 2\pi/\lambda$. The subscript L denotes the lattice while the subscript 0 denotes the light field. The lattice recoil energy is given by

$$E_{L_{rec}} = \hbar^2 k_L^2 / 2m \quad (\text{B.6})$$

The lattice recoil energy $E_{L_{rec}}$ and the photon recoil $E_{\gamma_{rec}}$ are the same in the case of counter-propagating beams, for which $\theta = \pi/2$. Future reference to the lattice recoil $E_{L_{rec}}$ will omit the $_{rec}$ subscript for concision.

B.2 Partial derivation of Raman-Nath approximation

The generating function for Bessel function of the first kind is (9.4.41 of [1])

$$\exp \left[\frac{z}{2} \left(t - \frac{1}{t} \right) \right] = \sum_{n=-\infty}^{\infty} t^n J_n(z), \quad (\text{B.7})$$

a form amenable to the exponential representation of $\sin(\theta)$, thus yielding:

$$e^{ia \sin(\theta)} = e^{\frac{a}{2}(e^{i\theta} - e^{-i\theta})} = \sum_{n=-\infty}^{\infty} e^{in\theta} J_n(a). \quad (\text{B.8})$$

With the substitution $\theta \rightarrow \theta + \pi/2$ we get the Jacobi-Anger expansion

$$e^{ia \cos(\theta)} = \sum_{n=-\infty}^{\infty} i^n J_n(a) e^{in\theta} \quad (\text{B.9})$$

Appendix C

Thermal distribution of Bosons

A Maxwell-Boltzmann ensemble in a harmonic trap has the form

$$\begin{aligned}
 n(x, y, z) &= \frac{N_{th}\omega_x\omega_y\omega_z m^{3/2}}{(2\pi k_b T)^{3/2}} e^{\frac{-m}{2k_b T}(x^2\omega_x^2 + y^2\omega_y^2 + z^2\omega_z^2)} \\
 &= n_{0,th,MB,3D} e^{-\left(\frac{x^2}{2\sigma_x^2} + \frac{y^2}{2\sigma_y^2} + \frac{z^2}{2\sigma_z^2}\right)} \\
 &= \frac{N_{th}}{\sqrt{2\sigma_x^2}\sqrt{2\sigma_y^2}\sqrt{2\sigma_z^2}\pi^{3/2}} e^{-\left(\frac{x^2}{2\sigma_x^2} + \frac{y^2}{2\sigma_y^2} + \frac{z^2}{2\sigma_z^2}\right)}
 \end{aligned} \tag{C.1}$$

where in the second line $n_{0,th,MB,3D}$ is the peak (n_0) (ie at the center), thermal (n_{th}), Maxwell-Boltzmann (n_{MB}), three-dimensional (n_{3D}) density:

$$n_{0,th,MB,3D} = \frac{N_{th}\omega_x\omega_y\omega_z m^{3/2}}{(2\pi k_b T)^{3/2}}. \tag{C.2}$$

The third line of C.1 is deliberately written in a manner emphasizing that the 2 often seen in normalized Gaussian prefactors is due to the σ terms, not the π . So considering that the RHS of C.1 is given as the argument of the Bose function $g_\nu(z) = \sum_{n=1}^{\infty} \frac{z^n}{n^\nu}$, we can thus think of the Bose function as a series of Gaussians with there size and amplitude rescaled in particular ways. How precisely? Let's simplify to one dimension and consider the base Gaussian:

$$G_1(x) = \frac{1}{\sqrt{2\sigma^2}} e^{\frac{-x^2}{2\sigma^2}} = A e^{\frac{-x^2}{2\sigma^2}}. \tag{C.3}$$

This is the argument of $g_\nu(z)$, so the first term is of course unmodified, but the n^{th} term gives a prefactor of $1/n^\nu$ and transforms the exponential like:

$$e^{\frac{-x^2}{2\sigma^2}} \Rightarrow e^{\frac{-nx^2}{2\sigma^2}} = e^{\frac{-x^2}{2(\sigma/\sqrt{n})^2}}$$

Thus the n th term of the series of Gaussians is

$$G_n(x) = \frac{A}{n^\nu} e^{\frac{-x^2}{2(\sigma/\sqrt{n})^2}}. \quad (\text{C.4})$$

This is a new Gaussian with $\sigma_{nthterm} = \sigma/\sqrt{n}$, but since we are discussing density to get number we integrate over all space, which gives a factor of $1/\sqrt{n}$ (per dimension!) from the rescaled Gaussian since $\int e^{\frac{-x^2}{2\sigma^2}} = \sigma\sqrt{2\pi}$ and a factor of $1/n^\nu$ from the denominator in ???. More explicitly:

$$\int_{-\infty}^{\infty} G_n(\mathbf{r}) d^d \mathbf{r} = \frac{1}{n^{\nu+d/2}} \int_{-\infty}^{\infty} G_1(\mathbf{r}) d^d \mathbf{r}. \quad (\text{C.5})$$

Thus, for three dimension, $\nu = 3/2$ so the total normalization is

$$n_{0,th,BE,3D} = n_{0,th,MB,3D} \sum_{n=1}^{\infty} \frac{1}{n^3}. \quad (\text{C.6})$$

C.0.1 Time of flight analysis

Following [134] we can derive the density profile of a thermal cloud obeying Maxwell-Boltzmann statistics for simplicity. The probability column density of the cloud is given by

$$n(\mathbf{r}_0, \mathbf{p}_0) dx_0 dz_0 dp_{x0} dp_{z0} = C \exp \left(-\frac{x^2}{2\sigma_x^2} - \frac{z^2}{2\sigma_z^2} - \frac{p_x^2}{2p_{x0}^2} - \frac{p_z^2}{2p_{z0}^2} \right) dr_0^2 dp_0^2. \quad (\text{C.7})$$

Let us suppose the cloud is in thermal equilibrium so $p_{x0} = p_{y0} = p_{z0} = p_0$ and that the constant C fixes the normalization. Now lets consider where the atoms will be after a time t and change $n(\mathbf{r}_0, \mathbf{p}_0) = n(x_0, z_0, p_0, p_0)$ into $n(x_0, z_0, x(t), z(t))$. A particle initially at $\mathbf{r}_0 = (x_0, z_0)$ with momentum $p_0 = (p_{x0}, p_{z0})$ will move after a time t such that:

$$\begin{aligned} x &= r_{x0} + \frac{p_{x0}}{m} t \\ z &= r_{z0} + \frac{p_{z0}}{m} t \end{aligned} \quad (\text{C.8})$$

where we ignore gravity. Inverting yields:

$$\begin{aligned} p_{x0} &= \frac{x - r_{x0}}{t} m \\ p_{z0} &= \frac{z - r_{z0}}{t} m \end{aligned} \quad (\text{C.9})$$

We can use this to define the change of coordinates via

$$\iint f(a, b) da db \Rightarrow \iint f(c, d) \quad (\text{C.10})$$

To simplify, consider the initial probability density of the cloud

$$n(x_0, p) = C \exp \left[\frac{1}{k_b T} \left(\frac{m \omega^2 x_0^2}{2} + \frac{p^2}{2m} \right) \right] \quad (\text{C.11})$$

The position-space form of the cloud is thus Gaussian $n(x) \propto \exp \left[-\frac{x_0^2}{2\sigma^2} \right]$ with

$$\sigma_0 = \frac{1}{\omega} \sqrt{\frac{k_b T}{m}}. \quad (\text{C.12})$$

When the cloud is released, the particles move ballistically. We measure the position of the particles after a time t at a position x , and thus we know it's momentum must be $p = \frac{m(x-x_0)}{t}$. So integrating over all initial positions requires a delta function to enforce momentum conservation $\delta(x - (x_0 + \frac{pt}{m}))$.

The delta function in p space is thus

$$\delta(x - (x_0 + \frac{pt}{m})) = \frac{t}{m} \delta(p - \frac{m}{t}(x - x_0))$$

and then the position after the time of flight is done by integrating over the initial probability density so constrained:

$$n_{tof}(x, t) = C \iint \exp \left[\frac{-1}{k_b T} \left(\frac{m \omega^2 x_0^2}{2} + \frac{p^2}{2m} \right) \right] \frac{t}{m} \delta(p - \frac{m}{t}(x - x_0)) dx_0 dp. \quad (\text{C.13})$$

Applying the delta function and simplifying yields:

$$\begin{aligned} n_{tof}(x, t) &= C \frac{t}{m} \exp \left(\frac{-m x^2}{2 k_b T} \right) \int \exp \left(\frac{-m}{2 k_b T} \left[\left(\omega^2 + \frac{1}{t^2} \right) x_0^2 - \frac{2x}{t^2} x_0 \right] \right) \\ n_{tof}(x, t) &= C \frac{\sqrt{2\pi k_b T m}}{\sqrt{1 + \omega^2 t^2}} \exp \left(-\frac{m x^2}{2 k_b T} \left[\frac{\omega^2}{1 + \omega^2 t^2} \right] \right). \end{aligned} \quad (\text{C.14})$$

Hence we see that the Gaussian cloud with initial rms size $\sigma_0 = \sqrt{k_b T/m}/\omega$ expands and remains Gaussian but with $\sigma(t) = \sigma_0 \sqrt{1 + \omega^2 t^2}$

This is convenient because although an ideal, thermal Bose gas is not Gaussian, but instead has a density distribution given by the first term of Eq. 3.28, which is the Bose function $g_{3/2}$,

the argument of the Bose function $g_\nu(z) = \sum_{n=1}^{\infty} \frac{z^n}{n^\nu}$, is itself a Gaussian. So every term of that function has the same form as:

$$n_{tof}(x, t) = C \iint \exp \left[\left(\frac{-x_0^2}{2\sigma^2} + \frac{-p^2}{2mk_bT} \right) \right] \frac{t}{m} \delta \left(p - \frac{m}{t}(x - x_0) \right) dx_0 dp \quad (\text{C.15})$$

C.0.1.1 Thomas Fermi Expansion

The parabolic shape of a condensate in the Thomas Fermi regime also expands by a simple rescaling of its widths, conveniently retaining its original functional form [73, 26, 40]

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